

# Baseline Security Recommendations for IoT

in the context of Critical Information Infrastructures

NOVEMBER 2017



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## Executive Summary

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The Internet of Things (IoT) is a growing paradigm with technical, social, and economic significance. For ENISA, IoT is an emerging concept comprising a wide ecosystem of interconnected services and devices, such as sensors, consumer products and everyday smart home objects, cars, and industrial and health components. These technologies collect, exchange and process data in order to dynamically adapt to a specific context, transforming the business world and the way we live as a whole. IoT is tightly bound to cyber-physical systems and, in this respect, safety implications are pertinent.

Nevertheless, IoT poses very important safety and security challenges that need to be addressed for IoT to reach its full potential. Many security considerations regarding IoT are not necessarily new; they are inherited from the use of networking technologies. However, the characteristics of some IoT implementations present new security challenges, threats and risks that are manifold and evolve rapidly. The protection of IoT deployments depends on the protection of all systems involved (the devices themselves, cloud backend and services, applications, maintenance and diagnostic tools, etc.).

Addressing these challenges and ensuring security in IoT products and services is a fundamental priority. One of the main concerns is the impact that the different threats may have since attacks on IoT deployments could dramatically jeopardise people's security, privacy and safety, while additionally IoT in itself can be used as an attack vector against other critical infrastructures. Also, since IoT can drastically change the ways personal data is collected, analysed, used, and protected, privacy concerns have been raised. These need to be addressed to ensure user trust and confidence in the Internet, connected devices, and related services.

However, beyond technical security measures, the adoption of IoT has raised many new legal, policy and regulatory challenges, broad and complex in scope, that remain unanswered, amplifying at the same time some existing issues. The rapid rate of change in IoT technology has outpaced the ability of the associated policy, legal, and regulatory structures to adapt, leaving no clear security framework to follow. This has led most companies and manufacturers to take their own approach when designing IoT devices, causing interoperability issues between devices from different manufacturers, and between IoT devices and legacy systems.

For these reasons, ENISA is defining a set of Baseline Security Recommendations for IoT. The aim of this work as reported here is to provide insight into the security requirements of IoT, mapping critical assets and relevant threats, assessing possible attacks and identifying potential good practices and security measures to apply in order to protect IoT systems.

As a result of this work, after taking into consideration all the background research carried out, the views expressed by the experts interviewed, and the good practices and security measures identified, a series of recommendations has been developed, namely:

- **Promote harmonization of IoT security initiatives and regulations**  
Intended for IoT industry, providers, manufacturers, associations
- **Raise awareness for the need for IoT cybersecurity**  
Intended for IoT industry, providers, manufacturers, associations, academia, consumer groups, regulators
- **Define secure software/hardware development lifecycle guidelines for IoT**  
Intended for IoT developers, platform operators, industry, manufacturers

- **Achieve consensus for interoperability across the IoT ecosystem**  
Intended for IoT industry, providers, manufacturers, associations, regulators
- **Foster economic and administrative incentives for IoT security**  
Intended for IoT industry, associations, academia, consumer groups, regulators
- **Establishment of secure IoT product/service lifecycle management**  
Intended for IoT developers, platform operators, industry, manufacturers
- **Clarify liability among IoT stakeholders**  
Intended for IoT industry, regulators



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## 1. Introduction

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The Internet of Things (IoT) is a concept paradigm that has emerged over the last years. Kevin Ashton introduced the concept of IoT back in 1999<sup>1</sup>. It describes a wide ecosystem where interconnected devices and services collect, exchange and process data in order to adapt dynamically to a context. The Internet of Things is tightly bound to cyber-physical systems and in this respect is an enabler of Smart Infrastructures by enhancing their quality of service provisioning.

The IoT is the natural evolution of computing and it brings its own challenges – an immature ecosystem bearing a fragmentation of standards and security concerns in a non-homogeneous IoT market, as at the moment each industry and application is different. Another IoT challenge worth highlighting is its ability to scale globally<sup>2</sup>; according to Gartner, IoT will involve 8.4 billion connected ‘things’ in use in 2017, up 31% from 2016, and by 2020 the number of connected devices is envisioned to reach 20 billion<sup>41</sup>. Currently there are different solutions available in the market through various manufacturers such as Google, Microsoft, Amazon, Apple or Samsung, among others, many of which use their proprietary cloud service, protocols and operating system<sup>3</sup>.

The threats and risks related to the Internet of Things devices, systems and services are manifold, and evolve rapidly. With a great impact on citizens’ safety, security and privacy, the threat landscape concerning the Internet of Things is extremely wide. Hence, it is important to understand what needs to be secured and to develop specific security measures to protect the Internet of Things from cyber threats.

Involving billions of intelligent systems and millions of applications, IoT will drive new consumer and business behaviours, which will demand increasingly intelligent solutions. This, in turn, is expected to drive by 2020 almost 3 trillion dollars (circa 2.85 trillion euros) in new business opportunities for the different vendors and companies that capitalise on the IoT<sup>41</sup>. Examples of these opportunities include<sup>4</sup>:

- **New business models:** New value streams for customers, with a faster response.
- **Diversification of revenue streams:** Monetising added services on top of traditional lines of business.
- **Real-time information:** Capturing data about products and processes more swiftly, improving market agility and allowing prompt decision making.
- **Global visibility:** Making tracking easier from one end of a supply chain to the other.

### 1.1 Objectives

The goal of this report is to elaborate baseline cybersecurity recommendations for IoT with a focus on Critical Information Infrastructures, which encompass facilities, networks, services and physical and information technology equipment. These infrastructures are considered critical because their destruction or disruption could bring about major consequences for the health, safety and economic wellbeing of citizens, for the efficient functioning of State institutions and Public Administrations<sup>5,6</sup>, and for the asset owners who make use of IoT to provide their services.

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<sup>1</sup> See <http://www.rfidjournal.com/articles/view?4986>

<sup>2</sup> See <https://www.emc.com/leadership/digital-universe/2014iview/internet-of-things.htm>

<sup>3</sup> See <https://hacks.mozilla.org/2017/06/building-the-web-of-things/>

<sup>4</sup> See <https://www.emc.com/leadership/digital-universe/2014iview/internet-of-things.htm>

<sup>5</sup> See [https://ec.europa.eu/home-affairs/what-we-do/policies/crisis-and-terrorism/critical-infrastructure\\_en](https://ec.europa.eu/home-affairs/what-we-do/policies/crisis-and-terrorism/critical-infrastructure_en)

<sup>6</sup> See <https://www.enisa.europa.eu/topics/critical-information-infrastructures-and-services>

In this respect, the baseline security measures for IoT put forward in this report can serve as a springboard for further related efforts towards a harmonised EU approach, paving the way for a tacit adoption of the measures, and as criteria for other initiatives such as labelling or certification.

A major challenge in defining baseline security measures for IoT is the entailed complexity that is brought by the diversity of application areas for IoT. Striking a balance between the particularities of each domain is essential and accordingly it is important to consider the differences in apportioning risk to distinct environments. Accordingly, this report builds on the expertise and insight gained by previous work by ENISA, covering a series of vertical application areas of IoT namely:

- Smart Homes<sup>7</sup>
- Smart Cities and Intelligent Public Transport<sup>8</sup>
- Smart Grids<sup>9</sup>
- Smart Cars<sup>10</sup>
- Smart Airports<sup>11</sup>
- eHealth and Smart Hospitals<sup>12</sup>

This report aims to cover the threat model of the Internet of Things in the context of Critical Information Infrastructures (CII), as well as to detail available security measures to counter the identified threats. This report also provides a series of recommendations to shape future efforts and initiatives in the direction of a holistic approach to secure the Internet of Things.

## 1.2 Scope

ENISA defines the Internet of Things (IoT) as *a cyber-physical ecosystem of interconnected sensors and actuators, which enable decision making*. Information lies at the heart of IoT, feeding into a continuous cycle of sensing, decision making, and actions. IoT is tightly bound to cyber-physical systems and in this respect is an enabler of Smart Infrastructures, e.g. Industry 4.0, smart grid, smart transport, by enabling services of higher quality and facilitating the provision of advanced functionalities.

ENISA identified and analysed existing IoT security practices, security guidelines, relevant industry standards and research initiatives in the area of IoT security for Critical Information Infrastructures (e.g. Industry 4.0, Machine-to-Machine (M2M) communications, IoT updatability). Having reviewed and thoroughly analysed existing work and ongoing activities, ENISA compared these practices and standards and developed baseline security measures to be adopted by relevant stakeholders.

ENISA focused, among other topics, on IoT resilience and communication, on the interoperability with proprietary systems, and on the reliability of IoT. Special emphasis was given to the privacy issues of such smart infrastructure and services. In this endeavour, ENISA additionally took into account existing European Union (EU) policies and regulatory initiatives such as the Directive on security of network and information systems (NIS Directive)<sup>13</sup>, The EU General Data Protection Regulation (GDPR)<sup>14</sup>, the Internet of Things - An

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<sup>7</sup> See <https://www.enisa.europa.eu/topics/iot-and-smart-infrastructures/smart-homes>

<sup>8</sup> See <https://www.enisa.europa.eu/topics/iot-and-smart-infrastructures/smart-cities>

<sup>9</sup> See <https://www.enisa.europa.eu/topics/critical-information-infrastructures-and-services/smart-grids>

<sup>10</sup> See <https://www.enisa.europa.eu/publications/cyber-security-and-resilience-of-smart-cars>

<sup>11</sup> See <https://www.enisa.europa.eu/publications/securing-smart-airports>

<sup>12</sup> See <https://www.enisa.europa.eu/topics/critical-information-infrastructures-and-services/health>

<sup>13</sup> See <http://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:32016L1148&from=EN>

<sup>14</sup> See <http://www.eugdpr.org/>

action plan for Europe<sup>15</sup>, as well as the work of the Alliance for the Internet of Things (AIOTI)<sup>16</sup> and the Staff Working Document on ICT Standardization<sup>17</sup>, among others.

In 2017, ENISA launched the IoT Security Experts Group (IoTSEC)<sup>18</sup>. The ENISA IoTSEC group is an information exchange platform that brings together experts to ensure the security and resilience of the entire Internet of Things ecosystem. Experts of the IoTSEC group have background expertise in one or several of the following:

- Internet of Things with a focus on cyber security;
- Suppliers and developers of Internet of Things hardware and/or software with a focus on cyber security;
- Associations and non-profit organisations involved in Internet of Things security;
- Regulation bodies, academia, standardisation bodies and policy makers.

The first step of the process followed by ENISA in order to validate the results of the report was to carry out a series of interviews with the different members of the IoTSEC Experts Group, where we gathered their input, obtaining new information and validating information found during the desktop research. A total of 26 experts were interviewed, covering industry, policy, academia and research organisations from 9 EU member states and from the United States of America. After synthesising all findings into this report, a release candidate version was sent to the experts that compose the IoTSEC Group for a first round of comments. Finally, during the first workshop meeting of the ENISA IoT Security Experts Group that took place in The Hague, Netherlands, on 20th of October 2017, the findings of the report were discussed and the experts shaped the final recommendations in order to reflect the needs of the IoT security community in Europe.

### 1.3 EU and International Policy context

In the last years, the European Commission has been working to facilitate the embracement of the IoT in Europe, and to unleash its full potential, by adopting a set of supporting policy actions and launching a series of relevant initiatives<sup>19</sup>.

In March 2015, the European Commission launched the Alliance for Internet of Things Innovation (AIOTI)<sup>20</sup>, with the intention of creating an innovative and industry-driven European IoT ecosystem. The AIOTI has come to be the largest European IoT Association to date, emphasising the European Commission's ambition to work closely with all IoT stakeholders on the establishment of a competitive IoT market and new business models for the benefit of the European citizens and businesses.

The Digital Single Market (DSM) Strategy<sup>21</sup>, adopted two months later in May 2015, underlines the need to avoid fragmentation and to foster interoperability for IoT to reach its potential, leading Europe a step further in terms of IoT development. In order to meet the DSM needs and to inform about its upcoming policy, in April 2016 the European Commission specified the EU's IoT vision in the document 'Advancing the Internet of Things in Europe'<sup>22</sup>, as part of the 'Digitising European Industry' (DEI) initiative<sup>23</sup>.

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<sup>15</sup> See <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=COM:2009:0278:FIN:EN:PDF>

<sup>16</sup> See <https://ec.europa.eu/digital-single-market/en/news/alliance-internet-things-innovation-aioti-defines-its-long-term-strategy>

<sup>17</sup> See <https://ec.europa.eu/digital-single-market/en/news/communication-ict-standardisation-priorities-digital-single-market>

<sup>18</sup> See <https://resilience.enisa.europa.eu/iot-security-experts-group-1>

<sup>19</sup> See <https://ec.europa.eu/digital-single-market/en/internet-of-things>

<sup>20</sup> See <https://ec.europa.eu/digital-single-market/en/alliance-internet-things-innovation-aioti>

<sup>21</sup> See <https://ec.europa.eu/commission/priorities/digital-single-market/>

<sup>22</sup> See <https://ec.europa.eu/digital-single-market/en/news/staff-working-document-advancing-internet-things-europe>

<sup>23</sup> See <https://ec.europa.eu/digital-single-market/en/policies/digitising-european-industry>

This vision is based on three different pillars:

- A thriving IoT ecosystem,
- A human centred IoT approach,
- A single market for IoT.

The achievement of a single market for the IoT will potentially face issues linked to the capacity to handle a vast number and diversity of connected devices and the ability to identify them unequivocally and universally, so it is important to foster an open system for object identification and authentication, as well as an interoperable IoT numbering space that transcends geographical limits. Some aspects of numbering were already addressed in the 2016 review of the EU telecom rules<sup>24</sup>.

The Commission, in their ICT Standardisation roadmap for the Digital Single Market<sup>25</sup>, identified five priority areas that aim to guarantee a fresh approach to standards, and IoT is identified as one of these five priorities. These areas should increase competitiveness and help European innovations better access the global market. The other priorities are 5G communication, cybersecurity, cloud and Big Data.

In the context of promoting a European single market for IoT, in January 2017 the ‘European data economy’ initiative was presented<sup>26</sup>. It proposes policy and legal solutions concerning the free flow of data across national borders in the EU, and liability issues decisive to enhance legal certainty around IoT products and services. In addition to all these initiatives, the EU has arranged specific IoT objectives in the Horizon 2020 research and innovation programme<sup>27</sup>. The midterm review of the Digital Single Market makes numerous references to the Internet of Things, with liability and cyber security being the main areas of focus<sup>28</sup>. Moreover, Article 29 Data Protection Working Party Committee’s “Opinion 8/2014 on the on Recent Developments on the Internet of Things” identifies the main data protection risks that lie within the ecosystem of the IoT before providing guidance on how the EU legal framework should be applied in this context<sup>29</sup>.

The most recent action taken by the EU was on September 2017, when the new “Proposal for a Regulation Of The European Parliament And Of The Council on ENISA, the “EU Cybersecurity Agency”, and repealing Regulation (EU) 526/2013, and on Information and Communication Technology cybersecurity certification (“Cybersecurity Act”)” was published<sup>30,31</sup>.

On the same date, the European Commission published the “Joint Communication To The European Parliament And The Council Resilience, Deterrence and Defence: Building strong cybersecurity for the EU”<sup>32</sup>, which describes the overall cybersecurity strategy of the EU. The goal is to build greater EU resilience to cyber-attacks, improving detection mechanisms and strengthening international cooperation, and to do so, the document provides a series of measures, with some of them specifically oriented to IoT, such as the encouragement of “security by design” through the whole lifecycle of the devices that make up the Internet of Things. With this measure, schemes under this framework would indicate that the products are built using

<sup>24</sup> See <https://ec.europa.eu/digital-single-market/en/connectivity-european-gigabit-society>

<sup>25</sup> See <https://ec.europa.eu/digital-single-market/en/news/communication-ict-standardisation-priorities-digital-single-market>

<sup>26</sup> See <https://ec.europa.eu/digital-single-market/en/building-european-data-economy>

<sup>27</sup> See <https://ec.europa.eu/digital-single-market/en/research-innovation-iot>

<sup>28</sup> See <https://ec.europa.eu/digital-single-market/en/news/digital-single-market-commission-calls-swift-adoption-key-proposals-and-maps-out-challenges>

<sup>29</sup> See [http://ec.europa.eu/justice/data-protection/article-29/documentation/opinion-recommendation/files/2014/wp223\\_en.pdf](http://ec.europa.eu/justice/data-protection/article-29/documentation/opinion-recommendation/files/2014/wp223_en.pdf)

<sup>30</sup> See [http://eur-lex.europa.eu/resource.html?uri=cellar:1985b4b4-985e-11e7-b92d-01aa75ed71a1.0001.02/DOC\\_1&format=PDF](http://eur-lex.europa.eu/resource.html?uri=cellar:1985b4b4-985e-11e7-b92d-01aa75ed71a1.0001.02/DOC_1&format=PDF)

<sup>31</sup> See [http://eur-lex.europa.eu/resource.html?uri=cellar:1985b4b4-985e-11e7-b92d-01aa75ed71a1.0001.02/DOC\\_2&format=PDF](http://eur-lex.europa.eu/resource.html?uri=cellar:1985b4b4-985e-11e7-b92d-01aa75ed71a1.0001.02/DOC_2&format=PDF)

<sup>32</sup> See <http://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=JOIN:2017:450:FIN&from=EN>

state-of-the-art secure development methods, that they have undergone adequate security testing, and that the vendors have committed to update their software in the event of newly discovered vulnerabilities or threats.

Moving from the EU to the US, it is worth highlighting the “Internet of Things Cybersecurity Improvement Act of 2017”<sup>33</sup>, introduced on the 1st of August of 2017 by four U.S. senators, which was developed in response to a series of IoT-related cyber-attacks that took place in 2016<sup>34</sup>. This improvement act establishes minimum cybersecurity requirements for connected devices purchased by the U.S. Government, including<sup>35</sup>:

- Requiring vendors to ensure their devices are patchable, rely on industry standard protocols, do not use hard-coded passwords, and do not contain any known security vulnerabilities;
- Requiring vendors selling IoT devices are “to provide written certification that the device does not contain, at the time of submitting the proposal, any hardware, software, or firmware component with any known security vulnerabilities or defects”<sup>36</sup>. If a vendor identifies vulnerabilities, it must disclose them and patch them in a timely manner<sup>37</sup>;
- Requiring each executive agency to inventory all Internet-connected devices in use by the agency;
- Along with NIST, specifying particular measures, e.g. network segmentation, for agencies to employ them;
- Directing the Department of Homeland Security’s (DHS) National Protection and Programs Directorate (NPPD) to develop coordinated disclosure guidelines, allowing researchers to uncover vulnerabilities in and share them with the vendors; and
- Requiring an effectiveness report, with recommendations for updates, to be submitted to Congress after 5 years.

## 1.4 Target audience

This report provides a set of specific baseline security measures and recommendations that can be applied to protect IoT systems and environments. The primary target audience of the report are organisations that want to adopt IoT or are already adopting IoT solutions as well as the manufacturers and operators that provide IoT products, solutions and services. This report is also aimed at the personnel responsible for IT and/or innovation activities in their organisations, including the following profiles:

- IoT experts, software developers and manufacturers
- Information security experts
- IT/Security solutions architects
- Chief Information Security Officers (CISOs)
- Critical Information Infrastructure Protection (CIIP) experts

It is noteworthy that the recommendations of the report can prove to be beneficial to support policy making initiatives in regard to IoT security and hence are also aimed at corresponding regulators.

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<sup>33</sup> See <https://www.congress.gov/bill/115th-congress/senate-bill/1691/text>

<sup>34</sup> See <https://krebsonsecurity.com/tag/iot-cybersecurity-improvement-act-of-2017/>

<sup>35</sup> See <https://www.helpnetsecurity.com/2017/08/02/iot-security-legislation/>

<sup>36</sup> See <https://dzone.com/articles/internet-of-things-cybersecurity-improvement-act-o>

<sup>37</sup> See <https://www.wired.com/beyond-the-beyond/2017/08/spime-watch-fact-sheet-internet-things-cybersecurity-improvement-act-2017/>



## 1.5 Methodology

This study was carried out using a five-step methodology (shown in Figure 1) which begins with the scope definition, the initial information gathering from official sources and experts in the field and ends in the development of a report summarizing the findings and the recommendations to the target audience.

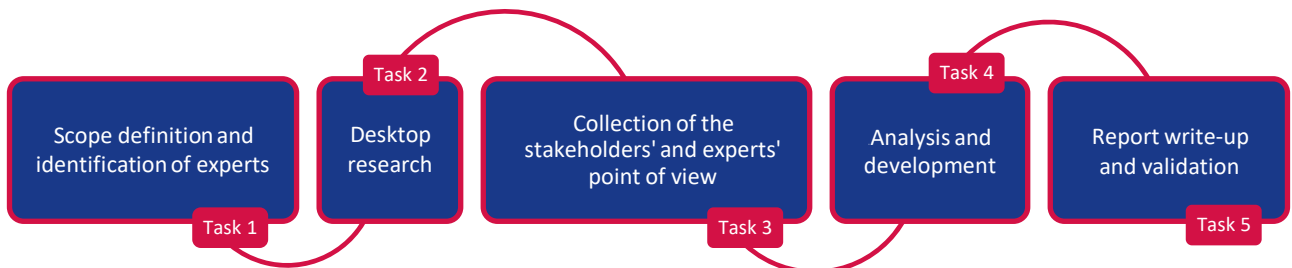


Figure 1: Methodology followed in the study

1. **Scope definition and identification of experts:** The first step was to establish the report's scope and perimeter and then to identify the IoT experts, so as to gather their input and knowledge in relation to the objectives of this report.
2. **Desktop research:** In parallel with the expert identification, an investigation was carried out to identify existing publications and information on the topics related to the objectives of the report, which will serve as support for the analysis of the threats and for the development of the security measures.
3. **Collection of stakeholders' and experts' point of view:** A series of interviews were conducted with the experts from the IoTSEC Experts Group, using an internally developed questionnaire to guide them and to ensure that we obtained the most relevant input.
4. **Analysis and development:** The results from the desktop research and the interviews were analysed and contrasted to align them with the objectives of the report, developing the assets and threats taxonomies and identifying the attack scenarios, as well as identifying the baseline security measures, the gaps and the recommendations to address them.
5. **Report write-up and validation:** The last step was to synthesise all the findings from the desktop research and the interviews with the experts, shaping this report, which was finally validated in the workshop meeting with the IoT experts that have collaborated in the study.

## 1.6 Structure

The rest of the report is structured as follows:

- **Chapter 1:** Introduction to the report and definition of the objective and the methodology followed to achieve it.
- **Chapter 2:** Definition and documentation about IoT key elements and environments.
- **Chapter 3:** Analysis of the main threats, vulnerabilities, risks and the development of the main attack scenarios.
- **Chapter 4:** Development, mapping and categorisation of the main security measures that have been identified and that apply in the scope of the report.
- **Chapter 5:** Gaps and future challenges applicable to the scope of the project.



- **Chapter 6:** Security recommendations based on the security measures developed and the gaps and challenges identified in the previous chapters.

## 2. The IoT paradigm

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ENISA defines IoT as “a **cyber-physical ecosystem of interconnected sensors and actuators, which enable intelligent decision making**”. Stemming from the definition is the fact that information lies at the heart of IoT, feeding into a continuous cycle of sensing, decision making, and actions.

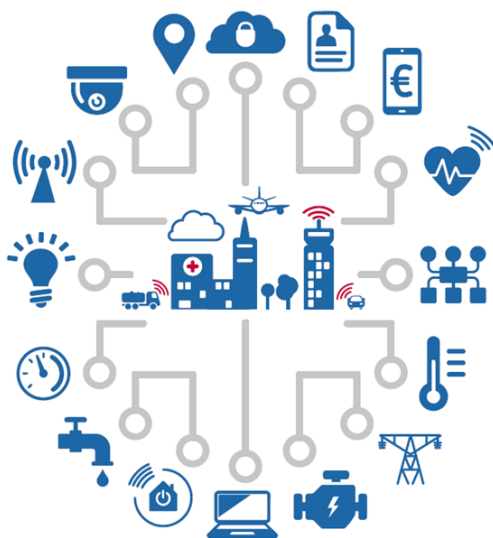


Figure 2: IoT pervasive ecosystem

Figure 2 illustrates the pervasive nature of IoT in that it is at the core of a plethora of both critical and non-critical infrastructures. IoT is pertinent to almost all aspects of daily life, affecting commercial applications, the industrialisation, or the private sphere, to name a few. Inherently, IoT builds on embedding “intelligence” in everyday objects, thus increasing the usefulness of what used to be common “things”, and therefore facilitating all aspects of daily life by providing a greater automation and control in sectors such as industry, energy, transport, health, retail, etc. The majority of the sectors associated with IoT are critical, and any incident affecting them can thus severely affect society as a whole.

Industry 4.0 and Industrial Internet of Things (IIoT) are frequently and rightfully associated with IoT focusing on digitising industries<sup>23</sup>. Industry 4.0 is the term coined to refer to the world’s fourth industrial revolution<sup>38</sup>. It is defined as the brisk transformation in the design, manufacture, operation and service of manufacturing systems and products, where digital technology and the Internet merge together with the conventional industry, achieving digitally connected manufacturing operations with a highly integrated value chain<sup>38</sup>. In this study, we focus on the more generic concept of IoT.

This chapter will provide a brief insight into the IoT elements and architecture, including the different security considerations to be taken into account.

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<sup>38</sup> See EPRS, Ron Davies, «Industry 4.0», [http://www.europarl.europa.eu/RegData/etudes/BRIE/2015/568337/EPRS\\_BRI\(2015\)568337\\_EN.pdf](http://www.europarl.europa.eu/RegData/etudes/BRIE/2015/568337/EPRS_BRI(2015)568337_EN.pdf)

## 2.1 Elements of IoT

The following sections provide an overview of the different elements that shape the IoT ecosystem, namely the Things in the IoT, intelligent decision making, sensors and actuators, communications and embedded systems. A detailed IoT asset taxonomy can be found later in chapter 2.5.

### 2.1.1 Things in the Internet of Things

In IoT environments, a **thing is a physical or virtual object capable of being identified and integrated into communication networks**. It is imperative for things to have the capability of communication – exchanging data over a network between them and/or with the cloud backend services. Additionally, things may have other optional features, such as sensing and capturing data, actuating, storing and processing data, executing native or cloud-based applications, machine learning, etc<sup>39</sup>. The set of ‘things’ that compose an IoT ecosystem can be managed by intelligent systems, which are able to autonomously connect to things for monitoring and controlling them. Moreover, these intelligent systems can retrieve data from a thing or a set of things and process that data, obtaining useful information in order to make an intelligent decision<sup>40</sup>.

### 2.1.2 Intelligent decision making

The number of devices connected to ‘intelligent systems’ that can store, process, analyse and share data is sharply increasing. This will result in billions of ‘things’ and machines connected to networks and producing even more data<sup>41</sup>. Hence, there is a need to deploy data analytics and smart data management techniques in order to draw meaningful insight from the colossal volume of data being generated<sup>40</sup>. Moreover, IoT encompasses the notion of actuating, for which decision making is necessary.

Intelligent decision-making depends first and foremost on the information available to make the decisions. These decisions can be as simple as a threshold-crossing mechanism, or as advanced as machine learning or deep learning systems<sup>42</sup>. The output of these decisions will eventually lead to actions and may feed new information into the ecosystem. The information used to make intelligent decisions can be either analysed locally, since some ‘things’ can process the data they gather themselves, or delegated to another element of the IoT ecosystem, such as the cloud backend service, the aggregator/gateway, another ‘thing’, etc.

This whole process supports several aspects, such as context awareness and adaptation, autonomy, and self-optimisation/configuration/healing/protection, to name a few.

### 2.1.3 Sensors and actuators

Sensors are one of the key building blocks of IoT, since they are an integral element that allows to monitor the environment and the context on which IoT systems operate. They can be as small as millimetres in size, making them easy to embed in physical objects – from roadways to pacemakers<sup>43</sup>.

**On the physical level, sensors can measure defined physical, chemical or biological indicators, and on the digital level, they collect information about the network and applications.** They then generate associated quantitative data, which can be processed in real-time, or stored for later retrieval, and that can be received

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<sup>39</sup> ITU-T Y.2060, «Overview of the Internet of things». See <https://www.itu.int/rec/T-REC-Y.2060-201206-I>

<sup>40</sup> European Commission, «Definition of a Research and Innovation Policy Leveraging Cloud Computing and IoT Combination». See <https://ec.europa.eu/digital-single-market/en/news/definition-research-and-innovation-policy-leveraging-cloud-computing-and-iot-combination>

<sup>41</sup> See <http://www.gartner.com/newsroom/id/3598917>

<sup>42</sup> See <https://www.forbes.com/sites/mikekavis/2014/09/04/making-sense-of-iot-data-with-machine-learning-technologies/>

<sup>43</sup> McKinsey&Company, «The Internet of Things». See <http://www.mckinsey.com/industries/high-tech/our-insights/the-internet-of-things>

hundreds of kilometres away<sup>44</sup>. Some examples of sensors are accelerometers, temperature sensors, pressure sensors, light sensors and acoustic sensors, among others.

Even if they are left unattended in some cases (e.g. roadways), sensors have become essential in a large number of industries, gathering data for the network and applications to dynamically adapt to optimal processes at any moment<sup>44</sup>.

An actuator can be considered as the entity responsible for moving or controlling a system or mechanism. In simple terms, an actuator operates in the reverse direction of a sensor. It takes an electrical input and turns it into physical action. Taking the example of smart lamps and smart thermostats, their actuators can make use of the signal coming from a light sensor to regulate brightness, and of the signal coming from a temperature sensor to regulate temperature, respectively. Actuators are also commonly used in manufacturing and assembly processes, where motors and solenoids are the primary examples of actuators – for example, valves are a type of actuator, used to control a hydraulic system<sup>45</sup>.

To summarise, the functions of input devices are performed by sensors that gather information about their environment and its context, which will be subsequently processed. In contrast, actuators serve as output units – they act based on the processed information, executing decisions. It should be noted that, in most IoT deployments, sensors and actuators are not only found standalone, but also integrated into embedded systems.

#### 2.1.4 Embedded systems

Sensors and actuators are the fundamental elements of IoT. They may be connected to the cloud backend through gateways to have the data coming from the sensors processed, in order to make a decision. Instead of only having sensor and/or actuator networks, IoT devices can also be found as embedded systems, which include embedded sensors and/or actuators, as well as network capabilities to connect directly to a LAN or to the cloud, a memory footprint and the ability to run software. Additionally, IoT embedded systems are based on a processing unit that enables them to process data on their own. Some examples of devices that contain embedded systems comprise medical implants, wearables such as smart watches, connected lights, smart thermostats, etc. Figure 3 illustrates the structure of an embedded IoT system.

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<sup>44</sup> IEEE, «Towards a definition of the Internet of Things (IoT)». See

[http://iot.ieee.org/images/files/pdf/IEEE\\_IoT\\_Towards\\_Definition\\_Internet\\_of\\_Things\\_Revision1\\_27MAY15.pdf](http://iot.ieee.org/images/files/pdf/IEEE_IoT_Towards_Definition_Internet_of_Things_Revision1_27MAY15.pdf)

<sup>45</sup> Ammar Rayes, Samer Salam, «The Things in IoT: Sensors and Actuators». See [https://link.springer.com/chapter/10.1007/978-3-319-44860-2\\_3](https://link.springer.com/chapter/10.1007/978-3-319-44860-2_3)

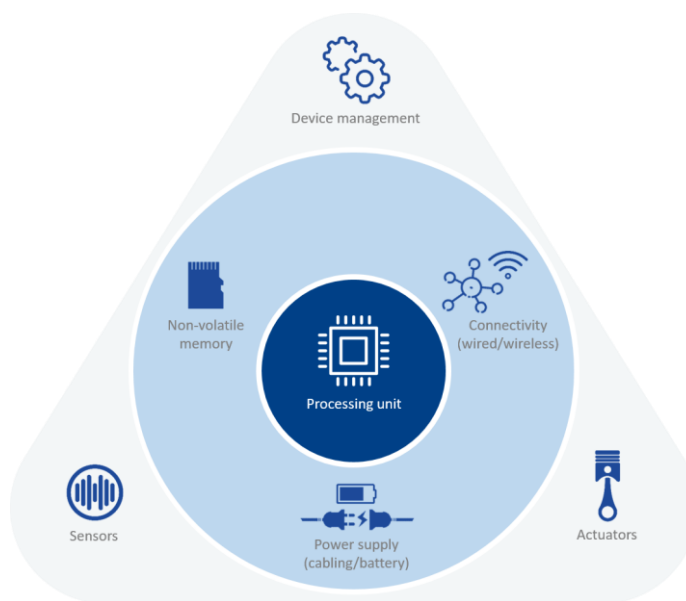


Figure 3: Structure of an IoT embedded system

### 2.1.5 Communications

Communication requirements vary widely among the different types of IoT networks, depending on their purpose and resource constraints<sup>46</sup>. The selection of protocols to be used in a particular deployment of IoT ecosystems depends on the requirements of its use-case. The combination of different protocols within IoT ecosystems is a common practice, using gateways to ensure interoperability.

IoT communication systems rely on the ability to both transmit and receive information units in a structured manner, with services located either nearby or in a distant location, using different but interoperable kinds of network types. These networks have different set of properties such as QoS, resilience, security and management<sup>47</sup>.

The communication protocols within IoT ecosystems can be either wireless or wireline-based. There exists a plethora of wireless communication protocols, including **short-range radio protocols** such as ZigBee<sup>48</sup>, Bluetooth/Bluetooth Low Energy (BLE)<sup>49</sup>, Wi-Fi/Wi-Fi HaLow<sup>50</sup>, Near Field Communication (NFC)<sup>51</sup> or Radio Frequency Identification (RFID)<sup>52</sup>; **mobile networks** and **longer-range radio protocols** such as LoRaWAN<sup>53</sup>, SigFox<sup>54</sup> NarrowBand-IoT (NB-IoT)<sup>55</sup>, or LTE-M<sup>56</sup>. Each of them is defined in its own standard, for example ZigBee and ZigBee 3.0 are based on IEEE 802.15.4. Wired communication protocols and links, such as Ethernet, USB, SPI, MIPI and I2C, among others, also provide access to the devices. Moreover, it is worth highlighting that IoT communications also support non-IP based protocols, such as SMS, LiDar, Radar, etc.

<sup>46</sup> Tara Salman, «Networking Protocols and Standards for Internet of Things». See [https://www.cse.wustl.edu/~jain/cse570-15/ftp/iot\\_prot.pdf](https://www.cse.wustl.edu/~jain/cse570-15/ftp/iot_prot.pdf)

<sup>47</sup> ISO/IEC, «ISO/IEC CD 30141:20160910(E) - Internet of Things Reference Architecture (IoT RA)». See [https://www.w3.org/WoT/IG/wiki/images/9/9a/10N0536\\_CD\\_text\\_of\\_ISO\\_IEC\\_30141.pdf](https://www.w3.org/WoT/IG/wiki/images/9/9a/10N0536_CD_text_of_ISO_IEC_30141.pdf)

<sup>48</sup> See <http://www.zigbee.org/zigbee-for-developers/network-specifications/>

<sup>49</sup> See <https://www.bluetooth.com/what-is-bluetooth-technology/how-it-works/le-p2p>

<sup>50</sup> See <http://www.wi-fi.org/news-events/newsroom/wi-fi-alliance-introduces-low-power-long-range-wi-fi-halow>

<sup>51</sup> See <http://nfc-forum.org/nfc-and-the-internet-of-things/>

<sup>52</sup> See <http://www.rfidjournal.com/articles/view?392>

<sup>53</sup> See <https://www.lora-alliance.org/technology>

<sup>54</sup> See <https://www.sigfox.com/>

<sup>55</sup> See <https://www.gsma.com/iot/narrow-band-internet-of-things-nb-iot/>

<sup>56</sup> See <https://www.gsma.com/iot/long-term-evolution-machine-type-communication-lte-mtc-cat-m1/>

Wireless technologies have different characteristics, such as a specific signal range, bandwidth, etc. and can be classified as Wireless Personal Area Networks (WPAN), Wireless Local Area Networks (WLAN) or Wireless Wide Area Networks (WWAN). In ENISA’s Smart Homes study<sup>7</sup>, the different kinds of networks were also listed. Nevertheless, given the context of this study, a more generic/horizontal approach has been followed, thus remaining consistent with the Smart Homes study.

Table 1 depicts an indicative listing of different protocols grouped by communication layer. The datalink layer handles the connection between IoT devices across a physical link, either wired or wireless, for example between sensors or between a sensor and the gateway that connects a set of sensors to the Internet. The network layer is divided into the routing layer, which handles the packet transfer from the source to the destination, and into the encapsulation layer, which builds the packets. The session layer defines the protocols enabling messaging capabilities among the elements of the IoT communication subsystem<sup>46</sup>.

|          |               |                                                                                  |
|----------|---------------|----------------------------------------------------------------------------------|
| SESSION  |               | AMQP, CoAP, DDS, MQTT, XMPP                                                      |
| NETWORK  | ENCAPSULATION | 6LowPAN, Thread                                                                  |
|          | ROUTING       | CARP, RPL                                                                        |
| DATALINK |               | Bluetooth / BLE, Wi-Fi / Wi-Fi HaLow, LoRaWAN, Neul, SigFox, Z-Wave, ZigBee, USB |

Table 1: Indicative listing of communication protocols for IoT<sup>46</sup>

As stated before, IoT ‘things’ need to both transmit and receive data, yet they do not necessarily need an Internet connection to do so, only the ability to pass the data they collect/receive on to other ‘things’ capable of processing that information and/or sending it over an Internet connection. Therefore, it is possible for an IoT ecosystem made up of multiple ‘things’ to operate without any of them being capable of connecting to the Internet<sup>57</sup>. The use of the word ‘Internet’ in the term ‘Internet of Things’ should simply be seen as a generalisation, implying the notion of connectivity. It should not be interpreted in a stricter, technical sense, whereby an Internet connection or the IP protocol stack would be a requirement of the IoT ecosystem.

## 2.2 Security considerations

As we become increasingly reliant on intelligent, interconnected devices in every aspect of our lives, the billions of “things” can be the target of intrusions and interferences that could dramatically jeopardise personal privacy and threaten public safety<sup>58</sup>. Therefore, security is one of the main concerns regarding IoT, which needs to be addressed along with the paramount need for safety, since both matters are tightly intertwined with the physical world. Another important aspect involves administration of IoT devices, namely who is going to be responsible for this especially considering the inherent complexity and heterogeneity of the IoT ecosystem, as well as taking into account scalability concerns.

The following are generic issues identified by this study that hinder the consolidation of secure IoT ecosystems:

- **Very large attack surface:** The threats and risks related to IoT are manifold and evolve rapidly. Considering their impact on citizens’ health, safety and privacy (data collection and processing may be unclear to the users, since IoT is heavily based on the gathering, exchange and processing of large amounts of data from a variety of sources, sometimes including sensitive data), the threat landscape concerning IoT is extremely wide.

<sup>57</sup> See <https://qz.com/228750/the-internet-of-things-may-not-need-an-internet-connection/>

<sup>58</sup> See [https://www.windriver.com/whitepapers/security-in-the-internet-of-things/wr\\_security-in-the-internet-of-things.pdf](https://www.windriver.com/whitepapers/security-in-the-internet-of-things/wr_security-in-the-internet-of-things.pdf)

- **Limited device resources:** Applying conventional security practices in IoT could require a substantial reengineering due to technical constraints. The majority of IoT devices have limited capabilities, e.g. processing, memory and power, and therefore advanced security controls cannot be effectively applied.
- **Complex ecosystem:** Security concerns are exacerbated since IoT should not be seen as a collection of independent devices, but rather as a rich, diverse and wide ecosystem involving aspects such as devices, communications, interfaces, and people.
- **Fragmentation of standards and regulations:** The fragmented and slow adoption of standards and regulations to guide the adoption of IoT security measures and good practices, as well as the continuous emergence of novel technologies, further complicate relevant concerns.
- **Widespread deployment:** Apart from commercial applications of IoT, recent trends have seen Critical Infrastructures (CIs) migrating toward Smart ones by employing IoT on top of legacy infrastructures.
- **Security integration:** This is a very challenging task, due to the presence of possibly contradicting viewpoints and requirements from all involved stakeholders. For example, different IoT devices and systems may be based on different authentication solutions, which must be integrated and made interoperable.
- **Safety aspects:** They are very relevant in the IoT context because of the presence of actuators, which act on the physical world. Security threats can become safety threats as, for instance, the recent cybersecurity attacks on connected vehicles have demonstrated<sup>10</sup>.
- **Low cost:** The wide penetration of IoT and the advanced functionalities it offers in several critical sectors denotes the potential for significant cost savings by exploiting features such as data flows, advanced monitoring, and integration to name a few. Conversely, it is often the case that the low cost that is usually associated with IoT devices and systems will have implications in terms of security. Manufacturers might be inclined to limit security features to ensure a low cost and thus product security might not be able to protect against certain types of IoT attacks.
- **Lack of expertise:** This is a rather novel domain and therefore there is a lack of people with the suitable skillset and expertise in IoT cybersecurity.
- **Security updates:** Applying security updates to IoT is extremely challenging, since the particularity of the user interfaces available to users does not allow traditional update mechanisms. Securing of those mechanisms is in itself a daunting task, especially considering Over-The-Air updates.
- **Insecure programming:** Since the “time to market” pressure for IoT products is higher than in other domains, this imposes constraints on the available efforts to develop security and privacy by design. For this reason, and sometimes also due to budget issues, companies developing IoT products generally place more emphasis on functionality and usability than on security.
- **Unclear liabilities:** The lack of a clear assignment of liabilities might lead to ambiguities and conflicts in case of a security incident, especially considering the large and complex supply chain involved in IoT. Moreover, the question of how to manage security if one single component were shared by several parties remains unanswered. Enforcing liability is another major issue.

### 2.3 Challenge of defining horizontal baseline security measures

ENISA together with the vast majority of the experts interviewed agree on the complexity of studying IoT security in a horizontal way, due to the security measures and the impact of the threats being determined by the criticality of the different assets, which differs depending on the use case, the application use and the use scenario.

For each IoT environment it is necessary to carry out a risk assessment to go through the threats that can affect the different assets, define the plausible attack scenarios, and put them in the context of the IoT service defined, working out which hazards are critical or not and which ones can be mitigated. These



reasons highlight the intricacy involved in approaching the IoT in a horizontal way, rather than tackling a specific IoT vertical<sup>59</sup> such as Smart Cars<sup>10</sup>, Smart Airports<sup>11</sup>, Smart Hospitals<sup>12</sup>, Smart Homes<sup>7</sup>, Intelligent Public Transport<sup>60</sup>, ICS/SCADA<sup>61</sup>, etc.

Nonetheless, this report considers the horizontal aspects of IoT as seen across vertical sectors and thus aims to satisfy the paramount need to define baseline security measures for IoT across Critical Information Infrastructures. In this respect, this report complements the aforementioned previous efforts of ENISA in the vertical sectors and thus promotes a holistic approach towards IoT security.

## 2.4 Architecture

Since IoT solutions are developed with specific technologies and focus on specific applications, they lack standardisation, which results in fragmented and heterogeneous architectures. ENISA studied and reviewed several existing IoT architectures and based on them, put forward an architecture that encompasses key elements of those architectures, promoting a significant degree of interoperability across different assets, platforms environments, etc., with the ambition of laying down a common architectural basis for IoT in a horizontal. The main IoT architectures studied are:

- AIOTI High Level Architecture functional model<sup>62</sup>
- FP7-ICT – IoT-A Architectural reference model<sup>63</sup>
- NIST Network of Things (NoT)<sup>64</sup>
- ITU-T IoT reference model<sup>39</sup>
- ISO/IEC CD 30141 Internet of Things Reference Architecture (IoT RA)<sup>65</sup>
- ISACA Conceptual IoT Architecture<sup>66</sup>
- oneM2M Architecture Model<sup>67</sup>
- IEEE P2413 - Standard for an Architectural Framework<sup>68</sup>

Having analysed the aforementioned architectures, we abstracted and extrapolated the fundamental elements into a consolidated high-level IoT reference model, which encompasses the key elements of these architectures. The objective was to utilise this high-level reference model (Figure 4) in order to define the assets for IoT security and to assist us in consistently applying our methodology in identifying threats and attacks. The following diagram depicts this high-level reference model.

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<sup>59</sup> See <https://www.enisa.europa.eu/topics/iot-and-smart-infrastructure>

<sup>60</sup> See <https://www.enisa.europa.eu/publications/good-practices-recommendations>

<sup>61</sup> See <https://www.enisa.europa.eu/publications/ics-scada-dependencies>

<sup>62</sup> See [https://aioti-space.org/wp-content/uploads/2017/03/AIOTI-WG3-IoT-High-Level-Architecture-Release\\_2\\_1.pdf](https://aioti-space.org/wp-content/uploads/2017/03/AIOTI-WG3-IoT-High-Level-Architecture-Release_2_1.pdf)

<sup>63</sup> See [http://www.meet-iot.eu/deliverables-IOTA/D1\\_5.pdf](http://www.meet-iot.eu/deliverables-IOTA/D1_5.pdf)

<sup>64</sup> See <http://nvlpubs.nist.gov/nistpubs/SpecialPublications/NIST.SP.800-183.pdf>

<sup>65</sup> See [https://www.w3.org/WoT/IG/wiki/images/9/9a/10N0536\\_CD\\_text\\_of\\_ISO\\_IEC\\_30141.pdf](https://www.w3.org/WoT/IG/wiki/images/9/9a/10N0536_CD_text_of_ISO_IEC_30141.pdf)

<sup>66</sup> See <https://www.isaca.org/Journal/archives/2017/Volume-3/Pages/default.aspx>

<sup>67</sup> See [http://www.onem2m.org/images/files/deliverables/Release2/TS-0001-%20Functional\\_Architecture-V2\\_10\\_0.pdf](http://www.onem2m.org/images/files/deliverables/Release2/TS-0001-%20Functional_Architecture-V2_10_0.pdf)

<sup>68</sup> See <https://standards.ieee.org/develop/project/2413.html>



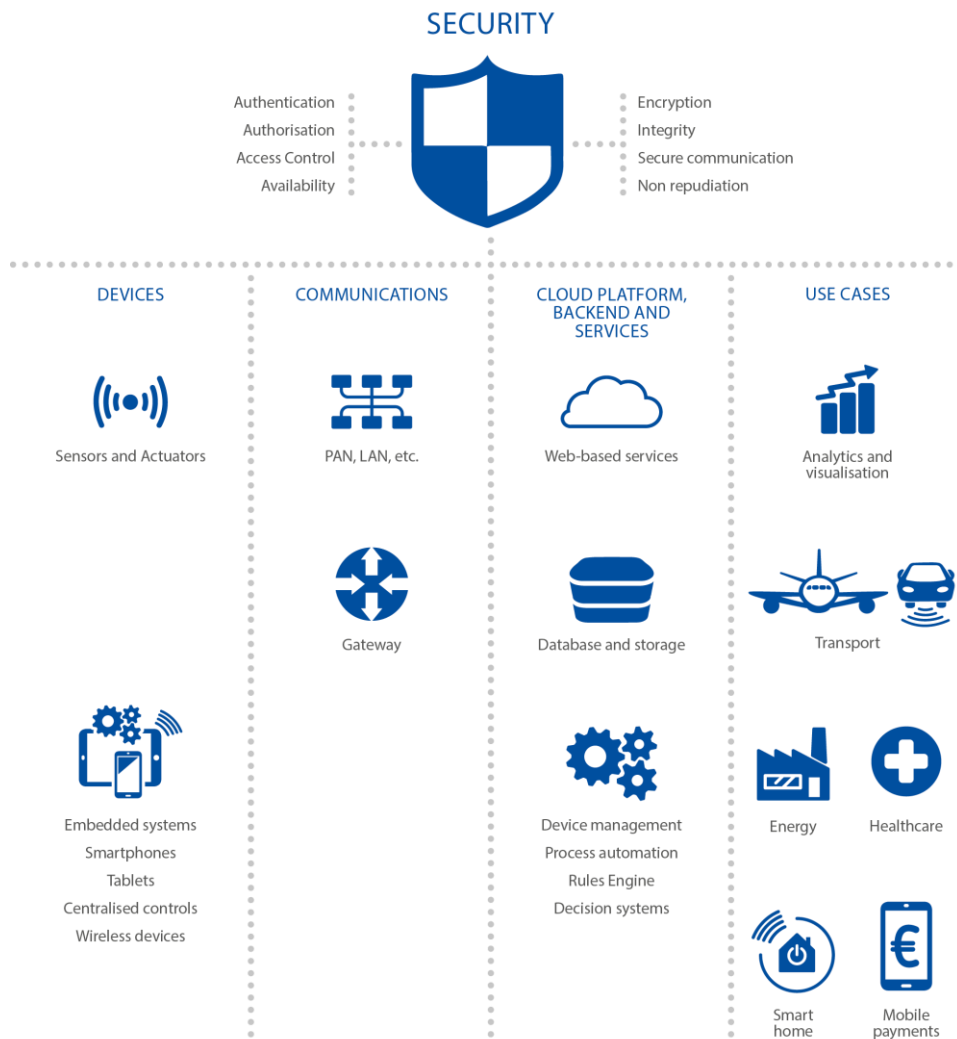


Figure 4: IoT High-level reference model

The different elements that compose the IoT high-level reference model are illustrated in Figure 4. It should be noted that we do not aspire to set forth a novel IoT architecture or reference model. Conversely, by analysing existing such efforts we aim at abstracting their fundamental elements in order to coherently and systematically identify the assets to be protected. Moreover, the horizontal nature of security should be underlined in the context of the IoT ecosystem. It applies to all the different elements of the reference model – not only the devices that need to be secured logically and physically, but also the communications, the network elements, the stored information, the cloud platform, etc. With no intention of being exhaustive, there are several security considerations to take into account, such as for example authentication, availability, resilience and authorisation mechanisms, or the use of encryption to protect the confidentiality of data, both at rest and in transit. Figure 4 indicatively lists some of the security mechanisms that can be considered, whereas it should also be noted that privacy has an equally important place and should be also considered across the IoT ecosystem.

## 2.5 Asset taxonomy

Tackling cyber security starts from asset identification and decomposition. This section provides an overview of the key asset groups and assets to be protected in an IoT ecosystem. Since we are approaching IoT in a horizontal way, the level of protection for a given asset will vary depending on the use case, the application used and the use scenario of said IoT ecosystem.

The different IoT assets have been divided into the key asset groups defined. This asset taxonomy is depicted in Figure 5, and Table 2 details and elaborates on the different assets. It should be noted that the lowest level of the taxonomy is indicative and not exhaustive. For instance, not all sensor types are listed, just some representative ones. This also applies to the networks, the protocols, etc.

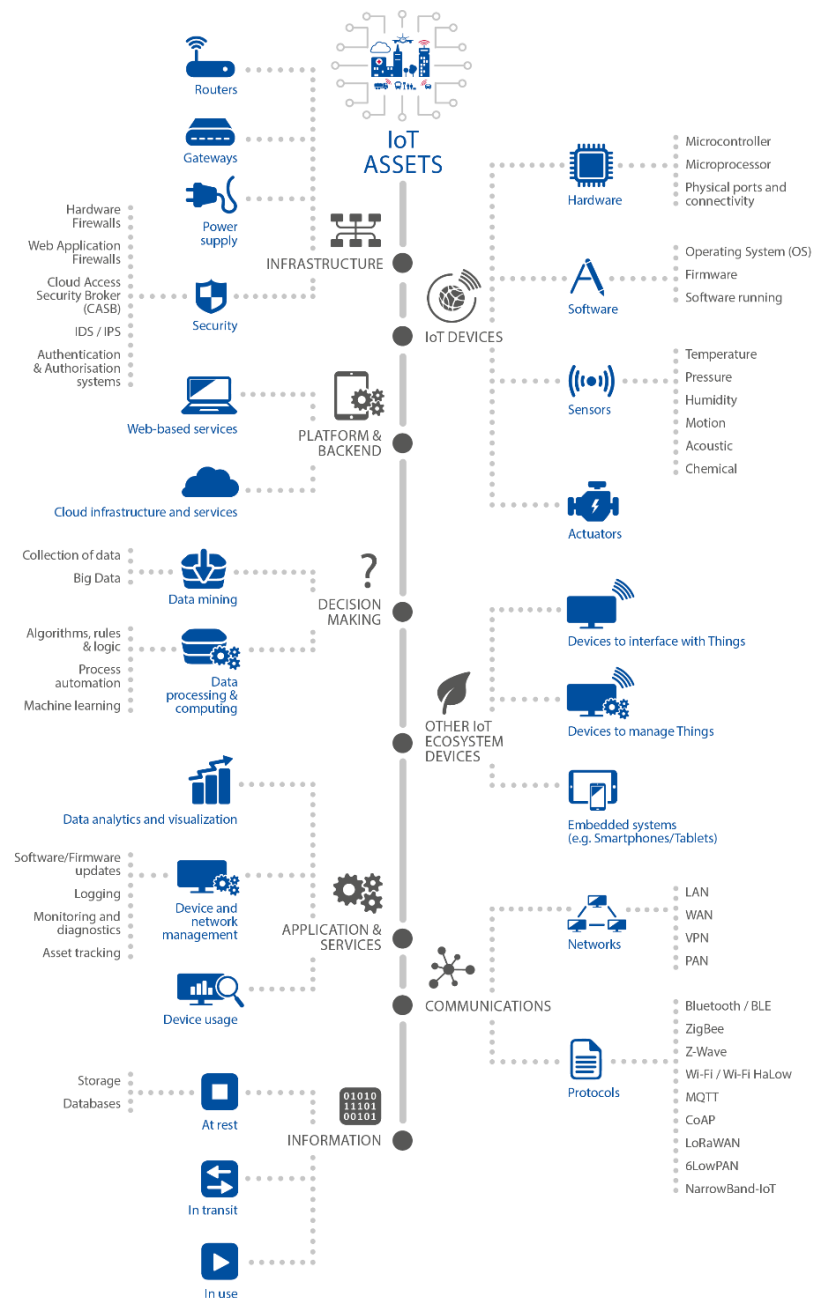


Figure 5: Asset taxonomy

| ASSET GROUP                 | ASSETS                            | DESCRIPTION                                                                                                                                                                                                                                                                                    |
|-----------------------------|-----------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| IoT Devices                 | Hardware                          | The different physical components (except sensors and actuators) from which the IoT devices can be built. These include microcontrollers, microprocessors, the physical ports of the device, the motherboard, etc.                                                                             |
|                             | Software                          | Software comprises the IoT device's OS, its firmware and the programs and applications installed/running.                                                                                                                                                                                      |
|                             | Sensors                           | These are the subsystems whose purpose is to detect and/or measure events in its environment and send the information to other electronics in order to be processed. There are sensors for a lot of purposes, such as to measure temperature, motion, etc.                                     |
|                             | Actuators                         | These are IoT device's output units, which execute decisions based on previously processed information.                                                                                                                                                                                        |
| Other IoT Ecosystem Devices | Devices to interface with Things  | These are devices whose purpose is to serve as an interface or as an aggregator between other IoT devices of a given IoT ecosystem. Moreover, devices used by users to interface and interact with IoT devices.                                                                                |
|                             | Devices to manage Things          | These are devices specially designed to manage other IoT devices, networks etc.                                                                                                                                                                                                                |
|                             | Embedded systems                  | They are based on a processing unit that enables them to process data on their own. They include embedded sensors and/or actuators, network capabilities to connect directly to the cloud, a memory footprint and the ability to run software.                                                 |
| Communications              | Networks                          | They allow the different nodes of an IoT ecosystem to exchange data and information with each other, via a data link. There are different kinds of networks according to their spatial coverage, which include (W)LANs, (W)PANs, PANs and (W)WANs, among others.                               |
|                             | Protocols                         | They define the set of rules on how communication between two or more IoT devices must be performed through a given channel. There are many communication protocols, which can be either wireless or wireline-based. Examples of IoT communication protocols are ZigBee, MQTT, CoAP, BLE, etc. |
| Infrastructure              | Routers                           | They are the networking components that forward data packets between the different networks of the IoT ecosystem.                                                                                                                                                                              |
|                             | Gateways                          | These are the network nodes used for interfacing with another network from the IoT environment that uses different protocols. Gateways may provide protocol translators, fault isolators, etc., to provide system interoperability.                                                            |
|                             | Power supply                      | It supplies electric power to an IoT device and to its internal components. The power source can be external and wired or a battery integrated in the device itself.                                                                                                                           |
|                             | Security assets                   | This group comprises the assets specifically focused on the security of the IoT devices, networks and information. Most prominently, these include firewalls, Web Application Firewalls (WAF), CASBs for protecting the cloud, IDSs, IPSs and authentication/authorisation systems.            |
| Platform & Backend          | Web-based services                | These are services within the World Wide Web, which provide a web-based interface to web users or to web-connected applications. This means web technologies can be used in IoT for Human-to-Machine (H2M) communications and for M2M communications.                                          |
|                             | Cloud infrastructure and services | In IoT, the cloud backend can be used to aggregate and process data from dispersed devices, and it also provides computing capabilities, storage, applications, services, etc.                                                                                                                 |
| Decision making             | Data mining                       | This refers to algorithms and services to process collected data and transform it into a defined structure for further use, using big data technologies for discovering patterns in very large data sets.                                                                                      |
|                             | Data processing and computing     | Services facilitating the processing of gathered data in order to obtain useful information, which can be used to apply rules and logic, to make decisions and to automate processes. Machine learning can be employed to learn from the use of information available over time.               |

|                         |                                  |                                                                                                                                                                                                                                                                               |
|-------------------------|----------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Applications & Services | Data analytics and visualisation | Once the data has been collected and processed, the resulting information can be analysed and visualised in order to identify new patterns, improve operational efficiency, etc.                                                                                              |
|                         | Device and network management    | The management of the IoT ecosystem devices and networks includes the software updates of the OS, firmware and applications. It also encompasses the tracking and monitoring of the devices and networks, collecting and storing logs that can later be used for diagnostics. |
|                         | Device usage                     | The contextualisation of the IoT ecosystem devices and networks, so as to understand the current status, usage patterns, performance, etc.                                                                                                                                    |
| Information             | At rest                          | Information stored in a database in the cloud backend or in the devices themselves.                                                                                                                                                                                           |
|                         | In transit                       | Information sent or exchanged through the network between two or more IoT elements.                                                                                                                                                                                           |
|                         | In use                           | Information used by an application, service or IoT element in general.                                                                                                                                                                                                        |

Table 2: Asset taxonomy

Figure 6 provides a view of the criticality of the main assets described in the asset taxonomy, based on the responses received by the subject matter experts in the interviews. These interviews involved a structured questionnaire where one of the questions the experts were asked was to evaluate the main IoT assets according to their criticality. The experts could classify the assets as not important, of low importance, of medium importance, of high importance and of crucial importance.

It is worth putting again special emphasis on the complexity of defining the criticality of a given asset in a horizontal way rather than considering a specific vertical use case. Abstracting from this fact is very challenging, but that is the goal of this report.

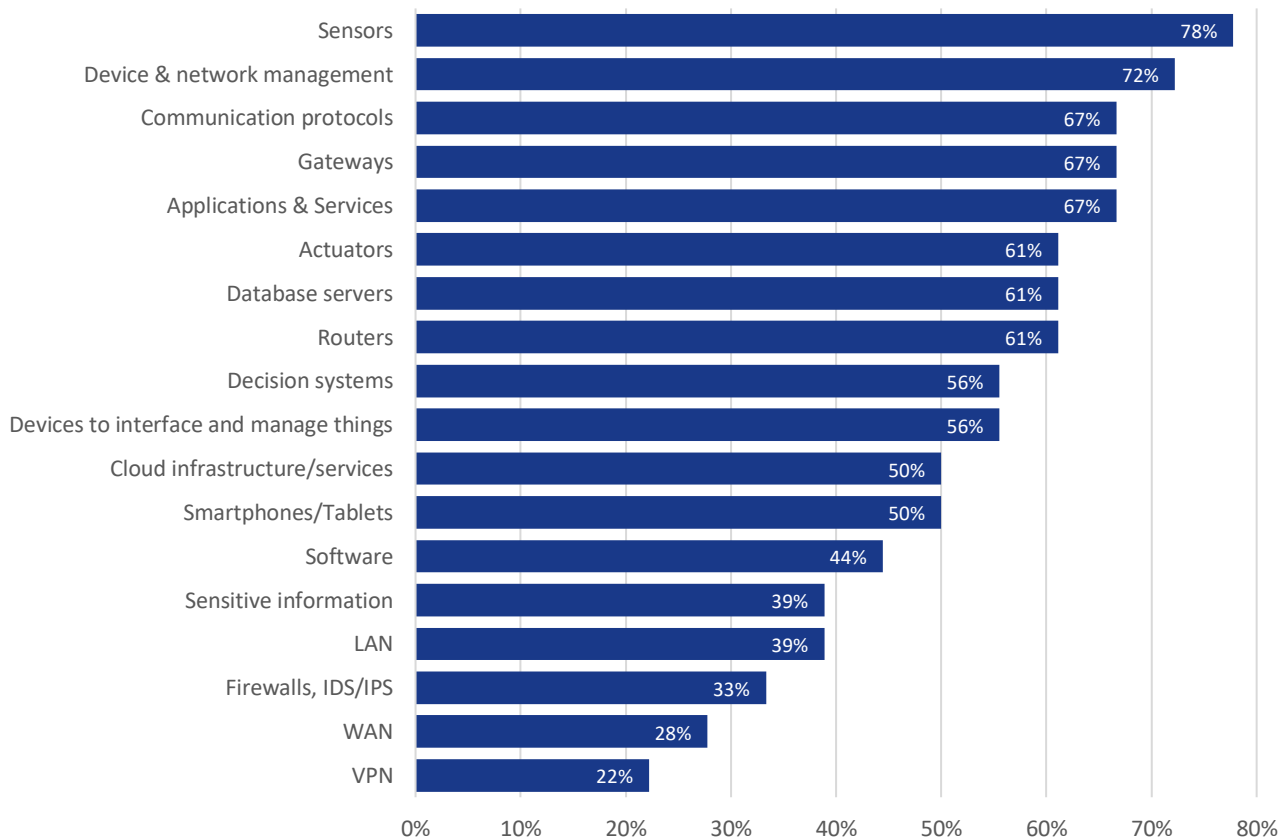


Figure 6: Asset criticality

The main findings here are that the most critical assets are the sensors, then the device and network management controls and thirdly the communication protocols, the gateways and the applications and services, all of them marked as critical by at least two thirds or more of the experts interviewed. Therefore, when addressing security in IoT, those assets should be prioritised. Once again, these results are based on a horizontal approach; hence, they could vary depending on the different deployments and use cases. Anyhow, conducting an asset and risk assessment is key to determine the criticality of the assets and threats that affect a specific IoT environment.

### 3. Threats and risk analysis

The main objective of this chapter is to determine and list the main security threats, vulnerabilities, risk factors and attack scenarios that affect IoT devices and networks, taking the different levels of importance and criticality the interviewed experts provided for each threat, risk and attack scenario into consideration. Furthermore, the three most critical attack scenarios are developed in detail in order to underline their intricacies and propose specific security measures to counter their impact and adverse effects.

#### 3.1 Security incidents

The number of security threats targeting IoT devices has increased over the last years. Figure 7 illustrates some of the main IoT security incidents that have been discovered and/or have taken place since 2009, so as to highlight how the number attacks on IoT have greatly increased. It should be noted that this list is not exhaustive, it includes only the main examples. Given the ever wider penetration of IoT across the entire spectrum of daily activities and critical infrastructures, the occurrence of cybersecurity incidents is bound to have an increasing rate. A more detailed description of each security incident can be found in Annex D.

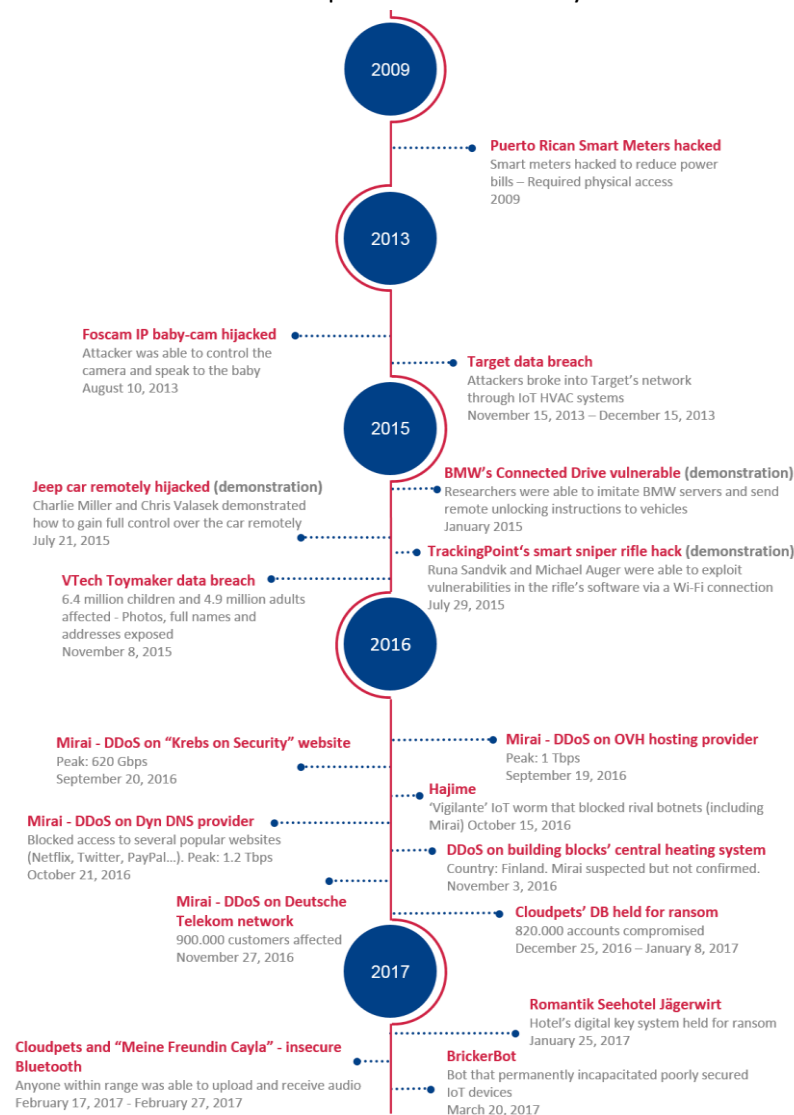


Figure 7: Indicative timeline of IoT security incidents

## 3.2 Threat taxonomy

As observed in the previous section, the number of attacks directly related to IoT has grown over the last few years reaching the point where it became mainstream news article in 2016 with the Mirai botnet attacks. These attacks, in their great majority, are related to devices that have been violated or to systems that have been compromised, increasing at the same time the number of hazards to be faced in IoT. Being consistent with the ENISA Threat Taxonomy<sup>69</sup>, we depict in Figure 8 the threat taxonomy focused on IoT with some examples of attacks listed (non exhaustive listing).

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<sup>69</sup> See <https://www.enisa.europa.eu/topics/threat-risk-management/threats-and-trends/enisa-threat-landscape/etl2015/enisa-threat-taxonomy-a-tool-for-structuring-threat-information>

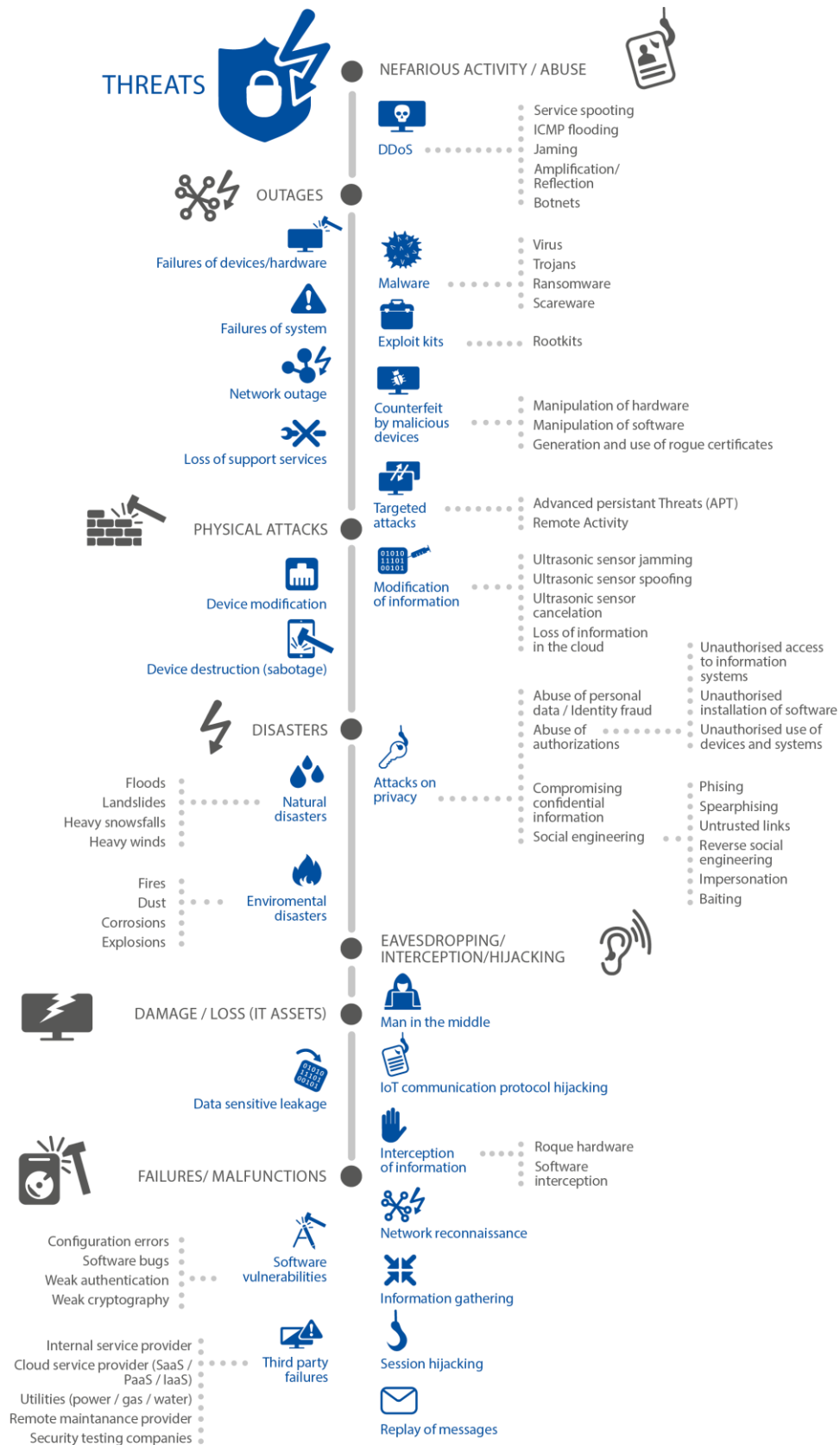


Figure 8: IoT Threat taxonomy



Nevertheless, the different threats have different potential impacts, since they vary according to the use case scenarios. In the interviews, the IoT experts provided insight into the varying impact of the threats. The most relevant ones are shown in Figure 9.

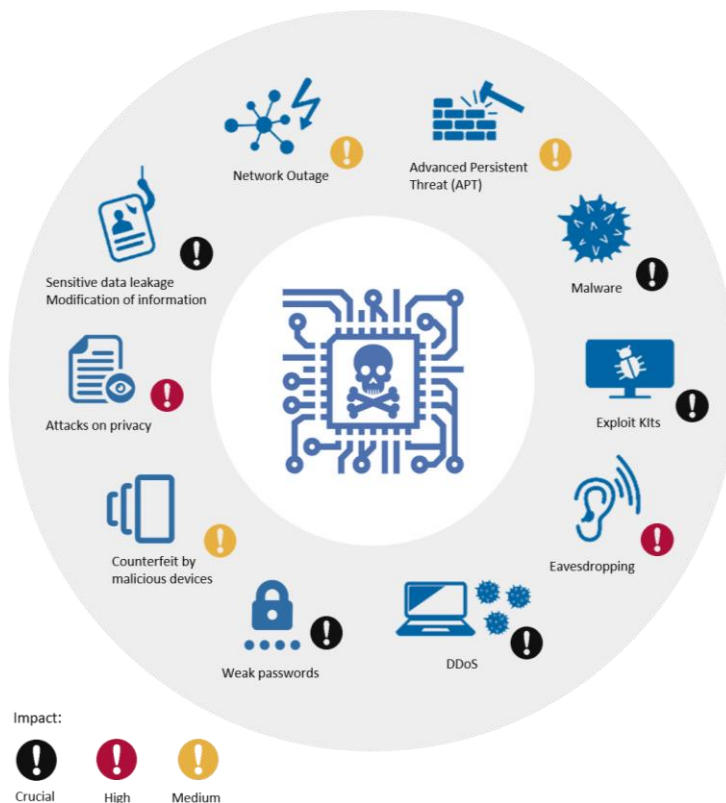


Figure 9: IoT threats impact

The impact of each threat was determined by calculating a weighted average of the responses from the interviewees, which were based on a five-step scale that ranged from no importance to crucial importance. While Figure 9 provides a visual representation of each threat’s impact, Figure 10 depicts the exact result of the calculation, where values between 3 and 3.5 out of 5 represent medium-importance threats, values between 3.5 and 4 out of 5 represent high-importance threats, and values over 4 out of 5 represent crucial-importance threats. Values below 3 out of 5 represent low-importance and no-importance threat, but it should be noted that no threat got that rating. Moreover, it can be seen that the average impact is rated as high, since the value of the average impact is 3.7 out of 5.

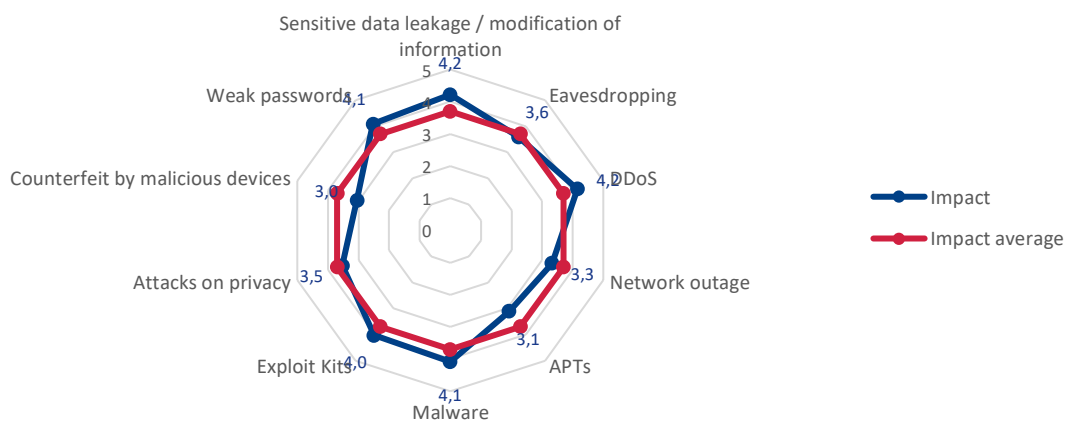


Figure 10: Threat impact weighted average

Table 3 briefly describes every threat identified in the threat taxonomy and the assets affected by them.

| CATEGORY                                 | THREAT                               | DESCRIPTION                                                                                                                                                                                                                                                                                                                                 | ASSETS AFFECTED                                                                            |
|------------------------------------------|--------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|
| Nefarious activity / Abuse               | Malware                              | Software programs designed to carry out unwanted and unauthorised actions on a system without the consent of the user, resulting in damage, corruption or information theft. Its impact can be high.                                                                                                                                        | - IoT devices<br>- Other IoT Ecosystem devices<br>- Platform & Backend                     |
|                                          | Exploit Kits                         | Code designed to take advantage of a vulnerability in order to gain access to a system. This threat is difficult to detect and in IoT environments its impact ranges from high to crucial, depending on the assets affected.                                                                                                                | - IoT devices<br>- Other IoT Ecosystem devices<br>- Infrastructure                         |
|                                          | Targeted attacks                     | Attacks designed for a specific target, launched over a long period of time, and carried out in multiple stages. The main objective is to remain hidden and to obtain as much sensitive data/information or control as possible. While the impact of this threat is medium, detecting them is usually very difficult and takes a long time. | - Infrastructure<br>- Platform & Backend<br>- Information                                  |
|                                          | DDoS                                 | Multiple systems attack a single target in order to saturate it and make it crash. This can be done by making many connections, flooding a communication channel or replaying the same communications over and over.                                                                                                                        | - IoT devices<br>- Other IoT Ecosystem devices<br>- Platform & Backend<br>- Infrastructure |
|                                          | Counterfeit by malicious devices     | This threat is difficult to discover, since a counterfeit device cannot be easily distinguished from the original. These devices usually have backdoors and can be used to conduct attacks on other ICT systems in the environment.                                                                                                         | - IoT devices<br>- Other IoT Ecosystem devices<br>- Infrastructure                         |
|                                          | Attacks on privacy                   | This threat affects both the privacy of the user and the exposure of network elements to unauthorised personnel.                                                                                                                                                                                                                            | - IoT devices<br>- Other IoT Ecosystem devices<br>- Platform & Backend<br>- Information    |
|                                          | Modification of information          | In this case, the objective is not to damage the devices, but to manipulate the information in order to cause chaos, or acquire monetary gains.                                                                                                                                                                                             | - IoT Devices<br>- Other IoT Ecosystem devices<br>- Platform & Backend<br>- Information    |
| Eavesdropping / Interception / Hijacking | Man in the middle                    | Active eavesdropping attack, in which the attacker relays messages from one victim to another, in order to make them believe that they are talking directly to each other                                                                                                                                                                   | - Information<br>- Communications<br>- IoT devices                                         |
|                                          | IoT communication protocol hijacking | Taking control of an existing communication session between two elements of the network. The intruder is able to sniff sensible information, including passwords. The hijacking can use aggressive techniques like forcing disconnection or denial of service.                                                                              | - Information<br>- Communications<br>- IoT devices<br>- Decision making                    |
|                                          | Interception of information          | Unauthorised interception (and sometimes modification) of a private communication, such as phone calls, instant messages, e-mail communications                                                                                                                                                                                             | - Information<br>- Communications<br>- IoT devices                                         |
|                                          | Network reconnaissance               | Passively obtain internal information about the network: devices connected, protocol used, open ports, services in use, etc.                                                                                                                                                                                                                | - Information<br>- Communications<br>- IoT devices<br>- Infrastructure                     |
|                                          | Session hijacking                    | Stealing the data connection by acting as a legitimate host in order to steal, modify or delete transmitted data.                                                                                                                                                                                                                           | - Information<br>- Communications<br>- IoT devices                                         |
|                                          | Information gathering                | Passively obtain internal information about the network: devices connected, protocol used, etc.                                                                                                                                                                                                                                             | - Information<br>- Communications<br>- IoT devices                                         |
|                                          | Replay of messages                   | This attack uses a valid data transmission maliciously by repeatedly sending it or delaying it, in order to manipulate or crash the targeted device.                                                                                                                                                                                        | - Information<br>- IoT devices<br>- Decision making                                        |

|                           |                                      |                                                                                                                                                                                                                                                     |                                                                                                                         |
|---------------------------|--------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------|
| Outages                   | Network Outage                       | Interruption or failure in the network supply, either intentional or accidental. Depending on the network segment affected, and on the time required to recover, the importance of this threat ranges from high to critical.                        | - Infrastructure<br>- Communications                                                                                    |
|                           | Failures of devices                  | Threat of failure or malfunction of hardware devices                                                                                                                                                                                                | - IoT devices                                                                                                           |
|                           | Failure of system                    | Threat of failure of software services or applications                                                                                                                                                                                              | - IoT devices<br>- Platform & Backend<br>- Other IoT Ecosystem devices                                                  |
|                           | Loss of support services             | Unavailability of support services required for proper operation of the information system.                                                                                                                                                         | - All assets                                                                                                            |
| Damage / Loss (IT Assets) | Data / Sensitive information leakage | Sensitive data is revealed, intentionally or not, to unauthorised parties. The importance of this threat can vary greatly, depending on the kind of data leaked.                                                                                    | - IoT devices<br>- Other IoT Ecosystem devices<br>- Platform & Backend<br>- Information                                 |
| Failures / Malfunctions   | Software vulnerabilities             | The most common IoT devices are often vulnerable due to weak/default passwords, software bugs, and configuration errors, posing a risk to the network. This threat is usually connected to others, like exploit kits, and it is considered crucial. | - IoT devices<br>- Other IoT Ecosystem devices<br>- Platform & Backend<br>- Infrastructure<br>- Applications & Services |
|                           | Third parties failures               | Errors on an active element of the network caused by the misconfiguration of another element that has direct relation with it.                                                                                                                      | - IoT devices<br>- Other IoT Ecosystem devices<br>- Platform & Backend<br>- Infrastructure<br>- Applications & Services |
| Disaster                  | Natural Disaster                     | These include events such as, floods, heavy winds, heavy snows, landslides, among others natural disaster, which could physically damage the devices.                                                                                               | - IoT devices<br>- Other IoT Ecosystem devices<br>- Platform & Backend<br>- Infrastructure                              |
|                           | Environmental Disaster               | Disasters in the deployment environments of IoT equipment and causing their inoperability.                                                                                                                                                          | - Other IoT Ecosystem devices<br>- Platform & Backend<br>- Infrastructure                                               |
| Physical attacks          | Device modification                  | Tampering a device by for example taking advantage of bad configuration of ports, exploiting those left open.                                                                                                                                       | - Communications<br>- IoT devices                                                                                       |
|                           | Device destruction (sabotage)        | Incidents such devices theft, bomb attacks, vandalism or sabotage could damage devices                                                                                                                                                              | - IoT devices<br>- Other IoT Ecosystem devices<br>- Platform & Backend<br>- Infrastructure                              |

Table 3: Threat taxonomy

### 3.3 Examples of IoT cyber security attack scenarios

The threats and risks previously listed in chapter 3.2 could be used by attackers to cause cascade effects and further damages at different levels in the infrastructures. The different attack scenarios and the level of importance of each attack have been gathered from the desktop research as well as the information provided by the experts who have contributed to the report.

It is worth noting that the attacks may take place throughout the whole process, and the impact that attacks may have on each specific part of the process has also been analysed. The importance level provided for each sample attack scenario ranges from low and medium through high and crucial, representing the negative impact level these attacks could have in a real-life incident, according to the input of the experts interviewed. This information is synthesised in Table 4.

| ATTACK SCENARIOS                                                                             | IMPORTANCE LEVEL               |
|----------------------------------------------------------------------------------------------|--------------------------------|
| 1. Against the network link between controller(s) and actuators                              | High – Crucial                 |
| 2. Against sensors, modifying the values read by them or their threshold values and settings | High – Crucial                 |
| 3. Against actuators, modifying or sabotaging their normal settings                          | High – Crucial                 |
| 4. Against the administration systems of IoT                                                 | High – Crucial                 |
| 5. Exploiting protocol vulnerabilities                                                       | High                           |
| 6. Against devices, injecting commands into the system console                               | High – Crucial                 |
| 7. Stepping stones attacks                                                                   | Medium – High                  |
| 8. DDoS using an IoT botnet                                                                  | Crucial                        |
| 9. Power source manipulation and exploitation of vulnerabilities in data readings            | Medium – High                  |
| 10. Ransomware                                                                               | Medium – Crucial <sup>70</sup> |

Table 4: IoT attack scenarios

For these scenarios, additional relevant feedback in the context of this report was received. Each section includes a brief description, the potential impact, and threats:

#### 1. Against the network link between controller(s) and actuators

Eavesdropping is a threat that allows an attacker to extract sensitive and operational information that can be used for multiple malicious activities, including later attacks against IoT systems. In Advanced Persistent Threat (APT) attacks, eavesdropping and information gathering comprise one of the first stages carried out in order to identify weak spots and potential entry/attack points.

- **Impact:** the main effect is the leakage of data. Depending on the environment, the severity can be lower or greater, but it may also be signalling a larger attack in progress.
- **Threats related:** eavesdropping and leakage of sensitive data.

#### 2. Against sensors, modifying the values read by them or their threshold values and settings

The attacker manipulates the configuration of the sensors, changing the threshold values established on the sensors, to allow out-of-range read values to be accepted when they should not, posing a severe threat to the systems and installations. As larger installations usually have multiple and redundant sensors, the attacker would have to compromise multiple sensors for the attack to be efficient; if only one were compromised, the readings could be compensated with the input from the rest of the sensors.

- **Impact:** allowing sensors to report and accept incorrect values puts the IoT environment at risk; a malfunctioning sensor may allow a power spike to go through, physically damaging the systems.

<sup>70</sup> Depending on the target, the impact of the attack could range from medium through crucial. For instance, the impact of the ransomware attack against the digital key system of a hotel, example found in Figure 7 and in Annex D, was not critical. Nevertheless, a ransomware the size of WannaCry (<https://www.enisa.europa.eu/publications/info-notes/wannacry-ransomware-outburst>) aimed against IoT infrastructures could have an extreme impact and affect systems at an international scale.

- **Threats related:** attacks on privacy and leakages of sensitive data/modification of information.

### 3. Against actuators, modifying or sabotaging their normal settings

Manipulation of the actuators' configuration/parameters making them use wrong configurations, thresholds or data, and therefore affecting their normal behaviour by sabotaging their normal operation settings.

- **Impact:** it varies depending on the actuators affected. It can affect production processes.
- **Threats related:** network outage and counterfeit by malicious devices.

### 4. Against the administration systems of IoT

An attacker tries to gain full control over the administration system of an IoT system or device, potentially compromising the whole environment. It can be quite successful if weak or default passwords are used. This type of attack comprises different stages/phases and it is usually launched in a covert manner. It should be noted that this type of attack should be taken into account for the entire lifecycle of the device.

- **Impact:** the compromise, manipulation or interruption of certain IoT systems could affect many people, cause environmental issues and even extend to other systems, affecting their communications or even disabling them.
- **Threats related:** weak passwords, exploit kits, attacks on privacy, malware and DDoS.

### 5. Exploit Protocol vulnerabilities

This type of exploitation is usually the gateway to launch other types of attacks; it is a means to an end. Exploits are used to gain privileged unauthorised access to a system, which can lead to the installation of other malicious content or backdoors. It is used as part of an attack, regardless of whether the target is a single system/device or a whole network. It is difficult to detect these exploits, and it is much easier to detect the actions carried out after the exploit has been successful.

- **Impact:** if successful, the exploit creates an entry point to a system, in some cases with elevated privileges; if not, the system is likely to crash or become unstable. This attack is always used as part of a larger attack, which could be a simple data theft or a complex APT.
- **Threats related:** exploit kits, malware and APTs.

### 6. Against devices by injecting commands into the system console

This type of attack takes place when an attacker injects and executes commands with privileges in a compromised system through its console.

- **Impact:** if the attacker is able to inject commands into a device, he or she could manage to breach another machine in the environment. This would produce a cascade effect on the system, and the attacker would be able to use all these devices for malicious purposes.
- **Threats related:** Exploit kits, DDoS and network outage.

### 7. Stepping stone attacks

This type of attack is a common way to launch anonymous attacks. They are often used by network intruders to hide their identities, since they launch attacks not from their own computer but from intermediary hosts that they previously compromised.

- **Impact:** if an attacker launches a stepping stone attack, he or she could compromise a collection of hosts, using them as stepping stones to relay attack commands.
- **Threats related:** APTs, DDoS, counterfeit by malicious devices.

#### 8. DDoS using an IoT botnet

This type of attack does not target IoT devices themselves, but instead it uses them to attack other devices, not necessarily IoT ones. Firstly, a malware automatically finds vulnerable Internet of Things devices, infecting and conscripting them into a botnet, which then can be used to mount DDoS attacks, flooding the target's servers with malicious traffic.

- **Impact:** the target device or service will be flooded with malicious traffic, taking it down.
- **Threats related:** exploit kits, DDoS and counterfeit by malicious devices.

#### 9. Power source manipulation and exploitation of vulnerabilities in data readings

These attacks focus on manipulating power sources and exploiting vulnerabilities to modify the power data read. An attacker can tamper with the device's battery or power input cabling either physically, by manipulating the power source itself, or with malware, by manipulating the way a device reads the information coming from the power source in order to, for example, make the device believe the battery level is higher or lower than the actual level. Some types of smart devices may be dependent on batteries for their normal operation. This feature may seem like an advantage over the less usual cables but, far from this, it requires taking into account certain aspects of security.

- **Impact:** physical tampering a battery can damage it, potentially causing the device not being able to operate at all. The manipulation of the way a device reads the charge level coming from the battery can lead to the device believing the battery level is higher than the real one, causing the device to run out of battery and shut down, or lower than the real one, causing the device to enter a power-saving mode of operation, affecting the performance of the device.
- **Threats related:** malware, physical attacks.

#### 10. Ransomware

These attacks are carried out by a malware that perpetually blocks access to the victim's data unless a ransom is paid. Since these attacks are malware-based, they can be evaded by updating/patching vulnerable devices. This can be also done outside the IoT ecosystem, such as with the WannaCry attack that took place on May 2017<sup>70</sup>, where the patch for the vulnerability that WannaCry exploited was released months before the attack. The problem regarding IoT is the difficulty to update/patch the different devices - some of them do not even have the ability to be updated or patched.

- **Impact:** there are many possible targets for ransomware within IoT – an attacker could take control of a smart thermostat in the middle of winter and demand payment before the heat can be turned on, he could hold power grids or hospitals systems for ransom, etc., putting people safety at risk.
- **Threats related:** exploit kits, DDoS, malware, weak passwords.

### 3.4 Critical attack scenarios

During the interviews with experts and relevant stakeholders, the aforementioned attack scenarios regarding IoT environments were described and detailed. The experts were asked to rank the 10 example attack scenarios in terms of criticality and the following three were also the most worrisome ones for interviewees. Figure 11 depicts the average criticality of a given attack scenario based on the input gathered from the expert interviews. Again, the challenge lies in defining the criticality level of an attack on an IoT environment when doing so in a horizontal manner.



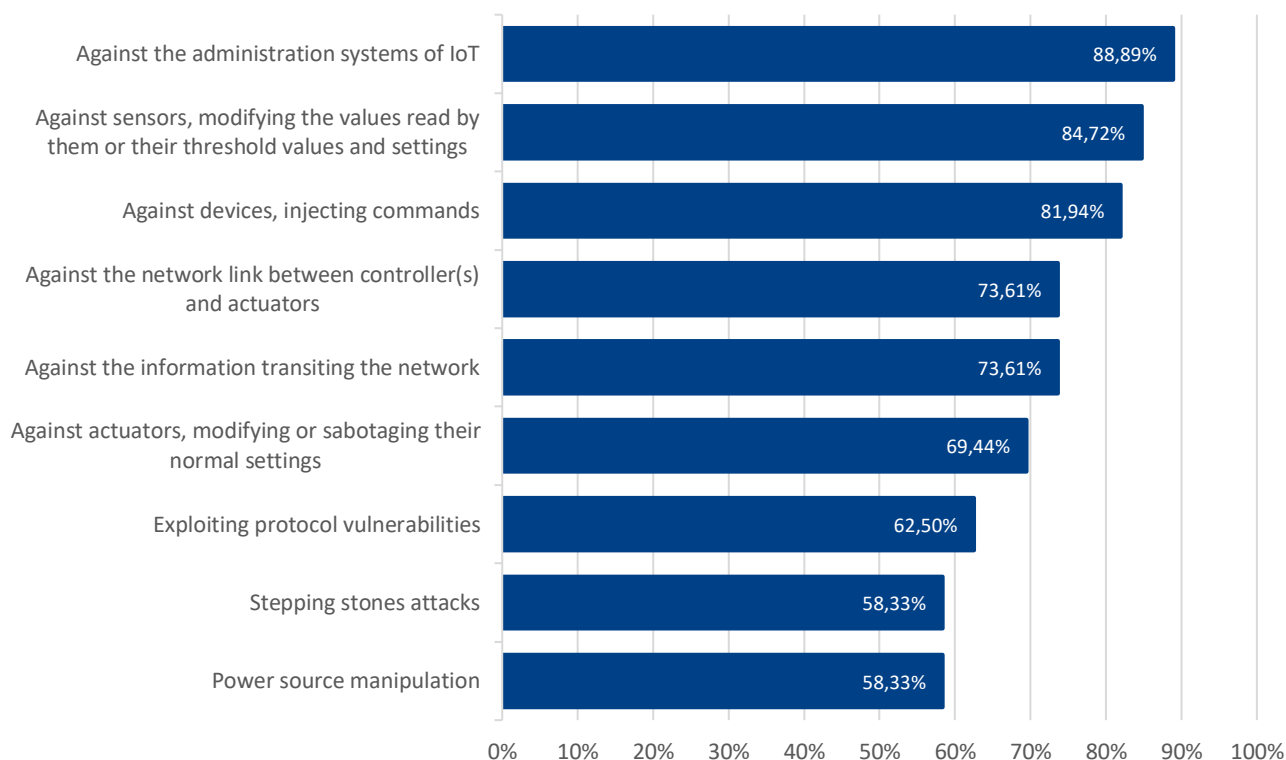


Figure 11: Attack scenario criticality

The three attack scenarios that stand out are:

- **Attack Scenario 1:** IoT administration system compromise
- **Attack Scenario 2:** Value manipulation in IoT devices
- **Attack Scenario 3:** Botnet / Commands Injection

The following sections detail each of these scenarios, including their impact, the stakeholders involved, the cascade effect risk, the gaps, the countermeasures that can be applied to protect against them, and more technical details. The detailed description of each one of those countermeasures can be found in Annex A: and in Annex B:

### 3.4.1 Attack scenario 1: IoT administration system compromise

This attack covers an infection designed to take control over one or multiple IoT devices within an IoT environment, in order to manipulate or crash them and to be able to modify values, change their functioning/behaviour or deny access to them. This attack scenario is based on an Enterprise gateway attack<sup>71,72</sup>.

As depicted in Figure 12, the first step is to gather information in the network about the different IoT devices used in the enterprise. Once an IoT device is identified and selected, the attacker gathers specific information about its vulnerabilities. The next step is to exploit the different vulnerabilities found in that device, and to compromise the network. After that, the attacker ensures the persistence of the access to the system by configuring a backdoor. At this point, the attacker only needs to update the system (e.g. with a modified firmware) for the device to be permanently compromised. This way, the attacker gains full control over the

<sup>71</sup> See <http://www.csoonline.com/article/3148806/internet-of-things/the-iot-gateway-for-enterprise-hackers.html>

<sup>72</sup> See <https://securelist.lat/iot-el-da-que-ataqu-mi-propia-casa/72452/>

device – he/she gains the ability to see all the data and information the device has gathered and has remote access to use whenever he/she wants, etc.

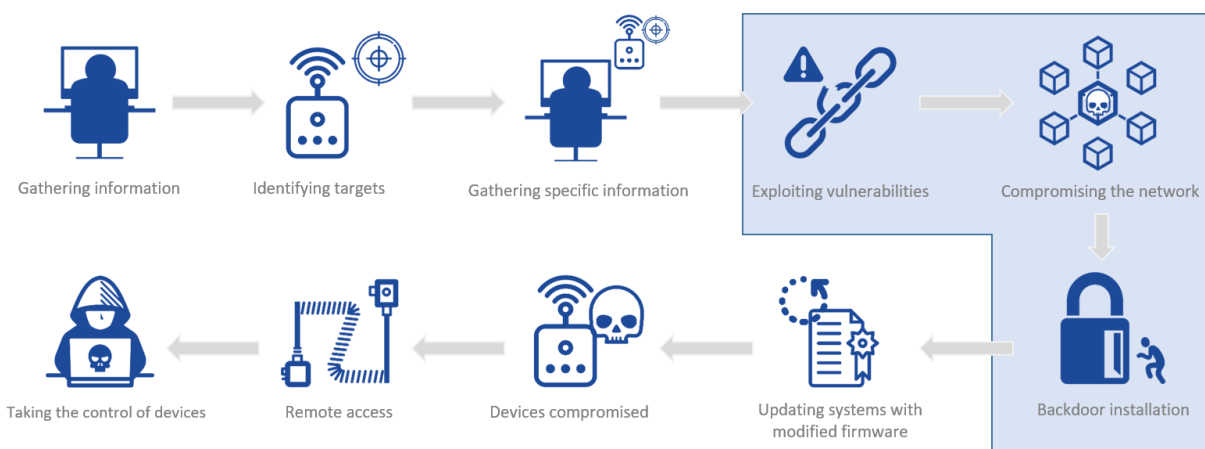


Figure 12: Attack 1 – IoT administration system compromised

|                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                 |
|-----------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| IOT SYSTEM COMPROMISE | IMPACT                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                 |
|                       | <p><b>Crucial:</b> the compromise of an IoT administration system grants the attacker access to all the assets (devices, networks, etc.) which fall under the control of that administration system. When compromised, the attacker is capable of performing administrative tasks within those assets, such as extracting confidential information from them, making them malfunction and directly affecting the behaviour of the IoT environment, etc. Since a compromised administration system leads to several assets being compromised over a long period of time and without being detected, the impact of this attack can be critical.</p>                                                                                                                                                                                                             |                                                                                                                                                                                 |
|                       | EASE OF DETECTION                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | CASCADE EFFECT RISK                                                                                                                                                             |
|                       | <p><b>Medium:</b> the changes to an IoT administration system can be detected through a correct monitoring and a proper logging system.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | <p><b>High:</b> the risk entailed is that, once an IoT device belonging to a specific IoT network is compromised, it becomes very easy to compromise the rest.</p>              |
|                       | ASSETS AFFECTED                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | STAKEHOLDERS INVOLVED                                                                                                                                                           |
|                       | <p>Devices to interface with things<br/>Devices to manage things<br/>Smartphones / Tablets<br/>Gateways<br/>Software<br/>Sensitive information</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | <p>IoT experts, software developers and manufacturers<br/>Information security experts<br/>IT/Security solutions architects<br/>Chief Information Security Officers (CISOs)</p> |
|                       | ATTACK STEPS (SAMPLE BASED ON A REAL-CASE ATTACK SCENARIO)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                 |
|                       | <ol style="list-style-type: none"> <li>1. Gathering of information about the infrastructure</li> <li>2. Identification of system components</li> <li>3. The attacker gathers further information to identify the vulnerable system</li> <li>4. The vulnerable system is identified</li> <li>5. Exploitation of vulnerabilities to compromise first the system and then through the system the network</li> <li>6. A backdoor is installed in order to maintain access to that system</li> <li>7. The attacker ensures the IoT systems are updated with modified firmware either by downloading and updating the firmware instantly, or by modifying the repository of update files. This is done to grant the attacker exclusive access and restrict other remote accesses</li> <li>8. Finally the attacker takes control over the IoT environment</li> </ol> |                                                                                                                                                                                 |



| RECOVERY TIME / EFFORT                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | GAPS AND CHALLENGES                                                                   |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|
| <p><b>Medium:</b> it depends on the perimeter of the assets compromised and on the number of assets infected. It ranges from a few hours to up to several days if critical systems are compromised.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | <p>Insecure design or development<br/>Lack of proper product lifecycle management</p> |
| COUNTERMEASURES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                       |
| <ul style="list-style-type: none"> <li>✓ GP-TM-04: Sign code cryptographically to ensure it has not been tampered after being signed as safe for the device, and implement run-time protection and secure execution monitoring to be sure malicious attacks do not overwrite code after it is loaded</li> <li>✓ GP-TM-05: Control the installation of software on operational systems, to prevent unauthenticated software and files being loaded onto it</li> <li>✓ GP-TM-06: Enable a system to return to a state that is known to be secure, after a security breach occurs or if an upgrade is not successful</li> <li>✓ Hardening assets:               <ul style="list-style-type: none"> <li>✓ GP-PS-11: Identify significant risks using a defence-in-depth approach</li> <li>✓ GP-TM-22: Ensure default passwords and even default usernames are changed during the initial setup, and that weak, null or blank passwords are not allowed</li> <li>✓ GP-TM-27: Limit the permissions of actions allowed for a given system by Implementing fine-grained authorisation mechanisms and using the Principle of least privilege (POLP): applications must operate at the lowest privilege level possible</li> <li>✓ GP-TM-23: Authentication mechanisms must use strong passwords or personal identification numbers (PINs), and should consider using two-factor authentication (2FA) or multi-factor authentication (MFA) like Smartphones, Biometrics, etc., and certificates</li> <li>✓ GP-TM-38: Guarantee the different security aspects -confidentiality (privacy), integrity, availability and authenticity- of the information in transit on the networks or stored in the IoT application or in the Cloud</li> <li>✓ GP-TM-55: Implement a logging system that records events relating to user authentication, management of accounts and access rights, modifications to security rules, and the functioning of the system</li> <li>✓ GP-TM-56: Implement regular monitoring to verify the device behaviour, to detect malware and to discover integrity errors</li> </ul> </li> </ul> |                                                                                       |

Table 5: Attack 1 – IoT administration system compromise

### 3.4.2 Attack scenario 2: Value manipulation in IoT devices

The manipulation of calibration parameters established for the sensors allows undesired values to be accepted when they should not, which poses a severe threat to critical systems. This attack targets the sensor processing and knowledge model levels of the control system of an industrial robot in an Industry 4.0 environment<sup>73</sup>.

Figure 13 describes this attack, which starts with the calibration of a robot sensing equipment after a configuration change or when connected to a controller. The calibration data initially stored in the sensing equipment is transmitted to the controller during the system boot. Since the robot uses its local copy of that data, an attacker can manipulate the calibration parameters, causing the robot to move erratically or unexpectedly (in decision making, wrong input values lead to wrong decisions).

<sup>73</sup> See <https://documents.trendmicro.com/assets/wp/wp-industrial-robot-security.pdf>

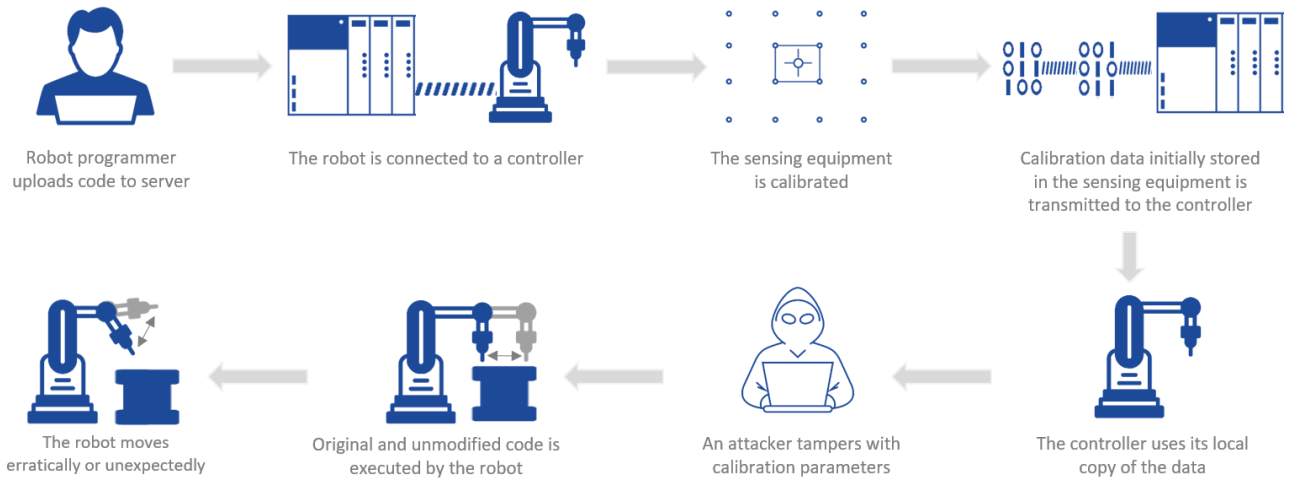


Figure 13: Attack 2 – Value manipulation in IoT devices

|                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                      |
|------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| IoT SYSTEM COMPROMISE  | <b>IMPACT</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                      |
|                        | <b>High – Crucial:</b> By allowing the sensors to report and accept incorrect values, the IoT environment is put at risk – a malfunctioning industrial robot can cause severe physical damage to whatever it is working with, and in the worst case scenario, to the people working with it.                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                      |
|                        | <b>EASE OF DETECTION</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | <b>CASCADE EFFECT RISK</b>                                                                                                                                           |
|                        | <b>Easy – Medium:</b> its detection is between easy and medium since an operator can see whether the outcome and the robot's behaviour are correct or not.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | <b>Medium:</b> The cascade effect risk is medium, but it can vary depending on the number of sensors compromised in the robot, and on the number of robots involved. |
|                        | <b>ASSETS AFFECTED</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | <b>STAKEHOLDERS INVOLVED</b>                                                                                                                                         |
|                        | Sensors<br>Actuators<br>Decision making<br>Software<br>Sensitive information                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | IoT experts, software developers and manufacturers<br>IT/Security solutions architects                                                                               |
|                        | <b>ATTACK STEPS (SAMPLE BASED ON A REAL-CASE ATTACK SCENARIO)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                      |
|                        | <ol style="list-style-type: none"> <li>1. The robot programmer uploads code to a server</li> <li>2. The robot is connected to a controller or its configuration has changed</li> <li>3. The sensing equipment is calibrated</li> <li>4. The calibration data initially stored in the sensing equipment is transmitted to the controller during the system boot</li> <li>5. The controller uses its local copy of the data</li> <li>6. An attacker remotely or locally tampers with calibration parameters</li> <li>7. Original and unmodified code is executed by the robot</li> <li>8. The robot moves erratically or unexpectedly because the true error is different from the error that the controller knows</li> </ol> |                                                                                                                                                                      |
|                        | <b>RECOVERY TIME / EFFORT</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | <b>GAPS AND CHALLENGES</b>                                                                                                                                           |
|                        | <b>Medium – High:</b> depending on the number of sensors, and the robots involved, the recovery time can range from a few days to weeks.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Insecure design or development<br>Lack of awareness and knowledge                                                                                                    |
| <b>COUNTERMEASURES</b> |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                      |

- ✓ GP-PS-10: Establish and maintain asset management procedures and configuration controls for key network and information systems
- ✓ GP-PS-11: Identify significant risks using a defence-in-depth approach
- ✓ GP-TM-15: Design with system and operational disruption in mind, preventing the system from causing unacceptable risk of injury or physical damage
- ✓ GP-TM-31: Measures for tamper protection and detection. Detection and reaction to hardware tampering should not rely on network connectivity
- ✓ GP-TM-54: Data input validation (ensuring that data is safe prior to use) and output filtering
- ✓ GP-TM-56: Implement regular monitoring to verify the device behaviour, to detect malware and to discover integrity errors
- ✓ GP-OP-09: Ensure the personnel practices promote privacy and security – train employees in good privacy and security practices

Table 6: Attack 2 – Value manipulation in IoT devices

### 3.4.3 Attack scenario 3: Botnet / Commands injection

This attack entails the exploitation of some vulnerability inside a device to inject commands and obtain administrator privileges, with the purpose of creating a botnet made up of those vulnerable IoT devices. A botnet is a network of automatic devices that interact to accomplish some distributed task. Due to the characteristic interconnection of IoT devices and their poor configuration, carrying out such an attack is simple. This attack scenario is based on the Mirai botnet<sup>74</sup>, which has conducted several of the most forceful DDoS attacks in recent history, and has proven capable of attacking varied kinds of targets, from KrebsOnSecurity website to a whole country's telecommunication infrastructure<sup>75</sup>. Therefore, with potential targets such as a hazardous energy infrastructure, the impact of a Mirai's attack can reach extremely critical levels.

The steps to follow in order to carry out this type of attack are illustrated in Figure 14. The first one is scanning open ports in IoT devices that are accessible over the Internet, which are usually poorly protected by default usernames and passwords that, users never change. Once the attacker gains access to the device, he or she will inject commands into the device's console in order to obtain administrator privileges. If the attacker succeeds in obtaining these permissions, he or she will then make the device connect to a Command and Control (C&C) under his or her control, to download and execute a malicious script. The script will then be executed, deleting itself afterward and running in-memory. Then, it will begin to spread, attacking the same way other vulnerable devices, in order to gather an IoT device army, conscripting them into a botnet, which the attacker will be able to control from a C&C centre, in order to launch distributed attacks conducted by the botnet.

<sup>74</sup> See <https://www.enisa.europa.eu/publications/info-notes/major-ddos-attacks-involving-iot-devices>

<sup>75</sup> See <http://www.energycollection.us/Companies/ICIT/Rise-Machines.pdf>

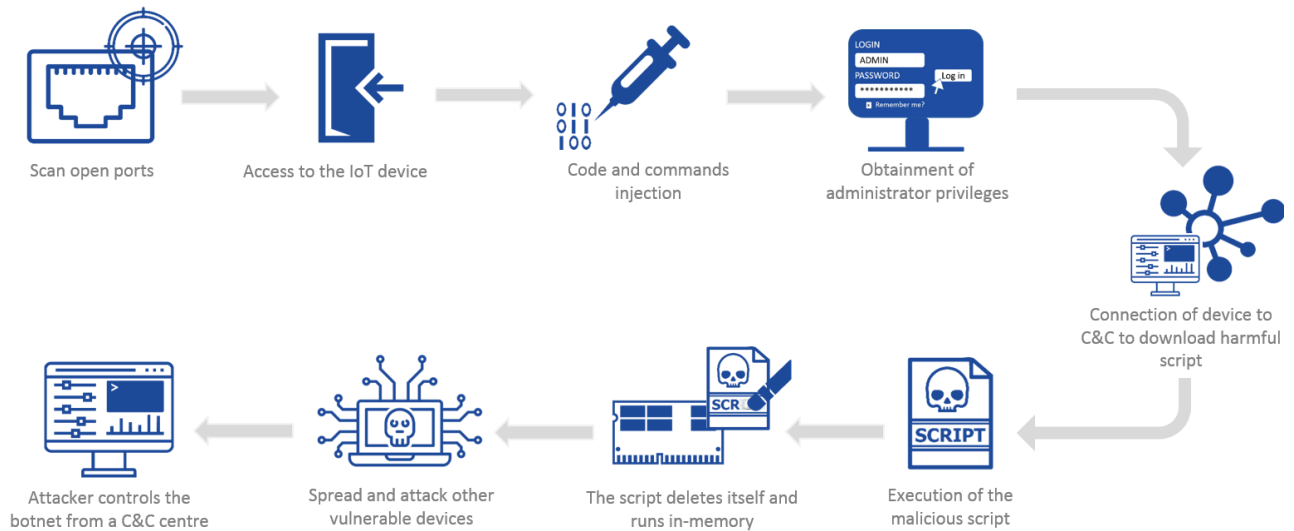


Figure 14: Attack 3 – Botnet / Commands injection

|                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                 |
|------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| IoT SYSTEM COMPROMISE  | IMPACT                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                 |
|                        | <p><b>High – Crucial:</b> The impact of the attacks carried out by a botnet ranges from high to critical, depending on the volume of the distributed attack, which is directly related to the number of compromised assets that are part of the botnet, and the criticality of the target.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                 |
|                        | EASE OF DETECTION                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | CASCADE EFFECT RISK                                                                                                                                                             |
|                        | <p><b>Hard:</b> due to the ignorance about the characteristics and configuration of these devices, these attacks tend to be hard to detect and identify the source, which allows them to pass undetected for long periods of time, and they are also complex to investigate and recover from.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | <p><b>Critical:</b> this type of attack has a tremendous cascade effect. Once a device is infected, the goal is to identify other vulnerable devices to extend the network.</p> |
|                        | ASSETS AFFECTED                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | STAKEHOLDERS INVOLVED                                                                                                                                                           |
|                        | <p>Devices to interface with things<br/>Devices to manage things<br/>Device and network management<br/>Communications<br/>Software</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | <p>IoT experts, software developers and manufacturers<br/>Information security experts<br/>IT/Security solutions architects<br/>Chief Information Security Officers (CISOs)</p> |
|                        | ATTACK STEPS (SAMPLE BASED ON A REAL-CASE ATTACK SCENARIO)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                 |
|                        | <ol style="list-style-type: none"> <li>1. The attacker scans open ports in devices belonging to an IoT network</li> <li>2. If there are any open ports, the attacker tries to gain access to the device using weaknesses such as weak or default passwords, or through exploiting the test/debug modes</li> <li>3. Once inside, the attacker injects commands in order to obtain administrator privileges</li> <li>4. With these permissions, the attacker tries to connect the device to the Command and Control of the botnet</li> <li>5. The attacker downloads and executes a malicious script</li> <li>6. The script deletes itself and runs in-memory</li> <li>7. Then, it will begin to spread, attacking other vulnerable devices in the same way, in order to gather an IoT device army, conscripting them into a botnet.</li> <li>8. The attacker can now control the botnet from a Command and Control (C&amp;C) centre, from where he or she will launch distributed attacks conducted by the botnet.</li> </ol> |                                                                                                                                                                                 |
| RECOVERY TIME / EFFORT | GAPS AND CHALLENGES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                 |

| <p><b>High:</b> the main issue is the amount of time it takes to detect that the system that has been manipulated, which can take several days/weeks, or even months in extreme cases</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | <p>Insecure design or development<br/>Lack of proper product lifecycle management</p> |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|
| COUNTERMEASURES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                       |
| <ul style="list-style-type: none"> <li>✓ GP-TM-04: Sign code cryptographically to ensure it has not been tampered after being signed as safe for the device, and implement run-time protection and secure execution monitoring to be sure malicious attacks do not overwrite code after it is loaded</li> <li>✓ GP-TM-05: Control the installation of software on operational systems, to prevent unauthenticated software and files being loaded onto it</li> <li>✓ GP-TM-06: Restore Secure State - Enable a system to return to a state that is known to be secure, after a security breach occurs or if an upgrade is not successful</li> <li>✓ GP-TM-08: Enable security by default. Any applicable security features should be enabled by default, and any unused or insecure functionalities should be disabled by default</li> <li>✓ GP-TM-09: Establish hard to crack device individual default passwords</li> <li>✓ GP-TM-22: Ensure default passwords and even default usernames are changed during the initial setup, and that weak, null or blank passwords are not allowed</li> <li>✓ GP-TM-50: Ensure only necessary ports are exposed and available</li> <li>✓ GP-TM-56: Implement regular monitoring to verify the device behaviour, to detect malware and to discover integrity errors</li> </ul> |                                                                                       |

Table 7: Attack 3 – Botnet / Commands injection

## 4. Security measures and good practices

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This chapter provides a detailed list of security measures and good practices, which aim to mitigate the threats, vulnerabilities and risks identified in the study that affect IoT devices and environments. These security measures and good practices have been defined with the aim to apply to the different IoT environments and deployments in a horizontal manner, instead of providing IoT vertical-specific security. Therefore, the security measures defined cover a wide range of security considerations, such as security by design, data protection, risk analysis, etc.

The set of security measures / good practices of this report has been determined based on a very extensive and thorough desktop research, which took into account different security guidelines, standards, etc. The list of these resources can be found in Annex C:

The different security measures and good practices identified fall into several security domains defined for the report. This domain division's purpose is to cover every IoT environment horizontally, so as to classify and define which security measures apply to which different IoT ecosystem areas. The proposed security domains are organised as follows:

- **Information System Security Governance & Risk Management:** Includes security measures regarding information system security risk analysis, policy, accreditation, indicators and audit, and human resource security.
- **Ecosystem Management:** Includes security measures regarding ecosystem mapping and ecosystem relations.
- **IT Security Architecture:** Includes security measures regarding systems configuration, asset management, system segregation, traffic filtering and cryptography.
- **IT Security Administration:** Includes security measures regarding administration accounts and administration information systems.
- **Identity and access management:** Includes security measures regarding authentication, identification and access rights.
- **IT security maintenance:** Includes security measures regarding IT security maintenance procedures and remote access.
- **Physical and environmental security**
- **Detection:** Includes security measures regarding detection, logging, and log correlation and analysis.
- **Computer security incident management:** Includes security measures regarding information system security incident analysis and response, and incident report.
- **Continuity of Operations:** Includes security measures regarding business continuity management and disaster recovery management.
- **Crisis Management:** Includes security measures regarding crisis management organization and process.

These security domains have been considered when developing the different security measures/good practices for IoT, which can be found below in points 4.1 through 4.3. The detailed description of each security measure/good practice and its security domain, along with the documents and references that have

been analysed in order to extract it, can be found in Annex A: together with all the relevant examples. Additionally, in Annex B; each security measure can be found mapped to the threats related to it.

As mentioned earlier, these security domains classify the security measures based on which area of an IoT ecosystem they apply to. Apart from their area of application, each security measure can be arranged according to its nature – they can be policies that must be taken into account when developing the devices, organisational measures focused on the business and employees that need to be adopted by the organisation itself, and finally, technical measures aimed at reducing the potential risks that the IoT devices and other elements of the IoT ecosystem may be subject to. Accordingly, the identified IoT baseline security measures (denoted henceforth as GP-Good Practices) are presented here and arranged according to three main categories:

- Policies (PS)
- Organisational, People and Process measures (OP)
- Technical Measures (TM)

## 4.1 Policies

The first set of security measures refers to policies that generally target information security and aim at making it more concrete and robust. These should be adequate for the organisation's activity and must contain well documented information. In this context, the following security good practices have been defined.

It is worth mentioning that when referring to security and privacy by design, the security measures should reflect the particularities and the context in which the IoT device or system will be deployed (for example, security by design will refer to different specifications when an IoT device at a home environment is considered, compared to the case of an IoT device in a critical infrastructure). As discussed, when it comes to IoT the cyber risk is context-dependent (i.e. based on the application scenario) and in this respect the security measures should be applied with this consideration in mind.

### 4.1.1 Security by design

- GP-PS-01: Consider the security of the whole IoT system from a consistent and holistic approach during its whole lifecycle across all levels of device/application design and development, integrating security throughout the development, manufacture, and deployment.
- GP-PS-02: Ensure the ability to integrate different security policies and techniques.
- GP-PS-03: Security must consider the risk posed to human safety.
- GP-PS-04: Designing for power conservation should not compromise security.
- GP-PS-05: Design architecture by compartments to encapsulate elements in case of attacks.
- GP-PS-06: For IoT hardware manufacturers and IoT software developers it is necessary to implement test plans to verify whether the product performs as it is expected. Penetration tests help to identify malformed input handling, authentication bypass attempts and overall security posture.
- GP-PS-07: For IoT software developers it is important to conduct code review during implementation as it helps to reduce bugs in a final version of a product.

### 4.1.2 Privacy by design

- GP-PS-08: Make privacy an integral part of the system.
- GP-PS-09: Perform privacy impact assessments before any new applications are launched.



#### 4.1.3 Asset Management

- **GP-PS-10:** Establish and maintain asset management procedures and configuration controls for key network and information systems.

#### 4.1.4 Risk and Threat Identification and Assessment

- **GP-PS-11:** Identify significant risks using a defence-in-depth approach.
- **GP-PS-12:** Identify the intended use and environment of a given IoT device.

### 4.2 Organisational, People and Process measures

All businesses must have organisational criteria for information security. Their personnel practices need to promote good security, ensure the management of processes and safely operate the information in the organisation practices. Organisations should ensure that contractors and suppliers are responsible and accountable for the functions considered. In the event of an incident in the safety of the organisation, the organisation must be prepared (responsibilities, evaluation and response).

#### 4.2.1 End-of-life support

- **GP-OP-01:** Develop an end-of-life strategy for IoT products.
- **GP-OP-02:** Disclose the duration and end-of-life security and patch support (beyond product warranty).
- **GP-OP-03:** Monitor the performance and patch known vulnerabilities up until the “end-of-support|” period of a product’s lifecycle.

#### 4.2.2 Proven solutions

- **GP-OP-04:** Use proven solutions, i.e. well known communications protocols and cryptographic algorithms, recognized by the scientific community, etc. Certain proprietary solutions, such as custom cryptographic algorithms, should be avoided.

#### 4.2.3 Management of security vulnerabilities and/or incidents

- **GP-OP-05:** Establish procedures for analysing and handling security incidents.
- **GP-OP-06:** Coordinated disclosure of vulnerabilities.
- **GP-OP-07:** Participate in information-sharing platforms to report vulnerabilities and receive timely and critical information about current cyber threats and vulnerabilities from public and private partners.
- **GP-OP-08:** Create a publicly disclosed mechanism for vulnerability reports, e.g. Bug Bounty programs.

#### 4.2.4 Human Resources Security Training and Awareness

- **GP-OP-09:** Ensure the personnel practices promote privacy and security – train employees in good privacy and security practices.
- **GP-OP-10:** Document and monitor the privacy and security training activities.
- **GP-OP-11:** Ensure that cybersecurity roles and responsibilities for all workforce are established and introduce personnel assignments in accordance with the specifics of the projects and security engineering needs.

#### 4.2.5 Third-Party relationships

- **GP-OP-12:** Data processed by a third-party must be protected by a data processing agreement.
- **GP-OP-13:** Only share consumers’ personal data with third parties with express consent of the consumers, unless otherwise required and limited for the use of product features or service operations.
- **GP-OP-14:** For IoT hardware manufacturers and IoT software developers it is necessary to adopt cyber supply chain risk management policies and to communicate cyber security requirements to its suppliers and partners.



## 4.3 Technical Measures

Evidently, the security measures and good practices need to consider and cover the technical elements, in order to diminish the vulnerabilities of IoT. Below we provide an overview of the necessary technical measures to preserve and protect the security of information in IoT. Since these are horizontal measures across vertical sectors/CIIs, given the particularities of each vertical, more concrete measures can be introduced for each vertical/CII.

Applying these technical measures should take into account the particularities of the IoT ecosystem such as scalability, namely given the huge number of involved devices certain measures might need to be carried out at the level of specialised architectural components, e.g. gateways.

### 4.3.1 Hardware security

- **GP-TM-01:** Employ a hardware-based immutable root of trust.
- **GP-TM-02:** Use hardware that incorporates security features to strengthen the protection and integrity of the device – for example, specialised security chips / coprocessors that integrate security at the transistor level, embedded in the processor, providing, among other things, a trusted storage of device identity and authentication means, protection of keys at rest and in use, and preventing unprivileged from accessing to security sensitive code. Protection against local and physical attacks can be covered via functional security.

### 4.3.2 Trust and Integrity Management

- **GP-TM-03:** Trust must be established in the boot environment before any trust in any other software or executable program can be claimed.
- **GP-TM-04:** Sign code cryptographically to ensure it has not been tampered with after signing it as safe for the device, and implement run-time protection and secure execution monitoring to make sure malicious attacks do not overwrite code after it is loaded.
- **GP-TM-05:** Control the installation of software in operating systems, to prevent unauthenticated software and files from being loaded onto it.
- **GP-TM-06:** Enable a system to return to a state that was known to be secure, after a security breach has occurred or if an upgrade has not been successful.
- **GP-TM-07:** Use protocols and mechanisms able to represent and manage trust and trust relationships.

### 4.3.3 Strong default security and privacy

- **GP-TM-08:** Any applicable security features should be enabled by default, and any unused or insecure functionalities should be disabled by default.
- **GP-TM-09:** Establish hard to crack, device-individual default passwords.

### 4.3.4 Data protection and compliance

- **GP-TM-10:** Personal data must be collected and processed fairly and lawfully, it should never be collected and processed without the data subject's consent.
- **GP-TM-11:** Make sure that personal data is used for the specified purposes for which they were collected, and that any further processing of personal data is compatible and that the data subjects are well informed.
- **GP-TM-12:** Minimise the data collected and retained.
- **GP-TM-13:** IoT stakeholders must be compliant with the EU General Data Protection Regulation (GDPR).
- **GP-TM-14:** Users of IoT products and services must be able to exercise their rights to information, access, erasure, rectification, data portability, restriction of processing, objection to processing, and their right not to be evaluated on the basis of automated processing.

#### 4.3.5 System safety and reliability

- **GP-TM-15:** Design with system and operational disruption in mind, preventing the system from causing an unacceptable risk of injury or physical damage.
- **GP-TM-16:** Mechanisms for self-diagnosis and self-repair/healing to recover from failure, malfunction or a compromised state.
- **GP-TM-17:** Ensure standalone operation - essential features should continue to work with a loss of communications and chronicle negative impacts from compromised devices or cloud-based systems.

#### 4.3.6 Secure Software / Firmware updates

- **GP-TM-18:** Ensure that the device software/firmware, its configuration and its applications have the ability to update Over-The-Air (OTA), that the update server is secure, that the update file is transmitted via a secure connection, that it does not contain sensitive data (e.g. hardcoded credentials), that it is signed by an authorised trust entity and encrypted using accepted encryption methods, and that the update package has its digital signature, signing certificate and signing certificate chain, verified by the device before the update process begins.
- **GP-TM-19:** Offer an automatic firmware update mechanism.
- **GP-TM-20:** Backward compatibility of firmware updates. Automatic firmware updates should not modify user-configured preferences, security, and/or privacy settings without user notification.

#### 4.3.7 Authentication

- **GP-TM-21:** Design the authentication and authorisation schemes (unique per device) based on the system-level threat models.
- **GP-TM-22:** Ensure that default passwords and even default usernames are changed during the initial setup, and that weak, null or blank passwords are not allowed.
- **GP-TM-23:** Authentication mechanisms must use strong passwords or personal identification numbers (PINs), and should consider using two-factor authentication (2FA) or multi-factor authentication (MFA) like Smartphones, Biometrics, etc., on top of certificates.
- **GP-TM-24:** Authentication credentials shall be salted, hashed and/or encrypted.
- **GP-TM-25:** Protect against 'brute force' and/or other abusive login attempts. This protection should also consider keys stored in devices.
- **GP-TM-26:** Ensure password recovery or reset mechanism is robust and does not supply an attacker with information indicating a valid account. The same applies to key update and recovery mechanisms.

#### 4.3.8 Authorisation

- **GP-TM-27:** Limit the actions allowed for a given system by Implementing fine-grained authorisation mechanisms and using the Principle of least privilege (POLP): applications must operate at the lowest privilege level possible.
- **GP-TM-28:** Device firmware should be designed to isolate privileged code, processes and data from portions of the firmware that do not need access to them. Device hardware should provide isolation concepts to prevent unprivileged from accessing security sensitive code.

#### 4.3.9 Access Control - Physical and Environmental security

- **GP-TM-29:** Data integrity and confidentiality must be enforced by access controls. When the subject requesting access has been authorised to access particular processes, it is necessary to enforce the defined security policy.
- **GP-TM-30:** Ensure a context-based security and privacy that reflects different levels of importance.
- **GP-TM-31:** Measures for tamper protection and detection. Detection and reaction to hardware tampering should not rely on network connectivity.

- **GP-TM-32:** Ensure that the device cannot be easily disassembled and that the data storage medium is encrypted at rest and cannot be easily removed.
- **GP-TM-33:** Ensure that devices only feature the essential physical external ports (such as USB) necessary for them to function and that the test/debug modes are secure, so they cannot be used to maliciously access the devices. In general, lock down physical ports to only trusted connections.

#### 4.3.10 Cryptography

- **GP-TM-34:** Ensure a proper and effective use of cryptography to protect the confidentiality, authenticity and/or integrity of data and information (including control messages), in transit and in rest. Ensure the proper selection of standard and strong encryption algorithms and strong keys, and disable insecure protocols. Verify the robustness of the implementation.
- **GP-TM-35:** Cryptographic keys must be securely managed.
- **GP-TM-36:** Build devices to be compatible with lightweight encryption and security techniques.
- **GP-TM-37:** Support scalable key management schemes.

#### 4.3.11 Secure and trusted communications

- **GP-TM-38:** Guarantee the different security aspects -confidentiality (privacy), integrity, availability and authenticity- of the information in transit on the networks or stored in the IoT application or in the Cloud.
- **GP-TM-39:** Ensure that communication security is provided using state-of-the-art, standardised security protocols, such as TLS for encryption.
- **GP-TM-40:** Ensure credentials are not exposed in internal or external network traffic.
- **GP-TM-41:** Guarantee data authenticity to enable reliable exchanges from data emission to data reception. Data should always be signed whenever and wherever it is captured and stored.
- **GP-TM-42:** Do not trust data received and always verify any interconnections. Discover, identify and verify/authenticate the devices connected to the network before trust can be established, and preserve their integrity for reliable solutions and services.
- **GP-TM-43:** IoT devices should be restrictive rather than permissive in communicating.
- **GP-TM-44:** Make intentional connections. Prevent unauthorised connections to it or other devices the product is connected to, at all levels of the protocols.
- **GP-TM-45:** Disable specific ports and/or network connections for selective connectivity.
- **GP-TM-46:** Rate limiting. Controlling the traffic sent or received by a network to reduce the risk of automated attacks.

#### 4.3.12 Secure Interfaces and network services

- **GP-TM-47:** Risk Segmentation. Splitting network elements into separate components to help isolate security breaches and minimise the overall risk.
- **GP-TM-48:** Protocols should be designed to ensure that, if a single device is compromised, it does not affect the whole set.
- **GP-TM-49:** Avoid provisioning the same secret key in an entire product family, since compromising a single device would be enough to expose the rest of the product family.
- **GP-TM-50:** Ensure only necessary ports are exposed and available.
- **GP-TM-51:** Implement a DDoS-resistant and Load-Balancing infrastructure.
- **GP-TM-52:** Ensure web interfaces fully encrypt the user session, from the device to the backend services, and that they are not susceptible to XSS, CSRF, SQL injection, etc.
- **GP-TM-53:** Avoid security issues when designing error messages.

#### 4.3.13 Secure input and output handling

- **GP-TM-54:** Data input validation (ensuring that data is safe prior to use) and output filtering.

#### 4.3.14 Logging

- **GP-TM-55:** Implement a logging system that records events relating to user authentication, management of accounts and access rights, modifications to security rules, and the functioning of the system. Logs must be preserved on durable storage and retrievable via authenticated connections.

#### 4.3.15 Monitoring and Auditing

- **GP-TM-56:** Implement regular monitoring to verify the device behaviour, to detect malware and to discover integrity errors.
- **GP-TM-57:** Conduct periodic audits and reviews of security controls to ensure that the controls are effective. Perform penetration tests at least biannually.

## 5. Gaps analysis

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This section provides an analysis of the main gaps in relation to cyber security in IoT. A critical part to address cyber security in IoT is the identification and definition of gaps -the space between the present state and the desired state- so as to determine what steps need to be taken in order to close those gaps, namely, to move from the current immature state to the future and more mature state. In the interviews conducted with IoT experts there was a common denominator – in terms of maturity, the security in IoT is in an initial stage of development. The following gaps were identified as being the most prominent ones by the experts who took part in the study and by conducting a comparative analysis of existing IoT security resources as listed in Annex C.

We examine the gaps by taking into account two aspects, namely beginning with the analysis of the barriers and ending with the changes that need to be considered to improve and guarantee security in IoT. We also outline the relevant challenges that act as hindering factors towards a more mature IoT security landscape. The ultimate goal of addressing the IoT security and safety gaps is to ensure the protection of all assets, to preserve the required level of privacy, as well as attain and sustain a high level of resiliency against cyber attacks thus ensures physical safety alongside cyber security.

### 5.1 Gap 1: Fragmentation in existing security approaches and regulations

Currently, there is no common EU-wide approach to cyber security in IoT, or a common multi-stakeholder model on cyber security. In the interviews carried out throughout the study, the majority of experts considered the lack of mature security frameworks, and the breadth of security considerations to take into account, big barriers for the improvement of security. Therefore, most companies and manufacturers are taking their own approach when implementing security into IoT, resulting in a lack or slow embracement of standards to guide the adoption of IoT security measures and good practices.

Whereas stringent measures and legislation introduced by regulators could become restrictive for security research, development and innovation, it could be more effective if initiatives were put in place to stimulate the development of security in private companies. Nevertheless, the key to rapid progress in this area is **to get the public and private sectors to work together** and understand that security does not only concern a single manufacturer, customer or IT professional<sup>76</sup>, but rather everyone involved in the process. Cybersecurity is a shared responsibility.

The fragmentation of the regulations also poses a barrier when Critical Information Infrastructures are seen hand in hand with the IoT world, since there is no regulation that forces security measures and protocols in the different levels of an IoT ecosystem, including the devices, the network, etc. This could potentially allow for a more complete integration of safety and security in the development lifecycles. Conversely, the application of one-size fits all standards across the IoT ecosystem might be seen as a hindering factor for innovation and research in the area. As discussed throughout the report, one needs to also consider the fact that different application areas have diverse security requirements.

Another significant problem to tackle is that of unclear liabilities – there is a barrier of non-responsibility, both moral and legal, which can be mitigated or solved by enforcing responsibilities. There has been no chance to enforce a perfect isolation between the different elements of an IoT ecosystem, which will

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<sup>76</sup> See <https://www.govtechworks.com/iot-security-risks-begin-with-supply-chains/>

unavoidably be developed by different manufacturers and/or operated by different parties. In this context, there is a need to clarify the liability of each actor in case of a security event.

## 5.2 Gap 2: Lack of awareness and knowledge

There is a gap in relation to the increasing move towards connected and interdependent systems and devices as far as knowledge is concerned. In the interviews with IoT experts, differences in fundamental terminology were encountered, such as the difference between the concepts of safety and security. Security experts are more commonly familiar with “business IT” security, but not with IoT security.

There is an overall lack of awareness regarding the need of security in IoT devices. Even more worrisome is the lack of knowledge regarding the threats they are exposed to – most IoT consumers do not have a basic understanding of their IoT devices and the impact on their environment. This may result in the devices not being updated, with a subsequent breach of security.

Moreover, companies should train their employees in good security practices, recognising that technological expertise does not necessarily equate with security expertise. In general, there is a need to properly educate a new generation of consumers, developers, manufacturers, etc. about the use and the security risks posed by IoT, and how to be prepared. It is also necessary to train them in both safety and cyber security to increase awareness.

Many security incidents could be avoided if developers and manufacturers were aware of the risks they face on a daily basis, considering not only those affecting IoT devices but also those affecting the whole IoT environment. This is becoming a common need in order to raise awareness about current threats and risks and to provide knowledge on how to prevent, protect and act in case of a security incident.

## 5.3 Gap 3: Insecure design and/or development

There have been several studies on design and development concerns related to IoT security<sup>77,78,79,80</sup>. During the interviews engaged within the context of this report we validated the findings of these studies and in this respect the following issues seem particularly significant in the context of IoT design and development:

- No defence-in-depth strategy during the design of the system, such as a secure boot process, isolation of a Trusted Computing Base, limitation of the number of open ports, self-protection, etc.
- No security-by-design or privacy-by-design. In some cases, information is exchanged with a third-party, and it should be ensured that not more information than strictly needed is exported outside of the IoT environment.
- Lack of communication protection, on internal as well as external interfaces.
- Lack of strong authentication and authorisation:
  - No validation or signing of firmware updates,
  - Software updates without server authentication and file trust verification,
  - No secure boot mechanisms.
- Lack of hardening:
  - No data execution prevention or attack mitigation technologies used on the firmware,

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<sup>77</sup> See <http://otalliance.actonsoftware.com/acton/attachment/6361/f-008e/1/-/-/-/IoT Framework Resource Guide.pdf>

<sup>78</sup> See <http://www.gsma.com/connectedliving/wp-content/uploads/2016/11/CLP.12-v1.1.pdf>

<sup>79</sup> See <https://cloudsecurityalliance.org/download/new-security-guidance-for-early-adopters-of-the-iot/>

<sup>80</sup> See <https://www.symantec.com/security-center/threat-report>

- Public vulnerabilities (DNS proxy, HTTP service...) left unfixed,
  - Some services are exposed through different entry points, with unnecessary communication ports left open – services such as Telnet or ssh are sometimes bound to all network interfaces,
  - Weak passwords policies or default passwords left unchanged,
  - Configuration flaws.
- Lack of diagnosis / response capabilities.

#### 5.4 Gap 4: Lack of interoperability across different IoT devices, platforms and frameworks

The great majority of IoT ecosystems include IoT devices connected with legacy systems, especially in the case of Critical Information Infrastructures. Moreover, as previously mentioned, due to the lack of a common regulation, most companies and manufacturers are taking their own approach when designing IoT devices, causing interoperability issues between devices from different manufacturers as well as the emergence of different security models, incompatible concepts and taxonomies, etc. Therefore, it is very important to develop measures that ensure a correct and secure interconnection and interoperability between the IoT environment and legacy systems, and the other IoT devices manufactured by third-parties.

Most IoT devices use proprietary protocols designed by their manufacturers in order to interconnect devices. While this is not an issue for devices from the same manufacturer, it becomes a problem when interconnecting devices from different manufacturers. This requires the development and use of standard protocols that need to be supported by all manufacturers to ensure a good level of interoperability with the least efficiency and security loss. A good practice in this regard is to avoid the use of close-source and proprietary protocols, as their security cannot be verified, and many incidents have already proven that security through obscurity does not necessarily equate proper security coverage.

In the same spirit, apart from protocols, the use of common frameworks can also help to improve the efficiency and security of the devices when interconnecting several ones from different manufacturers.

#### 5.5 Gap 5: Lack of economic incentives

The main IoT manufacturers and vendors usually consider functionality and usability much more important than implementing secure design and programming. Their economic interests are not aligned with spending much money on security, and in some cases they do not consider security at all. The main reason for these companies not to dedicate much of their budget to security is the general perception that there is no direct return-on-investment for security, which can be attributed to the economic cost and the difficulty to assess the financial impact of hypothetical security weaknesses.

This is worsened by the lack of economic incentives that would help to improve security, such as economic benefits (e.g. more grants to integrate better security in the devices), resources, perceived reputation, etc. Apart from this, the economic support is only accessible through very competitive programs such as H2020 in the case of research and development.

In general, the IoT experts interviewed agree that the different risks, threats and hazards are usually underestimated and left out because of budgetary issues – there is a tendency to handle security concerns a posteriori of incidents.

#### 5.6 Gap 6: Lack of proper product lifecycle management

In general, safety measures are found lacking from the design phase to its later development. This demonstrates the need for a proper product lifecycle management of the different assets that compose a



given IoT environment, since the devices and networks are interconnected and, in most cases, exposed to the Internet, where they can be targeted by many and diverse threats.

IoT comprises such a variety of products that, if left unattended, it makes the entire surface of the traditional supply chain vulnerable. IoT expands the global attack surface and it is everyone's responsibility to manage the risks. The different devices and products will have to evolve in a secure way to consistently provide, through their whole lifecycle, the solution for which they were created.

In this process, it is necessary to involve the vendors and, since they are in charge of designing and developing the devices, they are in an ideal position to implement the changes needed – they are able to proficiently and cost-efficiently include new security features or characteristics. This, however, is not only dependent on manufacturers adding these new features, but also on organisations accepting the related costs; therefore, a balance between security and cost must be maintained.

Through their lifecycle, IoT devices must be able to be patched and updated rapidly to ensure their correct operation and to amend all the vulnerabilities that are continuously being discovered. As mentioned before, in consumer environments most IoT users do not have a basic understanding of their IoT devices and their impact on their environment, which may result in the devices not being updated and a subsequent breach of security.

In addition, one important phase of the device lifecycle management is the deployment phase. Best practices for IoT deployment could be defined. They may include recommendations for specific configurations of devices and networks or the need to implement cybersecurity monitoring systems to detect anomalies in the deployed infrastructure.



## 6. High-level recommendations to improve IoT cybersecurity

This chapter includes a list of high-level recommendations for developers, operators and security experts that will help them to improve the security level of IoT devices and communications among them. The recommendations discussed here concern stakeholders that span the entire IoT spectrum and aim to address the gaps defined in Chapter 5.

### 6.1 Recommendations

The recommendations proposed are listed in the following table, and they have been further developed in section 6.2:

| ID | DESCRIPTION                                                              |
|----|--------------------------------------------------------------------------|
| 1  | Promote harmonization of IoT security initiatives and regulations        |
| 2  | Raise awareness for the need for IoT cybersecurity                       |
| 3  | Define secure software/hardware development lifecycle guidelines for IoT |
| 4  | Achieve consensus for interoperability across the IoT ecosystem          |
| 5  | Foster economic and administrative incentives for IoT security           |
| 6  | Establishment of secure IoT product/service lifecycle management         |
| 7  | Clarify liability among IoT stakeholders                                 |

Table 8: IoT Security Recommendations

### 6.2 Detailed recommendations

#### 6.2.1 Promote harmonization of IoT security initiatives and regulations

**Recommendation intended for: IoT industry, providers, manufacturers, associations**

The current fragmentation of IoT security guidelines, initiatives, standards and other schemes needs to be addressed. A first and solid step in the direction is to define a list of best practices and guidelines for IoT security and privacy, which can be used as a baseline for the development and deployment of IoT systems in the market (for example consult reports from AIOTI and ECSO). The current ENISA report provides such a list and goes one step further by categorizing all security measures according to a well-defined and structured set of security domains.

In terms of harmonization of standards, it is interesting to note that the notion of standard is appreciated and supported by the industry but groups of stakeholders have different R&D chains and this inherently drives fragmentation. The recommendation to counter this fragmentation refers to establishing a set of practices, guidelines and security requirements in IoT, which are common over Europe. The Commission should be facilitator of this process and this ENISA report can serve as the springboard for related efforts. Subsequently, each sector can focus on defining the specific sets of practices, guidelines, requirements for its own needs based on the particular context and risk factors inherent in each sector. European Commission and member states government could drive the coordination and collaboration of stakeholders (industry, users) and ENISA can be an important facilitator in this process.

The procurement process is another means to impose harmonization of baseline standards and requirements for IoT systems. The harmonization should consider that there are many different sectors (e.g. energy, transportation), so harmonization should be first achieved within each sector.

### 6.2.2 Raise awareness for the need for IoT cybersecurity

*Recommendation intended for: IoT industry, providers, manufacturers, associations, academia, consumer groups, regulators*

Cybersecurity is a shared responsibility among all involved stakeholders. It is thus essential for these stakeholders to have a thorough understanding of related risks and threats, as well as ways to secure and protect against them. Raising awareness is therefore of paramount importance and initiatives to do so are highly recommended.

As evidenced by the growing threat landscape and the numerous security incidents concerning IoT, there is lack of knowledge present within IoT developers, industries as well as end users and consumers. To overcome such deficiency it is important to define targeted recommendations for all three stakeholder categories, namely:

- Security education and training needs to be established in industries, including knowledge of state-of-the-art, best practices, reference architectures and availability of building blocks, methodologies and tools for secure IoT systems.
- End users and consumers have to be educated to be able to make informed decisions when buying IoT devices and systems. Campaigns raising awareness for IoT security are thus highly important, also in order to be able to maintain a basic level of cyber hygiene for the security of the “Things” that they have purchased or are operating. The role and initiatives of consumer rights associations should be highlighted in this respect.
- Among the developer community, awareness needs to be raised to adopt fundamental security principles that are cross vertical rather than being tied to any silo industry. Corporate trainings focused on IoT security are also beneficial and should be pursued.

Similarly, initiatives like café scientific and cyber security clinics can prove to be effective. Lastly, trainings and courses at schools and universities (considering localisation to reach a wider audience) will further promote a better understanding of IoT security among the younger generation and thus in the long-term contributed to raising awareness.

### 6.2.3 Define secure software/hardware development lifecycle guidelines for IoT

*Recommendation intended for: IoT developers, platform operators, industry, manufacturers*

Developers, manufacturers and providers of IoT products and solutions should integrate and adopt a secure software development lifecycle (SSDLC) for their IoT offerings and incorporate relevant processes in their operations. Security must be implemented as a whole, at the application level, and in each of the phases of the SDLC. It is therefore important to encourage more companies to offer secure components that are at the same time usable for developers and end users/consumers.

The notions of **security and privacy by default** and **security and privacy by design** naturally emerge as being foundation cornerstones of IoT security. Evidently, it is challenging to apply these concepts in several different environments that will have particular characteristics. In IoT the cyber risk is context-dependent (i.e. based on the application scenario) and in this respect the principles of security and privacy by design should be applied with this consideration in mind. Following relevant initiatives from other, more mature IT sectors can prove to be beneficial in adopting such principles for the IoT ecosystem.

As far as developers are concerned, secure by design hackathons and use of best practice cookbooks for IoT security can greatly enhance their perception of using principle of security and privacy by default and by design. The lessons learned from such exercises would assist developers in applying corresponding techniques within their projects and products. When focussing on companies, the use of proper security processes and well-defined and widely accepted tools (e.g. standards, checklists) for IoT security would strongly promote the cause for IoT security by default and by design.

#### 6.2.4 Achieve consensus for interoperability across the IoT ecosystem

*Recommendation intended for: IoT industry, providers, manufacturers, associations, regulators*

The issue of interoperability is very pertinent to the IoT ecosystem due to the very large scale and penetration of the IoT ecosystem, the long and complex supply chains and the numerous involved stakeholders. Ensuring and fostering interoperability of IoT devices, platforms and frameworks, as well as security practices is therefore an essential element of IoT security and should thus be encouraged.

Recommendations that will undoubtedly assist in this direction include:

- Encourage the use of open interoperability frameworks that incorporate security
- Provide transparency on the security of interoperability frameworks
- Promote open and accessible interoperability laboratories and testbeds for security

It should be noted that said recommendations are indicative and continuous efforts towards promoting interoperability in the context of end-to-end and consistent cybersecurity should be pursued.

#### 6.2.5 Foster economic and administrative incentives for IoT security

*Recommendation intended for: IoT industry, associations, academia, consumer groups, regulators*

It is clear that lack of security impacts business continuity and this is indeed the case also for IoT that is driven by R&D activities and a rush to push products and services in the market. In this respect, business continuity can serve as a driver for justifying costs in cyber security solutions.

Moreover, market demands on cybersecurity are somewhat low because of the lack of consumer perception in the added value of cybersecurity. Consumer involvement is quite important and it should be supported more. Communication campaigns should be implemented by the government (e.g., the Commission, member states) in order to increase and sustain said perception and thus inherently necessitate the adoption of further mechanisms to promote IoT cybersecurity.

In the case of IoT, competitive advantage is currently placed and focussed on the time to market rather than secure to market. This balance should be shifted so that a specific level of security and privacy before market deployment is encouraged. Defining security frameworks supported by baseline security measures can be a way forward in this direction. Use of other schemes such as certification and labelling can also encourage better understanding and transparency in terms of IoT security and thus should be considered (also benefitting end users and consumers in educating them and making them more aware of IoT security), albeit in a context and risk specific manner per use case/application sector. Subject to such approaches, subsequently regulative efforts and initiatives could then be put in place to follow the same path.

#### 6.2.6 Establishment of secure IoT product/service lifecycle management

*Recommendation intended for: IoT developers, platform operators, industry, manufacturers*

Security plays an important role within all the phases of an IoT product's/service's lifecycle. These phases include design, development, testing, production, deployment, maintenance, end-of-support, and end-of-life (i.e. decommissioning). It is recommended that specific, focussed and targeted security processes be defined for all these phases.

Furthermore, security processes have to be properly implemented. In order to satisfy this need, fundamental security requirements and building blocks have to be specified to be available within each phase.

A noteworthy aspect involves security updates that constitute a significant issue in the context of IoT. After deployment, security updates need to be provided where practically possible without special knowledge requirements or financial obligations on the end user/consumer within a defined term and conditions until "end-of-support". The latter must be clearly defined by the manufacturer/provider of the IoT product and must be clearly communicated to the end user/consumer.

### 6.2.7 Clarify liability among IoT stakeholders

***Recommendation intended for: IoT industry, regulators***

As identified by the interviews with the experts a very important issue when IoT is considered is that of liability. It is of particular importance in the IoT domain, since the cyber-physical nature of IoT relates and tightly binds security to safety. The question of liability needs to be addressed. The question of where liability may fall lies between the different and diverse stakeholders of the IoT ecosystem, such as developers, manufacturers, providers, vendors, aftermarket support operators, third party providers and the end users, to name a few.

The liability issues have to be addressed in the context of European and national legislation and case law. Where gaps are identified in said legislation, these should be addressed.

## Glossary

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|                |                                                    |
|----------------|----------------------------------------------------|
| <b>6LoWPAN</b> | IPv6 over Low Power Wireless Personal Area Network |
| <b>APT</b>     | Advanced Persistent Threat                         |
| <b>AMQP</b>    | Advanced Message Queuing Protocol                  |
| <b>BLE</b>     | Bluetooth Low Energy                               |
| <b>CASB</b>    | Cloud Security Access Broker                       |
| <b>CARP</b>    | Channel-Aware Routing Protocol                     |
| <b>CII</b>     | Critical Information Infrastructure                |
| <b>CIIP</b>    | Critical Information Infrastructure Protection     |
| <b>CISO</b>    | Chief Information Security Officer                 |
| <b>CoAP</b>    | Constrained Application Protocol                   |
| <b>DDS</b>     | Data Distribution Service                          |
| <b>(D)DoS</b>  | (Distributed) Denial of Service                    |
| <b>IIC</b>     | Industrial Internet Consortium                     |
| <b>ICT</b>     | Information and Communication Technology           |
| <b>IoT</b>     | Internet of Things                                 |
| <b>IoTSEC</b>  | Internet of Things SECURITY                        |
| <b>IIoT</b>    | Industrial Internet of Things                      |
| <b>LPWAN</b>   | Low Power Wide Area Network                        |
| <b>M2M</b>     | Machine-to-Machine                                 |
| <b>MQTT</b>    | Message Queue Telemetry Transport                  |
| <b>NB-IoT</b>  | NarrowBand-IoT                                     |
| <b>NFC</b>     | Near Field Communication                           |
| <b>QoS</b>     | Quality of Service                                 |
| <b>RBAC</b>    | Role-Based Access Control                          |
| <b>RFID</b>    | Radio Frequency Identification                     |
| <b>RPL</b>     | Routing Protocol for Low-Power and Lossy Networks  |

|             |                                            |
|-------------|--------------------------------------------|
| <b>SME</b>  | Small and medium-sized enterprise          |
| <b>SDN</b>  | Software-Defined Networking                |
| <b>VPN</b>  | Virtual Private Network                    |
| <b>WAF</b>  | Web Application Firewall                   |
| <b>WLAN</b> | Wireless Local Area Network                |
| <b>WPAN</b> | Wireless Personal Area Network             |
| <b>WWAN</b> | Wireless Wide Area Network                 |
| <b>XMPP</b> | eXtensible Messaging and Presence Protocol |

## Annex A: Detailed Security measures / Good practices

|                    | SECURITY MEASURES / GOOD PRACTICES                                                                                                                                                                                                                                                               | SECURITY DOMAIN                                      | REFERENCES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
|--------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Security by design | GP-PS-01: Consider the security of the whole IoT system in a consistent and holistic approach along its whole lifecycle across all levels of device/application design and development, integrating security throughout the development, manufacturing, and deployment                           | Ecosystem Management<br><br>IT Security Architecture | - ISO27001 #A14. System acquisition, development and maintenance<br>- NIST SP 800-53 - System And Services Acquisition Control Family (SA)<br>- NIST Framework for Improving Critical Infrastructure Cybersecurity<br>- OWASP Security by Design Principles<br>- DG Commissioned Study - Definition of a Research and Innovation Policy Leveraging Cloud Computing and IoT Combination<br>- Article 29 Data Protection Working Party - Opinion 8/2014 on the on Recent Developments on the Internet of Things |
|                    | GP-PS-02: Ensure the ability to integrate different security policies and techniques, so as to ensure a consistent security control over the variety of devices and user networks in IoT                                                                                                         | Ecosystem Management                                 | - U.S. Department of Homeland Security - Strategic Principles For Securing The Internet Of Things (IoT)<br>- U.S. Department of Commerce, National Telecommunications and Information Administration, internet policy task force & digital economy leadership team - fostering the advancement of the internet of things                                                                                                                                                                                      |
|                    | GP-PS-03: Security must consider the risk to human safety                                                                                                                                                                                                                                        | Physical and environmental security                  | - U.S. Federal Communications Commission, Public Safety & Homeland Security Bureau - FCC White Paper, Cybersecurity Risk Reduction<br>- EC Alliance for Internet of Things Innovation (AIOTI)                                                                                                                                                                                                                                                                                                                 |
|                    | GP-PS-04: Design for power conservation should not compromise security                                                                                                                                                                                                                           | IT Security Architecture                             | - FTC - Internet of Things: Privacy & Security in a Connected World<br>- Cloud Security Alliance (CSA) - New Security Guidance for Early Adopters of the IoT<br>- IoT Security Foundation (IoTSF)<br>- GSM Association (GSMA) - IoT Security Guidelines                                                                                                                                                                                                                                                       |
|                    | GP-PS-05: Design architecture by compartments to encapsulate elements in case of attacks                                                                                                                                                                                                         | IT Security Architecture                             | - Atlantic Council (Brent Scowcroft Center On International Security) - Smart Homes and the Internet of Things<br>- IETF (Internet Engineering Task Force) - IETF RFC 7452 Architectural Considerations in Smart Object Networking                                                                                                                                                                                                                                                                            |
|                    | GP-PS-06: For IoT hardware manufacturers and IoT software developers it is necessary to implement test plans to verify whether the product performs as it is expected. Penetration tests help to identify malformed input handling, authentication bypass attempts and overall security posture. | IT security maintenance                              | - IETF (Internet Engineering Task Force) - Best Current Practices for Securing Internet of Things (IoT) Devices<br>- OASIS (Organization for the Advancement of Structured Information Standards) - Technical Committees<br>- ISACA - Performing a Security Risk Assessment<br>- AIOTI. Digitisation of Industry Policy Recommendations                                                                                                                                                                       |
|                    | GP-PS-07: For IoT software developers it is important to conduct code review during implementation as it helps to reduce bugs in a final version of a product.                                                                                                                                   | IT security maintenance                              | - International Telecommunication Union (ITU) - Unleashing the potential of the Internet of Things<br>- AT&T Cybersecurity Insights - Exploring IoT Security Volume 2<br>- Symantec - An Internet of Things Reference Architecture<br>- Microsoft - Cybersecurity Policy For The Internet Of Things<br>- Infineon - Hardware Security for Smart Grid End Point Devices                                                                                                                                        |

| SECURITY MEASURES / GOOD PRACTICES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | SECURITY DOMAIN                                                                                                                         | REFERENCES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
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| <p>Privacy by design</p> <p>GP-PS-08: Privacy must be a guiding principle when designing and developing systems, in order to make privacy an integral part of the system.</p> <p>GP-PS-09: Perform privacy impact assessments before any new applications are launched, using a top-down decomposition method that requires first answering three fundamental questions:</p> <ul style="list-style-type: none"> <li>- Where is the targeted application deployed (Legal constraints and cultural significance)</li> <li>- For what purpose (Scope)</li> <li>- For which scenarios (Business requirements)</li> </ul> | <p>Information System Security Governance &amp; Risk Management</p> <p>Information System Security Governance &amp; Risk Management</p> | <ul style="list-style-type: none"> <li>- ISO27001 #A14. System acquisition, development and maintenance</li> <li>- NIST SP 800-53 - System And Services Acquisition Control Family (SA)</li> <li>- OWASP Security by Design Principles</li> <li>- DG Commissioned Study - Definition of a Research and Innovation Policy Leveraging Cloud Computing and IoT Combination</li> <li>- ARTICLE 29 Data Protection Working Party - Opinion 8/2014 on the on Recent Developments on the Internet of Things</li> <li>- EC Alliance for Internet of Things Innovation (AIOTI)</li> <li>- IOT-A (Internet of Things Architecture)</li> <li>- U.S. Department of Commerce, National Telecommunications and Information Administration, Internet Policy Task Force &amp; Digital Economy Leadership Team - Fostering The Advancement Of The Internet Of Things</li> <li>- U.S. Federal Communications Commission, Public Safety &amp; Homeland Security Bureau</li> <li>- The President’s National Security Telecommunications Advisory Committee - NSTAC Report to the President on the Internet of Things</li> <li>- Cloud Security Alliance (CSA) - Future-proofing the Connected World: 13 Steps to Developing Secure IoT Products</li> <li>- Cloud Security Alliance (CSA) - New Security Guidance for Early Adopters of the IoT</li> <li>- GSM Association (GSMA) - IoT Security Guidelines</li> <li>- International Electrotechnical Commission (IEC) - IEC White Paper on “IoT 2020: Smart and secure IoT platform”</li> <li>- AIOTI. Digitisation of Industry Policy Recommendations</li> </ul> |
| <p>Asset Management</p> <p>GP-PS-10: Establish and maintain asset management procedures and configuration controls for key network and information systems, to identify and authenticate of the assets involved in the IoT Service (i.e. Gateways, Endpoint devices, home network, roaming networks, service platforms, etc.).</p>                                                                                                                                                                                                                                                                                   | <p>IT Security Architecture</p>                                                                                                         | <ul style="list-style-type: none"> <li>- ISO27001 #A8. Asset Management</li> <li>- NIST SP 800-53 - PE-20 Asset Monitoring And Tracking</li> <li>- U.S. Department of Health and Human Services Food and Drug Administration (FDA) Center for Devices and Radiological Health - Postmarket Management of Cybersecurity in Medical Devices Guidance for Industry and Food and Drug Administration Staff</li> <li>- GSM Association (GSMA) - IoT Security Guidelines</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |



|                                                 | SECURITY MEASURES / GOOD PRACTICES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | SECURITY DOMAIN                                                                                                                                | REFERENCES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
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| Risks and Threats Identification and Assessment | <p>GP-PS-11: Identify significant risks using a defence-in-depth approach. Conduct end-to-end risk assessments that account for both internal and third-party vendor risks, where possible. Developers and manufacturers should include vendors and suppliers in the risk assessment process, which will create transparency and enable them to gain awareness of potential third-party vulnerabilities and promote trust and transparency. Security should be readdressed on an ongoing basis as the component in the supply chain is replaced, removed or upgraded.</p> <p>Risk Assessment procedure should be initiated using a top-down decomposition method that requires first answering three fundamental questions:</p> <ul style="list-style-type: none"> <li>- Where is the targeted application deployed (Legal constraints and cultural significance)</li> <li>- For what purpose (Scope)</li> <li>- For which scenarios (Business requirements)</li> </ul> | Information System Security Governance & Risk Management                                                                                       | <ul style="list-style-type: none"> <li>- ISO27001 #6. Planning</li> <li>- NIST SP 800-30</li> <li>- NIST SP 800-53 - Risk Assessment Control Family (SA)</li> <li>- NIST Framework for Improving Critical Infrastructure Cybersecurity</li> <li>- OWASP Testing Guide v4 - Risk Rating Methodology</li> <li>- IOT-A (Internet of Things Architecture)</li> <li>- U.S. Department of Homeland Security - Strategic Principles For Securing The Internet Of Things (IoT)</li> <li>- FTC - Internet of Things: Privacy &amp; Security in a Connected World</li> <li>- U.S. Department of Health and Human Services Food and Drug Administration (FDA) Center for Devices and Radiological Health - Postmarket Management of Cybersecurity in Medical Devices Guidance for Industry and Food and Drug Administration Staff</li> <li>- Software Assurance Forum for Excellence in Code (SAFECode) - NPO - Call it the Internet of Connected Things: The IoT Security Conundrum</li> <li>- Cloud Security Alliance (CSA) - Future-proofing the Connected World: 13 Steps to Developing Secure IoT Products</li> <li>- Cloud Security Alliance (CSA) - New Security Guidance for Early Adopters of the IoT</li> <li>- IoT Security Foundation (IoTSF)</li> <li>- GSM Association (GSMA) - IoT Security Guidelines</li> <li>- oneM2M - Standards for M2M and the Internet of Things</li> <li>- Internet Research Task force (IRTF) - State-of-the-Art and Challenges for the Internet of Things Security</li> <li>- International Telecommunication Union (ITU) - Unleashing the potential of the Internet of Things</li> <li>- AT&amp;T Cybersecurity Insights - Exploring IoT Security Volume 2</li> </ul> |
| Hardware security                               | <p>GP-PS-12: Identify the intended use and environment of a given IoT device. This will help developers and manufacturers determine the most suitable technical features for the IoT device's operation, and the security measures required. This will also help to effectively handle bugs or enhancement requests.</p> <p>GP-TM-01: Employ a hardware-based immutable root of trust. The Hardware Root of Trust is a trusted hardware component which receives control at power-on. It then extends the chain of trust to other hardware, firmware, and software components. The Root of Trust should then be attestable by software agents running within and throughout the infrastructure.</p>                                                                                                                                                                                                                                                                     | <p>Information System Security Governance &amp; Risk Management</p> <p>IT Security Architecture</p> <p>Physical and environmental security</p> | <ul style="list-style-type: none"> <li>- U.S. Department of Homeland Security - Strategic Principles For Securing The Internet Of Things (IoT)</li> <li>- Cloud Security Alliance (CSA) - Future-proofing the Connected World: 13 Steps to Developing Secure IoT Products</li> <li>- EuroSMART (the voice of the Smart Security Industry) - Internet Of Trust Security And Privacy In The Connected World</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |

| SECURITY MEASURES / GOOD PRACTICES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | SECURITY DOMAIN                                                            | REFERENCES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
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| <p>GP-TM-02: Use hardware that incorporates security features to strengthen the protection and integrity of the device – for example, specialised security chips / coprocessors that integrate security at the transistor level, embedded in the processor, that provide:</p> <ul style="list-style-type: none"> <li>- Chain of trust boot-loader which authenticates the operating system before loading it</li> <li>- Chain of trust operating system which authenticates application software before loading it</li> <li>- Hardware secure boot process and Locking Critical Sections of Memory</li> <li>- Protected memory (NVM/RAM/Cache) to avoid snooping and reverse engineering</li> <li>- Encryption and anonymity</li> <li>- Random Number Generation (RNG)</li> <li>- Tamper detection</li> <li>- Environment monitoring and internal control</li> <li>- Trusted Execution Environment. Secure Code fetching &amp; Execution (Integrity checks)</li> <li>- Code and data signatures, built during compilation and stored and verified during execution</li> <li>- A trusted storage of device identity and authentication means, including protection of keys at rest and in use</li> <li>- Protection against unprivileged accessing security sensitive code.</li> </ul> <p>Protection against local and physical attacks can be covered via functional security.</p> | <p>IT Security Architecture</p> <p>Physical and environmental security</p> | <ul style="list-style-type: none"> <li>- IETF (Internet Engineering Task Force) - IETF RFC 7452 Architectural Considerations in Smart Object Networking</li> <li>- ISACA - Performing a Security Risk Assessment</li> <li>- IoT Security Foundation (IoTSF)</li> <li>- GSM Association (GSMA) - IoT Security Guidelines</li> <li>- OpenFog Consortium - The 8 Pillars of the OpenFog Reference Architecture</li> <li>- International Electrotechnical Commission (IEC) - IEC White Paper on “IoT 2020: Smart and secure IoT platform”</li> <li>- Symantec - An Internet of Things Reference Architecture</li> <li>- Microsoft - Cybersecurity Policy For The Internet Of Things</li> <li>- Industrial Internet Consortium (IIC)</li> </ul> |
| <p>GP-TM-03: The boot process initialises the main hardware components, and starts the operating system. Trust must be established in the boot environment before any trust in any other software or executable program can be claimed, so the booted environment must be verified and determined to be in an uncompromised state.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | IT Security Architecture                                                   | <ul style="list-style-type: none"> <li>- ISO27001 #A12. Operations security</li> <li>- NIST SP 800-30</li> <li>- NIST SP 800-53               <ul style="list-style-type: none"> <li>- SA-13 Trustworthiness</li> <li>- SI-7 Software, Firmware, And Information Integrity</li> <li>- CM-11 User-Installed Software</li> </ul> </li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                 |
| <p>GP-TM-04: Sign code cryptographically to ensure it has not been tampered with after being signed as safe for the device, and implement run-time protection and secure execution monitoring to be sure malicious attacks do not overwrite code after it is loaded. Only run signed code and never unsigned code. Measuring the boot-process enables the detection of manipulation of the host OS and software, so that malicious changes in the behaviour of the devices</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | IT Security Architecture                                                   | <ul style="list-style-type: none"> <li>- NIST SP 800-160 - F.1.18 Trusted Communication Channels</li> <li>- European Commission - Advancing the Internet of Things in Europe</li> <li>- IERC European Research Cluster on the Internet of Things</li> <li>- The President’s National Security Telecommunications Advisory Committee - NSTAC Report to the President on the Internet of Things</li> <li>- IIC (Industrial Internet Consortium) - Industrial Internet of Things Volume G4: Security Framework (IIC:PUB:G4:V1.0:PB:20160926)</li> </ul>                                                                                                                                                                                       |

| SECURITY MEASURES / GOOD PRACTICES                                                                                                                                                                                                                                                                         | SECURITY DOMAIN                                                                                                                                                                                                                                                                                          | REFERENCES                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
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| can be detected. It enables boot-time detection of rootkits, viruses and worms.                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                          | <ul style="list-style-type: none"> <li>- Trusted Computing Group (TCG) - Guidance for Securing IoT Using TCG Technology Reference Document</li> <li>- IoT Security Foundation (IoTSF)</li> <li>- Symantec - An Internet of Things Reference Architecture</li> <li>- Infineon - Hardware Security for Smart Grid End Point Devices</li> </ul> |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| GP-TM-05: Control the installation of software on operational systems, to prevent unauthenticated software and files being loaded onto it. In the event that the product is intended to allow unauthenticated software, such software should only be run with limited permissions and/or sandbox.          | Identity and access management                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| GP-TM-06: Restore Secure State - Enable a system to return to a state that was known to be secure, after a security breach has occurred or if an upgrade has not been successful.                                                                                                                          | Computer security incident management<br>Continuity of Operations<br>Crisis Management                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| GP-TM-07: Use protocols and mechanisms able to represent and manage trust and trust relationships. Each communication channel must be trustworthy to a level commensurate with the security dependencies it supports (i.e., how much it is trusted by other components to perform its security functions). | Ecosystem Management                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| Strong default security and privacy                                                                                                                                                                                                                                                                        | GP-TM-08: Enable security by default. Any applicable security features should be enabled by default, and any unused or insecure functionalities should be disabled by default. Strong security controls should be something the consumer has to deliberately disable rather than deliberately enable.    | Information System Security Governance & Risk Management<br>IT Security Architecture                                                                                                                                                                                                                                                         | <ul style="list-style-type: none"> <li>- IERC European Research Cluster on the Internet of Things</li> <li>- U.S. Department of Homeland Security - Strategic Principles For Securing The Internet Of Things (IoT)</li> <li>- U.S. Federal Communications Commission, Public Safety &amp; Homeland Security Bureau</li> <li>- Article 29 Data Protection Working Party - Opinion 8/2014 on the on Recent Developments on the Internet of Things</li> <li>- Cloud Security Alliance (CSA) - Identity and Access Management for the Internet of Things</li> <li>- IoT Security Foundation (IoTSF)</li> <li>- GSM Association (GSMA) - IoT Security Guidelines</li> <li>- HM Government - National cyber security strategy 2016-2021</li> <li>- Symantec - Internet Security Threat Report (ISTR)</li> </ul> |
|                                                                                                                                                                                                                                                                                                            | GP-TM-09: Establish hard to crack device individual default passwords. Usernames and passwords for IoT devices supplied by the manufacturer are often never changed by the user and are easily cracked, and a hard to crack default password is still a weakness if it is used for more than one device. | Identity and access management                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |

| SECURITY MEASURES / GOOD PRACTICES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | SECURITY DOMAIN                                                     | REFERENCES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
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| <p>Data protection and compliance</p> <p>GP-TM-10: Personal data must be collected and processed fairly and lawfully. The fairness principle specifically requires that personal data should never be collected and processed without the data subject's consent.</p> <p>GP-TM-11: Make sure that personal data is used for the specified purposes for which they were collected, and that any further processing of personal data is compatible and that the data subjects are well informed.</p> <p>GP-TM-12: Minimise the data collected and retained. Many IoT stakeholders only need aggregated data and have no need of the raw data collected by IoT devices. Stakeholders must delete raw data as soon as they have extracted the data required for their data processing. As a principle, deletion should take place at the nearest point of data collection of raw data (e.g. on the same device after processing).</p> <p>GP-TM-13: IoT stakeholders must be compliant with the EU General Data Protection Regulation (GDPR). The complex mesh of stakeholders involved asks for/implies the necessity of a precise allocation of legal responsibilities among them with regard to the processing of the individual's personal data, based on the specificities of their respective interventions.</p> <p>GP-TM-14: Users of IoT products and services must be able to exercise their rights to information, access, erasure, rectification, data portability, restriction of processing, objection to processing, and their right not to be evaluated on the basis of automated processing.</p> | <p>Information System Security Governance &amp; Risk Management</p> | <ul style="list-style-type: none"> <li>- ISO27001 #A18. Compliance</li> <li>- NIST SP 800-53               <ul style="list-style-type: none"> <li>- AC-21 Information Sharing</li> <li>- AC-22 Publicly Accessible Content</li> <li>- AC-23 Data Mining Protection</li> </ul> </li> <li>- OWASP I5. Internet of Things Top Ten</li> <li>- Data Protection Directive 95/46/EC</li> <li>- DG Commissioned Study - Definition of a Research and Innovation Policy Leveraging Cloud Computing and IoT Combination</li> <li>- ARTICLE 29 Data Protection Working Party - Opinion 8/2014 on the on Recent Developments on the Internet of Things</li> <li>- EC Alliance for Internet of Things Innovation (AIOTI)</li> <li>- U.S. Federal Communications Commission, Public Safety &amp; Homeland Security Bureau</li> <li>- The President's National Security Telecommunications Advisory Committee - NSTAC Report to the President on the Internet of Things</li> <li>- Cloud Security Alliance (CSA) - Future-proofing the Connected World: 13 Steps to Developing Secure IoT Products</li> <li>- GSM Association (GSMA) - IoT Security Guidelines</li> <li>- Online Trust Alliance (OTA) - IoT Trust Framework and Trust Framework Resource Guide</li> <li>- IETF (Internet Engineering Task Force) - IETF RFC 7452 Architectural Considerations in Smart Object Networking</li> <li>- AIOTI. Digitisation of Industry Policy Recommendations</li> <li>- International Telecommunication Union (ITU) - Unleashing the potential of the Internet of Things</li> <li>- Infineon - Hardware Security for Smart Grid End Point Devices</li> </ul> |
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| <p>System safety and reliability</p> <p>GP-TM-15: Design with system and operational disruption in mind. Build IoT devices to fail safely and securely, so that the failure does not lead to a greater systemic disruption. Have a fail-safe design that specifically ensures that no malfunction can impact the delivery of a commodity (e.g. energy, gas, heat or water), preventing the system from causing unacceptable risk of injury or physical damage, protecting the environment against harm, and avoiding interruption of safety-critical processes.</p> <p>GP-TM-16: Mechanisms for self-diagnosis and self-repair/healing to recover from failure, malfunction or a compromised state.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | <p>Physical and environmental security</p>                          | <ul style="list-style-type: none"> <li>- ISO/IEC CD 30141 Internet of Things Reference Architecture (IoT RA)</li> <li>- NIST SP 800-53 - SI-13 Predictable Failure Prevention</li> <li>- Article 29 Data Protection Working Party - Opinion 8/2014 on the on Recent Developments on the Internet of Things</li> <li>- U.S. Department of Homeland Security - Strategic Principles For Securing The Internet Of Things (IoT)</li> <li>- IoT Security Foundation (IoTSF)</li> <li>- Atlantic Council (Brent Scowcroft Center On International Security) - Smart Homes and the Internet of Things</li> <li>- GSM Association (GSMA) - IoT Security Guidelines</li> <li>- BITAG (Broadband Internet Technical Advisory Group) - Internet of Things (IoT) Security and Privacy Recommendations Technical Working Group Report</li> <li>- BSI (Bundesamt für Sicherheit in der Informationstechnik) - Protection Profile for the Gateway of</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
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| SECURITY MEASURES / GOOD PRACTICES |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | SECURITY DOMAIN                                               | REFERENCES                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
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|                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Continuity of Operations                                      | a Smart Metering System (Smart Meter Gateway PP), Certification-ID: BSI-CC-PP-0073<br>- International Electrotechnical Commission (IEC) - IEC White Paper on “IoT 2020: Smart and secure IoT platform”<br>- International Telecommunication Union (ITU) - Unleashing the potential of the Internet of Things                                                                                                                                                  |
|                                    | GP-TM-17: Ensure standalone operation - essential features should continue to work with a loss of communications and chronicle negative impacts from compromised devices or cloud-based systems. A loss of communications shall not compromise the integrity of the device, and IoT devices should continue to function if the cloud back-end fails.                                                                                                                                                                                                                              | Continuity of Operations                                      | - I am the cavalry - Five Star Automotive Cyber Safety Framework<br>- AT&T Cybersecurity Insights - Exploring IoT Security Volume 2<br>- Symantec - Internet Security Threat Report (ISTR)                                                                                                                                                                                                                                                                    |
| Secure Software / Firmware updates | GP-TM-18: Ensure the device software/firmware, its configuration and its applications have the ability to update Over-The-Air (OTA), that the update server is secure, that the update file is transmitted via a secure connection, that it does not contain sensitive data (e.g. hardcoded credentials), and that it is signed by an authorised trust entity and encrypted using accepted encryption methods, and that the update package has its digital signature, signing certificate and signing certificate chain, verified by the device before the update process begins. | IT Security Administration<br><br>IT Security Architecture    | - ISO27001 A12. Operations security<br>- NIST SP 800-53 - SI-7 Software, Firmware, And Information Integrity<br>- NIST Framework for Improving Critical Infrastructure Cybersecurity<br>- OWASP I9. Internet of Things Top Ten<br>- U.S. Department of Homeland Security - Strategic Principles For Securing The Internet Of Things (IoT)                                                                                                                     |
|                                    | Failing to build in OTA update capabilities will leave devices exposed to threats and vulnerabilities for the entirety of their lifetimes                                                                                                                                                                                                                                                                                                                                                                                                                                         | Identity and access management<br><br>IT security maintenance | - Article 29 Data Protection Working Party - Opinion 8/2014 on the on Recent Developments on the Internet of Things<br>- U.S. Federal Communications Commission, Public Safety & Homeland Security Bureau - NOI Fifth Generation Wireless Network and Device Security<br>U.S. Department of Commerce, National Telecommunications and Information Administration, Internet Policy Task Force & Digital Economy Leadership Team - Fostering The Advancement Of |

| SECURITY MEASURES / GOOD PRACTICES                                                                                                                                                                                                                                                                                                                                                                   | SECURITY DOMAIN                       | REFERENCES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
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| <p>GP-TM-19: Offer an automatic firmware update mechanism. Devices should be configured to check for the existence of firmware updates at frequent intervals. Automatic firmware updates should be enabled by default. A device may offer an option to disable automatic firmware updates and require authentication for it.</p>                                                                     | <p>IT Security Architecture</p>       | <p>The Internet Of Things</p> <ul style="list-style-type: none"> <li>- Cloud Security Alliance (CSA) - Future-proofing the Connected World: 13 Steps to Developing Secure IoT Products</li> <li>- IoT Security Foundation (IoTSF)</li> <li>- GSM Association (GSMA) - IoT Security Guidelines</li> <li>- Atlantic Council (Brent Scowcroft Center On International Security) - Smart Homes and the Internet of Things</li> <li>- Online Trust Alliance (OTA) - IoT Trust Framework and Trust Framework Resource Guide</li> <li>- BITAG (Broadband Internet Technical Advisory Group) - Internet of Things (IoT) Security and Privacy Recommendations Technical Working Group Report</li> <li>- ARMOUR (Large-Scale Experiments of IoT Security Trust)</li> <li>- IIC (Industrial Internet Consortium) - Industrial Internet of Things Volume G4: Security Framework (IIC:PUB:G4:V1.0:PB:20160926, 173 pages)</li> <li>- IETF (Internet Engineering Task Force) - IETF RFC 7452 Architectural Considerations in Smart Object Networking</li> <li>- IETF (Internet Engineering Task Force) - Best Current Practices for Securing Internet of Things (IoT) Devices</li> <li>- Internet Research Task force (IRTF) - State-of-the-Art and Challenges for the Internet of Things Security</li> </ul> |
| <p>GP-TM-20: Backward compatibility of firmware updates. Automatic firmware updates should not change network protocol interfaces in any way that is incompatible with previous versions. Updates and patches should not modify user-configured preferences, security, and/or privacy settings without user notification. Users should have the ability to approve, authorise or reject updates.</p> | <p>IT Security Architecture</p>       | <ul style="list-style-type: none"> <li>- BSI (Bundesamt für Sicherheit in der Informationstechnik) - Community Draft SYS 4.4 on General IoT Device (Entwurf SYS.4.4: Allgemeines IoT-Gerät)</li> <li>- BSI (Bundesamt für Sicherheit in der Informationstechnik) - Protection Profile for the Gateway of a Smart Metering System (Smart Meter Gateway PP), Certification-ID: BSI-CC-PP-0073</li> <li>- ISACA - Performing a Security Risk Assessment</li> <li>- AIOTI. Digitisation of Industry Policy Recommendations</li> <li>- International Telecommunication Union (ITU) - Unleashing the potential of the Internet of Things</li> <li>- HM Government - National cyber security strategy 2016-2021</li> <li>- I am the cavalry - Five Star Automotive Cyber Safety Framework</li> <li>- AT&amp;T Cybersecurity Insights - Exploring IoT Security Volume 2</li> <li>- Symantec - An Internet of Things Reference Architecture</li> <li>- Symantec - Internet Security Threat Report (ISTR)</li> <li>- Microsoft - Cybersecurity Policy For The Internet Of Things</li> <li>- Infineon - Hardware Security for Smart Grid End Point Devices</li> </ul>                                                                                                                                      |
| <p>Authentication</p> <p>GP-TM-21: Design the authentication and authorisation schemes (unique per device) based on the system-level threat models. Devices should include mechanisms to reliably authenticate their backend services and supporting applications.</p>                                                                                                                               | <p>Identity and access management</p> | <ul style="list-style-type: none"> <li>- ISO27001 #A9. Access Control</li> <li>- NIST SP 800-30</li> <li>- NIST SP 800-53               <ul style="list-style-type: none"> <li>- IA-5 Authenticator Management</li> <li>- AC-7 Unsuccessful Logon Attempts</li> </ul> </li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |

| SECURITY MEASURES / GOOD PRACTICES                                                                                                                                                                                                                                                                                                                                                              | SECURITY DOMAIN                                                           | REFERENCES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
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| <p>GP-TM-22: Ensure default passwords and even default usernames are changed during the initial setup, and that weak, null or blank passwords are not allowed.</p>                                                                                                                                                                                                                              | <p>Identity and access management</p>                                     | <ul style="list-style-type: none"> <li>- AC-14 Permitted Actions Without Identification Or Authentication</li> <li>- NIST Framework for Improving Critical Infrastructure Cybersecurity</li> <li>- OWASP I1, I2, I6. Internet of Things Top Ten</li> <li>- U.S. Federal Communications Commission, Public Safety &amp; Homeland Security Bureau - FCC White Paper, Cybersecurity Risk Reduction</li> <li>- Cloud Security Alliance (CSA) - Identity and Access Management for the Internet of Things</li> <li>- Cloud Security Alliance (CSA) - Future-proofing the Connected World: 13 Steps to Developing Secure IoT Products</li> <li>- Cloud Security Alliance (CSA) - New Security Guidance for Early Adopters of the IoT</li> <li>- IoT Security Foundation (IoTSF)</li> <li>- GSM Association (GSMA) - IoT Security Guidelines</li> <li>- oneM2M - Standards for M2M and the Internet of Things</li> <li>- Online Trust Alliance (OTA) - IoT Trust Framework and Trust Framework Resource Guide</li> <li>- EuroSMART (the voice of the Smart Security Industry) - Internet Of Trust Security And Privacy In The Connected World</li> <li>- IETF (Internet Engineering Task Force) - IETF RFC 7452 Architectural Considerations in Smart Object Networking</li> <li>- World Wide Web Consortium (W3C) - WoT Current Practices</li> <li>- BSI (Bundesamt für Sicherheit in der Informationstechnik) - Community Draft SYS 4.4 on General IoT Device (Entwurf SYS.4.4: Allgemeines IoT-Gerät)</li> <li>- ISACA - Performing a Security Risk Assessment</li> <li>- International Telecommunication Union (ITU) - Unleashing the potential of the Internet of Things</li> <li>- AT&amp;T Cybersecurity Insights - Exploring IoT Security Volume 2</li> <li>- Symantec - Internet Security Threat Report (ISTR)</li> <li>- Microsoft - Cybersecurity Policy For The Internet Of Things</li> <li>- Infineon - Hardware Security for Smart Grid End Point Devices</li> </ul> |
| <p>GP-TM-23: Authentication mechanisms must use strong passwords or personal identification numbers (PINs), and should consider using two-factor authentication (2FA) or multi-factor authentication (MFA) like Smartphones, Biometrics, etc., and certificates.</p>                                                                                                                            | <p>Identity and access management</p>                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| <p>GP-TM-24: Authentication credentials including but not limited to user passwords shall be salted, hashed and/or encrypted.</p>                                                                                                                                                                                                                                                               | <p>Identity and access management</p>                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| <p>GP-TM-25: Protect against ‘brute force’ and/or other abusive login attempts (such as automated login bots, etc.) by locking or disabling user and device support account(s) after a reasonable number of invalid log in attempts, or y making the user wait a certain amount of time to login again after a failed attempt. This protection should also consider keys stored in devices.</p> | <p>Identity and access management<br/><br/>IT Security Administration</p> |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| <p>GP-TM-26: Ensure password recovery or reset mechanism is robust and does not supply an attacker with information indicating a valid account. The same applies to key update and recovery mechanisms.</p>                                                                                                                                                                                     | <p>Identity and access management</p>                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |

| SECURITY MEASURES / GOOD PRACTICES |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | SECURITY DOMAIN                | REFERENCES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
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| Authorisation                      | <p>GP-TM-27: Limit permissions of the allowed actions for a given system (e.g., the information owner or the database administrator determines who can update a shared file accessed by a group of online users). Implement fine-grained authorisation mechanisms - such as Attribute-Based Access Control (ABAC) or Role-Based Access Control (RBAC)- for executing privileged actions, access to files and directories, applications, etc. Use the Principle of least privilege (POLP): applications must operate at the lowest privilege level possible.</p> | Identity and access management | <ul style="list-style-type: none"> <li>- ISO27001 #A9. Access Control</li> <li>- NIST SP 800-30</li> <li>- NIST SP 800-53               <ul style="list-style-type: none"> <li>- AC-6 Least Privilege</li> <li>- CA-6 Security Authorization</li> </ul> </li> <li>- NIST Framework for Improving Critical Infrastructure Cybersecurity</li> <li>- OWASP I1, I2, I6. Internet of Things Top Ten</li> <li>- FTC - Internet of Things: Privacy &amp; Security in a Connected World</li> <li>- IOT-A (Internet of Things Architecture)</li> <li>- Software Assurance Forum for Excellence in Code (SAFECode) - NPO - Call it the Internet of Connected Things: The IoT Security Conundrum</li> <li>- Cloud Security Alliance (CSA) - New Security Guidance for Early Adopters of the IoT</li> <li>- IoT Security Foundation (IoTSF)</li> <li>- GSM Association (GSMA) - IoT Security Guidelines</li> <li>- oneM2M - Standards for M2M and the Internet of Things</li> <li>- IETF (Internet Engineering Task Force) - IETF RFC 7452 Architectural Considerations in Smart Object Networking</li> <li>- IETF (Internet Engineering Task Force) - Best Current Practices for Securing Internet of Things (IoT) Devices</li> </ul> |
|                                    | <p>GP-TM-28: Device firmware should be designed to isolate privileged code, processes and data from portions of the firmware that do not need access to them, and device hardware should provide isolation concepts to prevent unprivileged from accessing security sensitive code. in order to minimise the potential for compromised code to access those code and/or data.</p>                                                                                                                                                                               | IT Security Architecture       | <ul style="list-style-type: none"> <li>- World Wide Web Consortium (W3C) - WoT Current Practices</li> <li>- BSI (Bundesamt für Sicherheit in der Informationstechnik) - Community Draft on Implementation Notes for the module SYS 4.4 on General IoT Device (Entwurf Umsetzungshinweise zum Baustein SYS.4.4 Allgemeines IoT-Gerät)</li> <li>- International Electrotechnical Commission (IEC) - IEC White Paper on “IoT 2020: Smart and secure IoT platform”</li> <li>- ISACA - Performing a Security Risk Assessment</li> <li>- International Telecommunication Union (ITU) - Unleashing the potential of the Internet of Things</li> <li>- Industrial Internet Consortium (IIC)</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |



|                                                      | SECURITY MEASURES / GOOD PRACTICES                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | SECURITY DOMAIN                                                                                           | REFERENCES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
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| Access Control - Physical and Environmental security | <p>GP-TM-29: Data integrity and confidentiality must be enforced by access controls. When the subject requesting access has been authorised to access particular processes, it is necessary to enforce the defined security policy. The effectiveness and the strength of access control depend on the correctness of the access control decisions (e.g., how the security rules are configured) and the strength of access control enforcement (e.g., the design of software or hardware security).</p>        | <p>Information System Security Governance &amp; Risk Management</p> <p>Identity and access management</p> | <ul style="list-style-type: none"> <li>- ISO27001 #A9. Access Control, #A11. Physical and Environmental security</li> <li>- NIST SP 800-30</li> <li>- NIST SP 800-53               <ul style="list-style-type: none"> <li>- Physical And Environmental Protection Control Family (PE)</li> <li>- SA-18 Tamper Resistance And Detection</li> <li>- AC-1 Access Control Policy And Procedures</li> </ul> </li> <li>- NIST Framework for Improving Critical Infrastructure Cybersecurity</li> <li>- OWASP Access control</li> <li>- OWASP I10. Internet of Things Top Ten</li> </ul>                                                                                                                                     |
|                                                      | <p>GP-TM-30: Ensure a context-based security and privacy that reflects different levels of importance (e.g. emergency crisis, home automation).</p>                                                                                                                                                                                                                                                                                                                                                             | <p>Information System Security Governance &amp; Risk Management</p>                                       | <ul style="list-style-type: none"> <li>- European Commission - Advancing the Internet of Things in Europe</li> <li>- IERC European Research Cluster on the Internet of Things</li> <li>- FTC - Internet of Things: Privacy &amp; Security in a Connected World</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|                                                      | <p>GP-TM-31: Since some devices, gateways, etc. are required to be managed remotely rather than operated manually in the field, measures for tamper protection and detection are needed. Detection and reaction to hardware tampering should not rely on network connectivity.</p> <p>Hardware tampering means that an attacker has physical control of the device for some period of time. Broadly speaking, hardware tampering might occur at any of the different periods in the life cycle of a device.</p> | <p>Physical and environmental security</p>                                                                | <ul style="list-style-type: none"> <li>- oneM2M - Standards for M2M and the Internet of Things</li> <li>- International Electrotechnical Commission (IEC) - IEC White Paper on "IoT 2020: Smart and secure IoT platform"</li> <li>- Cloud Security Alliance (CSA) - Future-proofing the Connected World: 13 Steps to Developing Secure IoT Products</li> <li>- OTA IoT Trust Framework</li> <li>- IoT Security Foundation (IoTSF)</li> <li>- GSM Association (GSMA) - IoT Security Guidelines</li> <li>- Online Trust Alliance (OTA) - IoT Trust Framework and Trust Framework Resource Guide</li> <li>- Trusted Computing Group (TCG) - Guidance for Securing IoT Using TCG Technology Reference Document</li> </ul> |
|                                                      | <p>GP-TM-32: Ensure that the device cannot be easily disassembled and that the data storage medium is encrypted at rest and cannot be easily removed. There should be mechanisms to control device security settings, such as remotely locking or erasing contents of a device if the device has been stolen.</p>                                                                                                                                                                                               | <p>Physical and environmental security</p>                                                                | <ul style="list-style-type: none"> <li>- BSI (Bundesamt für Sicherheit in der Informationstechnik) - Community Draft on Implementation Notes for the module SYS 4.4 on General IoT Device (Entwurf Umsetzungshinweise zum Baustein SYS.4.4 Allgemeines IoT-Gerät)</li> <li>- BSI (Bundesamt für Sicherheit in der Informationstechnik) - Protection Profile for the Gateway of a Smart Metering System (Smart Meter Gateway PP), Certification-ID: BSI-CC-PP-0073</li> <li>- International Electrotechnical Commission (IEC) - IEC White Paper on "IoT 2020: Smart and secure IoT platform"</li> </ul>                                                                                                                |
|                                                      | <p>GP-TM-33: Ensure that devices only feature the essential physical external ports (such as USB) necessary for them to function and that the test/debug modes are secure, so they cannot be used to maliciously access the devices. In general, lock down physical ports to only trusted connections.</p>                                                                                                                                                                                                      | <p>Physical and environmental security</p>                                                                | <ul style="list-style-type: none"> <li>- International Telecommunication Union (ITU) - Unleashing the potential of the Internet of Things</li> <li>- International Telecommunication Union (ITU) - Unleashing the potential of the Internet of Things</li> <li>- Symantec - An Internet of Things Reference Architecture</li> <li>- Microsoft - Cybersecurity Policy For The Internet Of Things</li> </ul>                                                                                                                                                                                                                                                                                                            |

|              | SECURITY MEASURES / GOOD PRACTICES                                                                                                                                                                                                                                                                                                                                                    | SECURITY DOMAIN          | REFERENCES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
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| Cryptography | <p>GP-TM-34: Ensure a proper and effective use of cryptography to protect the confidentiality, authenticity and/or integrity of data and information (including control messages), in transit and in rest. Ensure the proper selection of standard and strong encryption algorithms and strong keys, and disable insecure protocols. Verify the robustness of the implementation.</p> | IT Security Architecture | <ul style="list-style-type: none"> <li>- ISO27001 #A10. Cryptography</li> <li>- ISO 27031 7.4.3</li> <li>- NIST SP 800-30</li> <li>- NIST SP 800-53 - SC-13 Cryptographic Protection</li> <li>- NIST Framework for Improving Critical Infrastructure Cybersecurity</li> <li>- OWASP Guide to Cryptography</li> <li>- U.S. Federal Communications Commission, Public Safety &amp; Homeland Security Bureau</li> <li>- IOT-A (Internet of Things Architecture)</li> <li>- Software Assurance Forum for Excellence in Code (SAFECode) - NPO - Call it the Internet of Connected Things: The IoT Security Conundrum</li> <li>- Cloud Security Alliance (CSA) - Future-proofing the Connected World: 13 Steps to Developing Secure IoT Products</li> </ul> |
|              | <p>GP-TM-35: Cryptographic keys must be securely managed. Encryption is only as robust as the ability for any encryption based system to keep the encryption key hidden. Cryptographic key management includes key generation, distribution, storage, and maintenance.</p>                                                                                                            | IT Security Architecture | <ul style="list-style-type: none"> <li>- IoT Security Foundation (IoTSF)</li> <li>- GSM Association (GSMA) - IoT Security Guidelines</li> <li>- oneM2M - Standards for M2M and the Internet of Things</li> <li>- BITAG (Broadband Internet Technical Advisory Group) - Internet of Things (IoT) Security and Privacy Recommendations Technical Working Group Report</li> <li>- EuroSMART (the voice of the Smart Security Industry) - Internet Of Trust Security And Privacy In The Connected World</li> </ul>                                                                                                                                                                                                                                        |
|              | <p>GP-TM-36: Build devices to be compatible with lightweight encryption and security techniques (including entities secure identification, secure configuration, etc.) that can, on the one hand, be usable on resource-constrained devices, and, on the other hand, be scalable so to minimise the management effort and maximise their usability.</p>                               | IT Security Architecture | <ul style="list-style-type: none"> <li>- IIC (Industrial Internet Consortium) - Industrial Internet of Things Volume G4: Security Framework (IIC:PUB:G4:V1.0:PB:20160926)</li> <li>- IETF (Internet Engineering Task Force) - IETF RFC 7452 Architectural Considerations in Smart Object Networking</li> <li>- IETF (Internet Engineering Task Force) - Best Current Practices for Securing Internet of Things (IoT) Devices</li> <li>- Trusted Computing Group (TCG) - Guidance for Securing IoT Using TCG Technology Reference Document</li> <li>- Internet Research Task force (IRTF) - State-of-the-Art and Challenges for the Internet of Things Security</li> </ul>                                                                             |
|              | <p>GP-TM-37: Support scalable key management schemes. It has to be considered that tiny sensor nodes cannot provide all security features because they have lots of system limitations. Thus, the sensed data carried over infrastructure networks may not have strong encryption or security protection.</p>                                                                         | IT Security Architecture | <ul style="list-style-type: none"> <li>- BSI (Bundesamt für Sicherheit in der Informationstechnik) - Protection Profile for the Gateway of a Smart Metering System (Smart Meter Gateway PP), Certification-ID: BSI-CC-PP-0073</li> <li>- ISACA - Performing a Security Risk Assessment</li> <li>- AIOTI. Digitisation of Industry Policy Recommendations</li> <li>- International Telecommunication Union (ITU) - Unleashing the potential of the Internet of Things</li> <li>- AT&amp;T Cybersecurity Insights - Exploring IoT Security Volume 2</li> <li>- Symantec - Internet Security Threat Report (ISTR)</li> <li>- Infineon - Hardware Security for Smart Grid End Point Devices</li> </ul>                                                    |

| SECURITY MEASURES / GOOD PRACTICES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | SECURITY DOMAIN                                            | REFERENCES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
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| GP-TM-38: Guarantee the different security aspects -confidentiality (privacy), integrity, availability and authenticity- of the information in transit on the networks or stored in the IoT application or in the Cloud, using data encryption methods to minimise network threats such as replay, interception, packet sniffing, wiretapping, or eavesdropping.                                                                                                                                                                                                                         | IT Security Architecture                                   | <ul style="list-style-type: none"> <li>- ISO27001 #A10. Cryptography</li> <li>- NIST SP 800-30</li> <li>- NIST SP 800-163</li> <li>- NIST Framework for Improving Critical Infrastructure Cybersecurity</li> <li>- OWASP I4. Internet of Things Top Ten</li> <li>- FTC - Internet of Things: Privacy &amp; Security in a Connected World</li> <li>- U.S. Department of Homeland Security - Strategic Principles For Securing The Internet Of Things (IoT)</li> <li>- Cloud Security Alliance (CSA) - Future-proofing the Connected World: 13 Steps to Developing Secure IoT Products</li> <li>- IoT Security Foundation (IoTSF)</li> <li>- GSM Association (GSMA) - IoT Security Guidelines</li> <li>- Online Trust Alliance (OTA) - IoT Trust Framework and Trust Framework Resource Guide</li> <li>- BITAG (Broadband Internet Technical Advisory Group) - Internet of Things (IoT) Security and Privacy Recommendations Technical Working Group Report</li> <li>- IIC (Industrial Internet Consortium) - Industrial Internet of Things Volume G4: Security Framework (IIC:PUB:G4:V1.0:PB:20160926)</li> <li>- World Wide Web Consortium (W3C) - WoT Current Practices</li> <li>- International Electrotechnical Commission (IEC) - IEC White Paper on "IoT 2020: Smart and secure IoT platform"</li> <li>- OpenFog Consortium - The 8 Pillars of the OpenFog Reference Architecture</li> <li>- Trusted Computing Group (TCG) - Guidance for Securing IoT Using TCG Technology Reference Document</li> <li>- Internet Research Task force (IRTF) - State-of-the-Art and Challenges for the Internet of Things Security</li> <li>- International Telecommunication Union (ITU) - Unleashing the potential of the Internet of Things</li> <li>- AT&amp;T Cybersecurity Insights - Exploring IoT Security Volume 2</li> <li>- Symantec - An Internet of Things Reference Architecture</li> <li>- Symantec - Internet Security Threat Report (ISTR)</li> <li>- Infineon - Hardware Security for Smart Grid End Point Devices</li> </ul> |
| GP-TM-39: Ensure that communication security is provided using state-of-the-art, standardised security protocols, such as TLS for encryption.                                                                                                                                                                                                                                                                                                                                                                                                                                            | IT Security Architecture                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| GP-TM-40: Ensure credentials are not exposed in internal or external network traffic                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | IT Security Architecture<br>Identity and access management |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| GP-TM-41: Guarantee data authenticity to enable trustable exchanges (from data emission to data reception - both ways). Data is often stored, cached, and processed by several nodes; not just sent from point A to point B. For these reasons, data should always be signed whenever and wherever the data is captured and stored.                                                                                                                                                                                                                                                      | IT Security Architecture                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| GP-TM-42: Do not trust data received and always verify any interconnections. Discover, identify and verify/authenticate the devices connected to the network before trust can be established, and preserve their integrity for trustable solutions and services. For example, a device measures its own integrity as part of boot, but does not validate those measurements - when the device applies to join a network, part of joining involves sending an integrity report for remote validation. If validation fails, the end point is diverted to a remediation network for action. | IT Security Architecture<br>Ecosystem Management           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| GP-TM-43: IoT devices should be restrictive rather than permissive in communicating: When possible, devices should not be reachable via inbound connections by default. IoT devices should not rely on the network firewall alone to restrict communication, as some communication between devices within the home may not traverse the firewall.                                                                                                                                                                                                                                        | IT Security Architecture<br>Ecosystem Management           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |

Secure and trusted communications

| SECURITY MEASURES / GOOD PRACTICES                                                                                                                                                                                                                                                                                               | SECURITY DOMAIN                                                                                                                                                                                                                             | REFERENCES                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
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| GP-TM-44: Make intentional connections. Prevent unauthorised connections to it or other devices the product is connected to, at all levels of the protocols. IoT devices must provide notice and/or request a user confirmation when initially pairing, onboarding, and/or connecting with other devices, platforms or services. | IT Security Architecture                                                                                                                                                                                                                    |                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| GP-TM-45: Disable specific ports and/or network connections for selective connectivity. If necessary, provide users with guidelines to perform this process in the final implementation.                                                                                                                                         | IT Security Architecture                                                                                                                                                                                                                    |                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| GP-TM-46: Rate limiting – controlling the traffic sent or received by a network to reduce the risk of automated attacks.                                                                                                                                                                                                         | IT Security Architecture                                                                                                                                                                                                                    |                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| Secure Interfaces and network services                                                                                                                                                                                                                                                                                           | GP-TM-47: Risk Segmentation - Splitting network elements into separate components to help isolate security breaches and minimise overall risk. Networks can be divided into isolated subnetworks to boost performance and improve security. | Information System Security Governance & Risk Management   | <ul style="list-style-type: none"> <li>- ISO27001 #A12. Operations security</li> <li>- NIST SP 800-53 - SC-5 Denial Of Service Protection</li> <li>- NIST Framework for Improving Critical Infrastructure Cybersecurity</li> <li>- OWASP I1, I3, I6. Internet of Things Top Ten</li> <li>- FTC - Internet of Things: Privacy &amp; Security in a Connected World</li> <li>- U.S. Federal Communications Commission, Public Safety &amp; Homeland Security Bureau - NOI Fifth Generation Wireless Network and Device Security</li> </ul> |
|                                                                                                                                                                                                                                                                                                                                  | GP-TM-48: Protocols should be designed to ensure that, if a single device is compromised, it does not affect the whole set, since smart objects are often deployed as sets of identical or almost identical devices.                        | IT Security Architecture<br><br>Ecosystem Management       | <ul style="list-style-type: none"> <li>- Software Assurance Forum for Excellence in Code (SAFECode) - NPO - Call it the Internet of Connected Things: The IoT Security Conundrum</li> <li>- Cloud Security Alliance (CSA) - Future-proofing the Connected World: 13 Steps to Developing Secure IoT Products</li> <li>- IoT Security Foundation (IoTSF)</li> <li>- GSM Association (GSMA) - IoT Security Guidelines</li> </ul>                                                                                                           |
|                                                                                                                                                                                                                                                                                                                                  | GP-TM-49: Avoid provisioning the same secret key in an entire product family, since compromising a single device would be enough to expose the rest of the product family.                                                                  | Ecosystem Management<br><br>Identity and access management | <ul style="list-style-type: none"> <li>- Online Trust Alliance (OTA) - IoT Trust Framework and Trust Framework Resource Guide</li> <li>- BITAG (Broadband Internet Technical Advisory Group) - Internet of Things (IoT) Security and Privacy Recommendations Technical Working Group Report</li> <li>- IIC (Industrial Internet Consortium) - Industrial Internet of Things Volume G4: Security Framework (IIC:PUB:G4:V1.0:PB:20160926)</li> </ul>                                                                                      |
|                                                                                                                                                                                                                                                                                                                                  | GP-TM-50: Ensure only necessary ports are exposed and available.                                                                                                                                                                            | IT Security Architecture                                   | <ul style="list-style-type: none"> <li>- IETF (Internet Engineering Task Force) - IETF RFC 7452 Architectural Considerations in Smart Object Networking</li> </ul>                                                                                                                                                                                                                                                                                                                                                                      |
|                                                                                                                                                                                                                                                                                                                                  | GP-TM-51: Implement a DDoS-resistant and Load-Balancing infrastructure to protect the services against DDoS attacks which can affect the device itself or other devices and/or users on the local network or other networks.                | IT Security Architecture                                   | <ul style="list-style-type: none"> <li>- World Wide Web Consortium (W3C) - WoT Current Practices</li> <li>- International Electrotechnical Commission (IEC) - IEC White Paper on “IoT 2020: Smart and secure IoT platform”</li> <li>- Symantec - Internet Security Threat Report (ISTR)</li> </ul>                                                                                                                                                                                                                                      |

|                                  | SECURITY MEASURES / GOOD PRACTICES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | SECURITY DOMAIN                                                   | REFERENCES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|----------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                  | <p>GP-TM-52: Ensure web interfaces fully encrypt the user session, from the device to the backend services, and that they are not susceptible to XSS, CSRF, SQL injection, etc.</p> <p>GP-TM-53: Avoid security issues when designing error messages. An error message should give/display only the concise information the user needs – it must not expose sensitive information that can be exploited by an attacker, such as an error ID, the version of the web server, etc.</p>                                                                                                                                                           | <p>IT Security Architecture</p> <p>IT Security Administration</p> |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| Secure input and output handling | <p>GP-TM-54: Data input validation (ensuring that data is safe prior to use) and output filtering.</p> <p>Security is a concern for decision triggers (malware or general defects). Other possibilities here might be indirect manipulation of input values to the trigger by tampering with or restricting the input values. Reliability is a concern for decision triggers (general defects). Decision triggers could be inconsistent, self-contradictory, and incomplete. Understanding how bad data propagates to affect decision triggers is paramount. Failure to execute decision triggers at time may have undesired consequences.</p> | <p>IT Security Architecture</p> <p>IT Security Administration</p> | <ul style="list-style-type: none"> <li>- ISO27001 #A12. Operations security</li> <li>- NIST SP 800-53 - SI-10 Information Input Validation</li> <li>- NIST SP 800-183 - 2.5 Primitive #5 (16,19&amp;20): Decision Trigger</li> <li>- OWASP Secure Coding Practices - Input Validation</li> <li>- Software Assurance Forum for Excellence in Code (SAFECode) - NPO - Call it the Internet of Connected Things: The IoT Security Conundrum</li> <li>- IoT Security Foundation (IoTSF)</li> <li>- GSM Association (GSMA) - IoT Security Guidelines</li> <li>- International Electrotechnical Commission (IEC) - IEC White Paper on “IoT 2020: Smart and secure IoT platform”</li> <li>- ISACA - Performing a Security Risk Assessment</li> <li>- Symantec - An Internet of Things Reference Architecture</li> </ul> |

| SECURITY MEASURES / GOOD PRACTICES | SECURITY DOMAIN                                                                                                                                                                                                                                                                                                    | REFERENCES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
|------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>Logging</p>                     | <p>GP-TM-55: Implement a logging system that records events relating to user authentication, management of accounts and access rights, modifications to security rules, and the functioning of the system. The logs must also be preserved on durable storage and retrievable via an authenticated connection.</p> | <p>Detection</p> <ul style="list-style-type: none"> <li>- ISO27001 #A12. Operations security</li> <li>- NIST SP 800-92</li> <li>- NIST Framework for Improving Critical Infrastructure Cybersecurity</li> <li>- OWASP Logging Cheat Sheet</li> <li>- Software Assurance Forum for Excellence in Code (SAFECode) - NPO - Call it the Internet of Connected Things: The IoT Security Conundrum</li> <li>- Cloud Security Alliance (CSA) - Future-proofing the Connected World: 13 Steps to Developing Secure IoT Products</li> <li>- Cloud Security Alliance (CSA) - New Security Guidance for Early Adopters of the IoT</li> <li>- GSM Association (GSMA) - IoT Security Guidelines</li> <li>- IIC (Industrial Internet Consortium) - Industrial Internet of Things Volume G4: Security Framework (IIC:PUB:G4:V1.0:PB:20160926)</li> <li>- Trusted Computing Group (TCG) - Guidance for Securing IoT Using TCG Technology Reference Document</li> <li>- BSI (Bundesamt für Sicherheit in der Informationstechnik) - Community Draft on Implementation Notes for the module SYS 4.4 on General IoT Device (Entwurf Umsetzungshinweise zum Baustein SYS.4.4 Allgemeines IoT-Gerät)</li> <li>- BSI (Bundesamt für Sicherheit in der Informationstechnik) - Protection Profile for the Gateway of a Smart Metering System (Smart Meter Gateway PP), Certification-ID: BSI-CC-PP-0073</li> <li>- ISACA - Performing a Security Risk Assessment</li> <li>- I am the cavalry - Five Star Automotive Cyber Safety Framework</li> <li>- Symantec - An Internet of Things Reference Architecture</li> <li>- Microsoft - Cybersecurity Policy For The Internet Of Things</li> </ul> |
| <p>Monitoring and Auditing</p>     | <p>GP-TM-56: Implement regular monitoring to verify the device behaviour, to detect malware and to discover integrity errors.</p>                                                                                                                                                                                  | <p>Detection</p> <ul style="list-style-type: none"> <li>- ISO27001 #A12. Operations security</li> <li>- ISO 27031 8.1.2</li> <li>- NIST SP 800-30</li> <li>- NIST SP 800-53 <ul style="list-style-type: none"> <li>- AU-1 Audit And Accountability Policy And Procedures</li> <li>- SI-4 Information System Monitoring</li> <li>- CA-7 Continuous Monitoring</li> </ul> </li> <li>- OWASP Error Handling, Auditing and Logging</li> <li>- FTC - Internet of Things: Privacy &amp; Security in a Connected World</li> <li>- Software Assurance Forum for Excellence in Code (SAFECode) - NPO - Call it the Internet of Connected Things: The IoT Security Conundrum</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |

| SECURITY MEASURES / GOOD PRACTICES | SECURITY DOMAIN                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | REFERENCES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                    | <p>GP-TM-57: The auditing of security-relevant events and the monitoring and tracking of system abnormalities are key elements in the after-the-fact detection of, and recovery from, security breaches. Conduct periodic audits and reviews of security controls to ensure that the controls are effective. Perform penetration tests at least biannually.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | <p>Information System Security Governance &amp; Risk Management</p> <p>IT security maintenance</p> <ul style="list-style-type: none"> <li>- Cloud Security Alliance (CSA) - Future-proofing the Connected World: 13 Steps to Developing Secure IoT Products</li> <li>- Cloud Security Alliance (CSA) - New Security Guidance for Early Adopters of the IoT</li> <li>- GSM Association (GSMA) - IoT Security Guidelines</li> <li>- Online Trust Alliance (OTA) - IoT Trust Framework and Trust Framework Resource Guide</li> <li>- IIC (Industrial Internet Consortium) - Industrial Internet of Things Volume G4: Security Framework (IIC:PUB:G4:V1.0:PB:20160926)</li> <li>- BSI (Bundesamt für Sicherheit in der Informationstechnik) - Community Draft on Implementation Notes for the module SYS 4.4 on General IoT Device (Entwurf Umsetzungshinweise zum Baustein SYS.4.4 Allgemeines IoT-Gerät)</li> <li>- ISACA - Performing a Security Risk Assessment</li> <li>- International Telecommunication Union (ITU) - Unleashing the potential of the Internet of Things</li> <li>- I am the cavalry - Five Star Automotive Cyber Safety Framework</li> <li>- Symantec - An Internet of Things Reference Architecture</li> <li>- Symantec - Internet Security Threat Report (ISTR)</li> <li>- Microsoft - Cybersecurity Policy For The Internet Of Things</li> <li>- Infineon - Hardware Security for Smart Grid End Point Devices</li> </ul> |
| End-of-life support                | <p>GP-OP-01: Develop an end-of-life strategy for IoT products. Security patches and updates will eventually be discontinued for some IoT devices. Therefore, developers should prepare and communicate a product sunset plan from the initial stages to ensure that manufacturers and consumers are aware of the risks posed to a device beyond its expected expiry date.</p> <p>GP-OP-02: Disclose the duration and end-of-life security and patch support (beyond product warranty). Such disclosures should be aligned to the expected lifespan of the device and communicated to the consumer prior to purchase.</p> <p>GP-OP-03: Monitor the performance and patch known vulnerabilities up until the “end-of-support ” period of a product’s lifecycle. Due to the limited life cycle of many IoT devices, critical, publicly known security or privacy bugs will pose a risk to consumers using outdated devices.</p> | <p>IT security maintenance</p> <p>IT security maintenance</p> <p>IT security maintenance</p> <ul style="list-style-type: none"> <li>- U.S. Department of Homeland Security - Strategic Principles For Securing The Internet Of Things (IoT)</li> <li>- FTC - Internet of Things: Privacy &amp; Security in a Connected World</li> <li>- Online Trust Alliance (OTA) - IoT Trust Framework and Trust Framework Resource Guide</li> <li>- BITAG (Broadband Internet Technical Advisory Group) - Internet of Things (IoT) Security and Privacy Recommendations Technical Working Group Report</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |

| SECURITY MEASURES / GOOD PRACTICES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | SECURITY DOMAIN                                                                                                                                                                                                                                       | REFERENCES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>Proven solutions</p> <p>GP-OP-04: Use proven solutions, i.e. well known communications protocols and cryptographic algorithms, recognized by the scientific community, etc. Certain proprietary solutions, such as custom cryptographic algorithms, should be avoided. Purely proprietary approaches and standards limit interoperability and can severely hamper the potential of the Digital Single Market. Common open standards will help users access new innovative services, especially for SMEs, the public sector and the scientific community. In particular, the portability of applications and data between different providers is essential to avoid lock-in.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | IT Security Architecture                                                                                                                                                                                                                              | <ul style="list-style-type: none"> <li>- European Commission - ICT Standardisation Priorities for the Digital Single Market</li> <li>- European Commission - Advancing the Internet of Things in Europe</li> <li>- Software Assurance Forum for Excellence in Code (SAFECode) - NPO - Call it the Internet of Connected Things: The IoT Security Conundrum</li> <li>- IIC (Industrial Internet Consortium) - Industrial Internet of Things Volume G4: Security Framework (IIC:PUB:G4:V1.0:PB:20160926)</li> <li>- IETF (Internet Engineering Task Force) - Best Current Practices for Securing Internet of Things (IoT) Devices</li> <li>- OASIS (Organization for the Advancement of Structured Information Standards) - Technical Committees</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| <p>Management of security vulnerabilities and/or incidents</p> <p>GP-OP-05: Establish procedures for analysing and handling security incidents. For any incident there should be a response to:</p> <ol style="list-style-type: none"> <li>a) confirm the nature and extent of the incident;</li> <li>b) take control of the situation;</li> <li>c) contain the incident; and</li> <li>d) communicate with stakeholders</li> </ol> <p>Establish management procedures in order to ensure a quick, effective and orderly response to information security incidents.</p> <p>GP-OP-06: Coordinated disclosure of vulnerabilities, including associated security practices to address identified vulnerabilities. A coordinated disclosure policy should involve developers, manufacturers, and service providers, and include information regarding any vulnerabilities reported to a computer security incident response team (CSIRT).</p> <p>GP-OP-07: Participate in information sharing platforms to report vulnerabilities and receive timely and critical information about current cyber threats and vulnerabilities from public and private partners. Information sharing is a critical tool in ensuring stakeholders are aware of threats as they arise.</p> <p>GP-OP-08: Create a publicly disclosed mechanism for vulnerability reports. Bug Bounty programs, for example, rely on crowdsourcing methods to identify vulnerabilities that companies' own internal security teams may not catch.</p> | <p>IT security maintenance</p> <p>IT Security Architecture</p> <p>IT security maintenance</p> <p>IT security maintenance</p> <p>Computer security incident management</p> <p>IT security maintenance</p> <p>Computer security incident management</p> | <ul style="list-style-type: none"> <li>- ISO27001 #A16. Information security incident management</li> <li>- ISO 27031 9.2 and 7.3</li> <li>- NIST SP 800-30</li> <li>- NIST SP 800-53 - Incident Response Control Family (IR)</li> <li>- OWASP Top 10 Considerations For Incident Response</li> <li>- U.S. Department of Health and Human Services Food and Drug Administration (FDA) Center for Devices and Radiological Health - Postmarket Management of Cybersecurity in Medical Devices Guidance for Industry and Food and Drug Administration Staff</li> <li>- U.S. Department of Homeland Security - STRATEGIC PRINCIPLES FOR SECURING THE INTERNET OF THINGS (IoT)</li> <li>- Online Trust Alliance (OTA) - IoT Trust Framework and Trust Framework Resource Guide</li> <li>- BITAG (Broadband Internet Technical Advisory Group) - Internet of Things (IoT) Security and Privacy Recommendations Technical Working Group Report</li> <li>- IETF (Internet Engineering Task Force) - Best Current Practices for Securing Internet of Things (IoT) Devices</li> <li>- Internet Research Task force (IRTF) - State-of-the-Art and Challenges for the Internet of Things Security</li> </ul> |



| SECURITY MEASURES / GOOD PRACTICES             |                                                                                                                                                                                                                                                                                                                                                                                                      | SECURITY DOMAIN                                          | REFERENCES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Human Resource Security Training and Awareness | GP-OP-09: Ensure the personnel practices promote privacy and security - train employees in good privacy and security practices for the secure usage of the systems, recognizing that technological expertise does not necessarily equate to security expertise.                                                                                                                                      | Information System Security Governance & Risk Management | <ul style="list-style-type: none"> <li>- ISO27001 #A7. Human Resource Security</li> <li>- NIST SP 800-30</li> <li>- NIST SP 800-50</li> <li>- NIST SP 800-53 - Awareness And Training Control Family (AT)</li> <li>- NIST Framework for Improving Critical Infrastructure Cybersecurity</li> <li>- FTC - Internet of Things: Privacy &amp; Security in a Connected World</li> <li>- U.S. Federal Communications Commission, Public Safety &amp; Homeland Security Bureau - FCC White Paper, Cybersecurity Risk Reduction</li> </ul>                                                                                                                                                                                                                                    |
|                                                | GP-OP-10: Document and monitor the privacy and security training activities.                                                                                                                                                                                                                                                                                                                         | Information System Security Governance & Risk Management |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
|                                                | GP-OP-11: Ensure that cybersecurity roles and responsibilities for all workforce are established and introduce personnel assignments in accordance with the specifics of the projects and security engineering needs.                                                                                                                                                                                | Information System Security Governance & Risk Management |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| Third-Party relationships                      | GP-OP-12: Data processed by a third-party (i.e., if the organisation utilises a cloud email provider), must be protected by a data processing agreement with the third-party. With the transference of data, the responsibility of protecting that data also should be transferred and compliance verified.                                                                                          | Information System Security Governance & Risk Management | <ul style="list-style-type: none"> <li>- ISO27001 #A18. Compliance</li> <li>- NIST SP 800-53               <ul style="list-style-type: none"> <li>- AC-20 Use Of External Information Systems</li> <li>- PS-7 Third-Party Personnel Security</li> </ul> </li> <li>- NIST Framework for Improving Critical Infrastructure Cybersecurity</li> <li>- OWASP Top 10 Privacy Risks Project - P7 Sharing of data with third party</li> <li>- OWASP I5. Internet of Things Top Ten</li> <li>- Online Trust Alliance (OTA) - IoT Trust Framework and Trust Framework Resource Guide</li> <li>- Atlantic Council (Brent Scowcroft Center On International Security) - Smart Homes and the Internet of Things</li> <li>- EY - Cybersecurity and the Internet of Things</li> </ul> |
|                                                | GP-OP-13: Only share consumers' personal data with third parties with consumers' affirmative consent, unless required and limited for the use of product features or service operation. Require that third-party service providers are held to the same polices including holding such data in confidence and notification requirements of any data loss/breach incident and/or unauthorised access. | Information System Security Governance & Risk Management |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
|                                                | GP-OP-14: For IoT hardware manufacturers and IoT software developers it is necessary to adopt cyber supply chain risk management policies and to communicate cyber security requirements to its suppliers and partners.                                                                                                                                                                              | Information System Security Governance & Risk Management |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |

## Annex B: Security measures and threats mapping

|                                                 | SECURITY MEASURES / GOOD PRACTICES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | THREAT GROUPS                                                                                                                                                         |
|-------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Security by design                              | GP-PS-01: Consider the security of the whole IoT system in a consistent and holistic approach during its whole lifecycle across all levels of device/application design and development, integrating security throughout the development, manufacture, and deployment                                                                                                                                                                                                                                                                         | <ul style="list-style-type: none"> <li>• Nefarious Activity / Abuse</li> </ul>                                                                                        |
|                                                 | GP-PS-02: Ensure the ability to integrate different security policies and techniques.                                                                                                                                                                                                                                                                                                                                                                                                                                                         | <ul style="list-style-type: none"> <li>• Nefarious Activity / Abuse</li> </ul>                                                                                        |
|                                                 | GP-PS-03: Security must consider the risk posed to human safety                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | <ul style="list-style-type: none"> <li>• Nefarious Activity / Abuse</li> </ul>                                                                                        |
|                                                 | GP-PS-04: Designing for power conservation should not compromise security                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | <ul style="list-style-type: none"> <li>• Nefarious Activity / Abuse</li> </ul>                                                                                        |
|                                                 | GP-PS-05: Design architecture by compartments to encapsulate elements in case of attacks.                                                                                                                                                                                                                                                                                                                                                                                                                                                     | <ul style="list-style-type: none"> <li>• Nefarious Activity / Abuse</li> </ul>                                                                                        |
|                                                 | GP-PS-06: For IoT hardware manufacturers and IoT software developers it is necessary to implement test plans to verify whether the product performs as it is expected. Penetration tests help to identify malformed input handling, authentication bypass attempts and overall security posture.                                                                                                                                                                                                                                              | <ul style="list-style-type: none"> <li>• Nefarious Activity / Abuse</li> </ul>                                                                                        |
|                                                 | GP-PS-07: For IoT software developers it is important to conduct code review during implementation as it helps to reduce bugs in a final version of a product.                                                                                                                                                                                                                                                                                                                                                                                | <ul style="list-style-type: none"> <li>• Nefarious Activity / Abuse</li> </ul>                                                                                        |
| Privacy by design                               | GP-PS-08: Make privacy an integral part of the system                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | <ul style="list-style-type: none"> <li>• Nefarious Activity / Abuse</li> <li>• Damage loss (IT assets)</li> </ul>                                                     |
|                                                 | GP-PS-09: Perform privacy impact assessments before any new applications are launched                                                                                                                                                                                                                                                                                                                                                                                                                                                         | <ul style="list-style-type: none"> <li>• Nefarious Activity / Abuse</li> <li>• Damage loss (IT assets)</li> </ul>                                                     |
| Asset Management                                | GP-PS-10: Establish and maintain asset management procedures and configuration controls for key network and information systems.                                                                                                                                                                                                                                                                                                                                                                                                              | <ul style="list-style-type: none"> <li>• Nefarious Activity / Abuse</li> <li>• Damage loss (IT assets)</li> <li>• Eavesdropping / Interception / Hijacking</li> </ul> |
| Risks and Threats Identification and Assessment | GP-PS-11: Identify significant risks using a defence-in-depth approach                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | <ul style="list-style-type: none"> <li>• Outages</li> <li>• Nefarious Activity / Abuse</li> </ul>                                                                     |
|                                                 | GP-PS-12: Identify the intended use and environment of a given IoT device                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | <ul style="list-style-type: none"> <li>• Eavesdropping / Interception / Hijacking</li> <li>• Failures / Malfunctions</li> <li>• Nefarious Activity</li> </ul>         |
| Hardware security                               | GP-TM-01: Employ a hardware-based immutable root of trust.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | <ul style="list-style-type: none"> <li>• Physical attacks</li> <li>• Disasters</li> <li>• Outages</li> </ul>                                                          |
|                                                 | GP-TM-02: Use hardware that incorporates security features to strengthen the protection and integrity of the device - specialized security chips / coprocessors that integrate security at the transistor level, embedded in the processor, providing, among other things, a trusted storage of device identity and authentication means, protection of keys at rest and in use, and preventing unprivileged from accessing to security sensitive code. Protection against local and physical attacks can be covered via functional security. | <ul style="list-style-type: none"> <li>• Physical attacks</li> <li>• Disasters</li> <li>• Outages</li> </ul>                                                          |

|                                     | SECURITY MEASURES / GOOD PRACTICES                                                                                                                                                                                                                           | THREAT GROUPS                                                                                                                                   |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|
| Trust and Integrity Management      | GP-TM-03: The boot process initializes the main hardware components, and starts the operating system. Trust must be established in the boot environment before any trust in any other software or executable program can be claimed.                         | <ul style="list-style-type: none"> <li>Failures / Malfunctions</li> <li>Nefarious Activity / Abuse</li> <li>Outages</li> </ul>                  |
|                                     | GP-TM-04: Sign code cryptographically to ensure it has not been tampered after being signed as safe for the device, and implement run-time protection and secure execution monitoring to be sure malicious attacks do not overwrite code after it is loaded. | <ul style="list-style-type: none"> <li>Nefarious Activity / Abuse</li> <li>Eavesdropping / Interception / Hijacking</li> </ul>                  |
|                                     | GP-TM-05: Control the installation of software on operational systems, to prevent unauthenticated software and files being loaded onto it.                                                                                                                   | <ul style="list-style-type: none"> <li>Outages</li> <li>Nefarious Activity / Abuse</li> <li>Eavesdropping / Interception / Hijacking</li> </ul> |
|                                     | GP-TM-06: Restore Secure State - Enable a system to return to a state that is known to be secure, after a security breach occurs or if an upgrade is not successful.                                                                                         | <ul style="list-style-type: none"> <li>Outages</li> <li>Nefarious Activity / Abuse</li> <li>Eavesdropping / Interception / Hijacking</li> </ul> |
|                                     | GP-TM-07: Use protocols and mechanisms able to represent and manage trust and trust relationships.                                                                                                                                                           | <ul style="list-style-type: none"> <li>Nefarious Activity / Abuse</li> <li>Eavesdropping / Interception / Hijacking</li> </ul>                  |
| Strong default security and privacy | GP-TM-08: Enable security by default. Any applicable security features should be enabled by default, and any unused or insecure functionalities should be disabled by default.                                                                               | <ul style="list-style-type: none"> <li>Outages</li> <li>Nefarious Activity / Abuse</li> <li>Failures / Malfunctions</li> </ul>                  |
|                                     | GP-TM-09: Establish hard to crack device individual default passwords.                                                                                                                                                                                       | <ul style="list-style-type: none"> <li>Outages</li> <li>Nefarious Activity / Abuse</li> <li>Failures / Malfunctions</li> </ul>                  |
| Data protection and compliance      | GP-TM-10: Personal data must be collected and processed fairly and lawfully. The fairness principle specifically requires that personal data should never be collected and processed without the user's consent.                                             | <ul style="list-style-type: none"> <li>Damage / loss (IT Assets)</li> <li>Nefarious Activity / Abuse</li> </ul>                                 |
|                                     | GP-TM-11: Make sure that personal data is used for the specified purposes for which they were collected, and that any further processing of personal data is compatible and that the data subjects are well informed.                                        | <ul style="list-style-type: none"> <li>Damage / loss (IT Assets)</li> <li>Nefarious Activity / Abuse</li> </ul>                                 |
|                                     | GP-TM-12: Minimize the data collected and retained.                                                                                                                                                                                                          | <ul style="list-style-type: none"> <li>Damage / loss (IT Assets)</li> </ul>                                                                     |
|                                     | GP-TM-13: IoT stakeholders must be compliant with the EU General Data Protection Regulation (GDPR).                                                                                                                                                          | <ul style="list-style-type: none"> <li>Damage / loss (IT Assets)</li> <li>Nefarious Activity / Abuse</li> </ul>                                 |
|                                     | GP-TM-14: Users must be able to exercise their rights to information, access, erasure, rectification, data portability, restriction of processing, objection to processing, and their right not to be evaluated on the basis of automated processing.        | <ul style="list-style-type: none"> <li>Damage / loss (IT Assets)</li> <li>Nefarious Activity / Abuse</li> </ul>                                 |
| System safety and reliability       | GP-TM-15: Design with system and operational disruption in mind, preventing the system from causing unacceptable risk of injury or physical damage.                                                                                                          | <ul style="list-style-type: none"> <li>Outages</li> <li>Failures / Malfunctions</li> <li>Disasters</li> </ul>                                   |
|                                     | GP-TM-16: Mechanisms for self-diagnosis and self-repair/healing to recover from failure, malfunction or a compromised state.                                                                                                                                 | <ul style="list-style-type: none"> <li>Outages</li> <li>Failures / Malfunctions</li> </ul>                                                      |

|                                    | SECURITY MEASURES / GOOD PRACTICES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | THREAT GROUPS                                                                                                                                                                            |
|------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                    | GP-TM-17: Ensure standalone operation - essential features should continue to work with a loss of communications and chronicle negative impacts from compromised devices or cloud-based systems.                                                                                                                                                                                                                                                                                                                                                                                       | <ul style="list-style-type: none"> <li>• Outages</li> <li>• Failures / Malfunctions</li> </ul>                                                                                           |
| Secure Software / Firmware updates | GP-TM-18: Ensure that the device software/firmware, its configuration and its applications have the ability to update Over-The-Air (OTA), that the update server is secure, that the update file is transmitted via a secure connection, that it does not contain sensitive data (e.g. hardcoded credentials), and that it is signed by an authorised trust entity and encrypted using accepted encryption methods, and that the update package has its digital signature, signing certificate and signing certificate chain, verified by the device before the update process begins. | <ul style="list-style-type: none"> <li>• Outages</li> <li>• Failures / Malfunctions</li> <li>• Nefarious Activity / Abuse</li> <li>• Eavesdropping / Interception / Hijacking</li> </ul> |
|                                    | GP-TM-19: Offer an automatic firmware update mechanism.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | <ul style="list-style-type: none"> <li>• Outages</li> <li>• Failures / Malfunctions</li> </ul>                                                                                           |
|                                    | GP-TM-20: Backward compatibility of firmware updates. Automatic firmware updates should not modify user-configured preferences, security, and/or privacy settings without user notification.                                                                                                                                                                                                                                                                                                                                                                                           | <ul style="list-style-type: none"> <li>• Outages</li> <li>• Failures / Malfunctions</li> </ul>                                                                                           |
| Authentication                     | GP-TM-21: Design the authentication and authorization schemes (unique per device) based on the system-level threat models.                                                                                                                                                                                                                                                                                                                                                                                                                                                             | <ul style="list-style-type: none"> <li>• Failures / Malfunctions</li> <li>• Nefarious Activity / Abuse</li> <li>• Eavesdropping / Interception / Hijacking</li> </ul>                    |
|                                    | GP-TM-22: Ensure default passwords and even default usernames are changed during the initial setup, and that weak, null or blank passwords are not allowed.                                                                                                                                                                                                                                                                                                                                                                                                                            | <ul style="list-style-type: none"> <li>• Failures / Malfunctions</li> <li>• Nefarious Activity / Abuse</li> <li>• Eavesdropping / Interception / Hijacking</li> </ul>                    |
|                                    | GP-TM-23: Authentication mechanisms must use strong passwords or personal identification numbers (PINs), and should consider using two-factor authentication (2FA) or multi-factor authentication (MFA) like Smartphones, Biometrics, etc., and certificates.                                                                                                                                                                                                                                                                                                                          | <ul style="list-style-type: none"> <li>• Failures / Malfunctions</li> <li>• Nefarious Activity / Abuse</li> <li>• Eavesdropping / Interception / Hijacking</li> </ul>                    |
|                                    | GP-TM-24: Authentication credentials including but not limited to user passwords shall be salted, hashed and/or encrypted.                                                                                                                                                                                                                                                                                                                                                                                                                                                             | <ul style="list-style-type: none"> <li>• Failures / Malfunctions</li> <li>• Nefarious Activity / Abuse</li> <li>• Eavesdropping / Interception / Hijacking</li> </ul>                    |
|                                    | GP-TM-25: Protect against 'brute force' and/or other abusive login attempts. This protection should also consider keys stored in devices.                                                                                                                                                                                                                                                                                                                                                                                                                                              | <ul style="list-style-type: none"> <li>• Failures / Malfunctions</li> <li>• Nefarious Activity / Abuse</li> <li>• Eavesdropping / Interception / Hijacking</li> </ul>                    |
|                                    | GP-TM-26: Ensure password recovery or reset mechanism is robust and does not supply an attacker with information indicating a valid account. The same applies to key update and recovery mechanisms.                                                                                                                                                                                                                                                                                                                                                                                   | <ul style="list-style-type: none"> <li>• Failures / Malfunctions</li> <li>• Nefarious Activity / Abuse</li> <li>• Eavesdropping / Interception / Hijacking</li> </ul>                    |
| Authorization                      | GP-TM-27: Limit the permissions of actions allowed for a given system by Implementing fine-grained authorisation mechanisms and using the Principle of least privilege (POLP): applications must operate at the lowest privilege level possible.                                                                                                                                                                                                                                                                                                                                       | <ul style="list-style-type: none"> <li>• Failures / Malfunctions</li> <li>• Nefarious Activity / Abuse</li> <li>• Eavesdropping / Interception / Hijacking</li> </ul>                    |
|                                    | GP-TM-28: Device firmware should be designed to isolate privileged code and data from portions of the firmware that do not need access to them, and device hardware should provide isolation concepts to prevent unprivileged from accessing security sensitive code.                                                                                                                                                                                                                                                                                                                  | <ul style="list-style-type: none"> <li>• Failures / Malfunctions</li> <li>• Nefarious Activity / Abuse</li> <li>• Eavesdropping / Interception / Hijacking</li> </ul>                    |

| SECURITY MEASURES / GOOD PRACTICES                   |                                                                                                                                                                                                                                                                                                                                                                                | THREAT GROUPS                                                                                                                                                                                      |
|------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Access Control - Physical and Environmental security | GP-TM-29: Data integrity and confidentiality must be enforced by access controls. When the subject requesting access has been authorised to access particular processes, it is necessary to enforce the defined security policy.                                                                                                                                               | <ul style="list-style-type: none"> <li>Physical Attacks</li> <li>Failures / Malfunctions</li> <li>Nefarious Activity / Abuse</li> <li>Eavesdropping / Interception / Hijacking</li> </ul>          |
|                                                      | GP-TM-30: Ensure a context-based security and privacy that reflects different levels of importance.                                                                                                                                                                                                                                                                            | <ul style="list-style-type: none"> <li>Failures / Malfunctions</li> <li>Nefarious Activity / Abuse</li> <li>Eavesdropping / Interception / Hijacking</li> <li>Damage / Loss (IT Assets)</li> </ul> |
|                                                      | GP-TM-31: Measures for tamper protection and detection. Detection and reaction to hardware tampering should not rely on network connectivity.                                                                                                                                                                                                                                  | <ul style="list-style-type: none"> <li>Physical attacks</li> <li>Nefarious Activity / Abuse</li> </ul>                                                                                             |
|                                                      | GP-TM-32: Ensure that the device cannot be easily disassembled and that the data storage medium is encrypted at rest and cannot be easily removed.                                                                                                                                                                                                                             | <ul style="list-style-type: none"> <li>Physical attacks</li> <li>Nefarious Activity / Abuse</li> </ul>                                                                                             |
|                                                      | GP-TM-33: Ensure that devices only feature the essential physical external ports (such as USB) necessary for them to function and that the test/debug modes are secure, so they cannot be used to maliciously access the devices. In general, lock down physical ports to only trusted connections.                                                                            | <ul style="list-style-type: none"> <li>Physical attacks</li> <li>Eavesdropping / Interception / Hijacking</li> <li>Failures / Malfunctions</li> </ul>                                              |
| Cryptography                                         | GP-TM-34: Ensure a proper and effective use of cryptography to protect the confidentiality, authenticity and/or integrity of data and information (including control messages), in transit and in rest. Ensure the proper selection of standard and strong encryption algorithms and strong keys, and disable insecure protocols. Verify the robustness of the implementation. | <ul style="list-style-type: none"> <li>Nefarious Activity / Abuse</li> <li>Eavesdropping / Interception / Hijacking</li> </ul>                                                                     |
|                                                      | GP-TM-35: Cryptographic keys must be securely managed.                                                                                                                                                                                                                                                                                                                         | <ul style="list-style-type: none"> <li>Nefarious Activity / Abuse</li> <li>Eavesdropping / Interception / Hijacking</li> </ul>                                                                     |
|                                                      | GP-TM-36: Build devices to be compatible with lightweight encryption and security techniques.                                                                                                                                                                                                                                                                                  | <ul style="list-style-type: none"> <li>Nefarious Activity / Abuse</li> <li>Eavesdropping / Interception / Hijacking</li> </ul>                                                                     |
|                                                      | GP-TM-37: Support scalable key management schemes.                                                                                                                                                                                                                                                                                                                             | <ul style="list-style-type: none"> <li>Nefarious Activity / Abuse</li> <li>Eavesdropping / Interception / Hijacking</li> <li>Failures / Malfunctions</li> </ul>                                    |
| Secure and trusted communications                    | GP-TM-38: Guarantee the different security aspects -confidentiality (privacy), integrity, availability and authenticity- of the information in transit on the networks or stored in the IoT application or in the Cloud.                                                                                                                                                       | <ul style="list-style-type: none"> <li>Nefarious Activity / Abuse</li> <li>Eavesdropping / Interception / Hijacking</li> <li>Failures / Malfunctions</li> </ul>                                    |
|                                                      | GP-TM-39: Ensure that communication security is provided using state-of-the-art, standardised security protocols, such as TLS for encryption.                                                                                                                                                                                                                                  | <ul style="list-style-type: none"> <li>Eavesdropping / Interception / Hijacking</li> <li>Damage / Loss (IT Assets)</li> </ul>                                                                      |
|                                                      | GP-TM-40: Ensure credentials are not exposed in internal or external network traffic.                                                                                                                                                                                                                                                                                          | <ul style="list-style-type: none"> <li>Eavesdropping / Interception / Hijacking</li> <li>Damage / Loss (IT Assets)</li> </ul>                                                                      |
|                                                      | GP-TM-41: Guarantee data authenticity to enable reliable exchanges from data emission to data reception. Data should always be signed whenever and wherever it is captured and stored.                                                                                                                                                                                         | <ul style="list-style-type: none"> <li>Nefarious Activity / Abuse</li> <li>Eavesdropping / Interception / Hijacking</li> </ul>                                                                     |

|                                        | SECURITY MEASURES / GOOD PRACTICES                                                                                                                                                                                                                              | THREAT GROUPS                                                                                                                                                                   |
|----------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                        | GP-TM-42: Do not trust data received and always verify any interconnections. Discover, identify and verify/authenticate the devices connected to the network before trust can be established, and preserve their integrity for reliable solutions and services. | <ul style="list-style-type: none"> <li>• Nefarious Activity / Abuse</li> <li>• Eavesdropping / Interception / Hijacking</li> <li>• Failures / Malfunctions / Outages</li> </ul> |
|                                        | GP-TM-43: IoT devices should be restrictive rather than permissive in communicating.                                                                                                                                                                            | <ul style="list-style-type: none"> <li>• Nefarious Activity / Abuse</li> <li>• Eavesdropping / Interception / Hijacking</li> </ul>                                              |
|                                        | GP-TM-44: Make intentional connections. Prevent unauthorised connections to it or other devices the product is connected to, at all levels of the protocols.                                                                                                    | <ul style="list-style-type: none"> <li>• Nefarious Activity / Abuse</li> <li>• Eavesdropping / Interception / Hijacking</li> </ul>                                              |
|                                        | GP-TM-45: Disable specific ports and/or network connections for selective connectivity.                                                                                                                                                                         | <ul style="list-style-type: none"> <li>• Nefarious Activity / Abuse</li> <li>• Eavesdropping / Interception / Hijacking</li> </ul>                                              |
|                                        | GP-TM-46: Rate limiting – controlling the traffic sent or received by a network to reduce the risk of automated attacks.                                                                                                                                        | <ul style="list-style-type: none"> <li>• Nefarious Activity / Abuse</li> <li>• Eavesdropping / Interception / Hijacking</li> </ul>                                              |
| Secure Interfaces and network services | GP-TM-47: Risk Segmentation. Splitting network elements into separate components to help isolate security breaches and minimise the overall risk.                                                                                                               | <ul style="list-style-type: none"> <li>• Eavesdropping / Interception / Hijacking</li> </ul>                                                                                    |
|                                        | GP-TM-48: Protocols should be designed to ensure that, if a single device is compromised, it does not affect the whole set.                                                                                                                                     | <ul style="list-style-type: none"> <li>• Eavesdropping / Interception / Hijacking</li> </ul>                                                                                    |
|                                        | GP-TM-49: Avoid provisioning the same secret key in an entire product family, since compromising a single device would be enough to expose the rest of the product family.                                                                                      | <ul style="list-style-type: none"> <li>• Eavesdropping / Interception / Hijacking</li> </ul>                                                                                    |
|                                        | GP-TM-50: Ensure only necessary ports are exposed and available.                                                                                                                                                                                                | <ul style="list-style-type: none"> <li>• Eavesdropping / Interception / Hijacking</li> <li>• Failures / Malfunctions</li> </ul>                                                 |
|                                        | GP-TM-51: Implement a DDoS-resistant and Load-Balancing infrastructure.                                                                                                                                                                                         | <ul style="list-style-type: none"> <li>• Nefarious Activity / Abuse</li> </ul>                                                                                                  |
|                                        | GP-TM-52: Ensure web interfaces fully encrypt the user session, from the device to the backend services, and that they are not susceptible to XSS, CSRF, SQL injection, etc.                                                                                    | <ul style="list-style-type: none"> <li>• Nefarious Activity / Abuse</li> </ul>                                                                                                  |
|                                        | GP-TM-53: Avoid security issues when designing error messages.                                                                                                                                                                                                  | <ul style="list-style-type: none"> <li>• Nefarious Activity / Abuse</li> </ul>                                                                                                  |
| Secure input and output handling       | GP-TM-54: Data input validation (ensuring that data is safe prior to use) and output filtering.                                                                                                                                                                 | <ul style="list-style-type: none"> <li>• Nefarious Activity / Abuse</li> <li>• Failures / Malfunctions</li> </ul>                                                               |
| Logging                                | GP-TM-55: Implement a logging system that records events relating to user authentication, management of accounts and access rights, modifications to security rules, and the functioning of the system.                                                         | <ul style="list-style-type: none"> <li>• Damage / Loss (IT Assets)</li> </ul>                                                                                                   |
| Monitoring and Auditing                | GP-TM-56: Implement regular monitoring to verify the device behaviour, to detect malware and to discover integrity errors.                                                                                                                                      | <ul style="list-style-type: none"> <li>• Damage / Loss (IT Assets)</li> </ul>                                                                                                   |
|                                        | GP-TM-57: Conduct periodic audits and reviews of security controls to ensure that the controls are effective. Perform penetration tests at least biannually.                                                                                                    | <ul style="list-style-type: none"> <li>• Damage / Loss (IT Assets)</li> <li>• Nefarious Activity / Abuse</li> </ul>                                                             |
| End-of-life support                    | GP-OP-01: Develop an end-of-life strategy for IoT products.                                                                                                                                                                                                     | <ul style="list-style-type: none"> <li>• Failures / Malfunctions</li> </ul>                                                                                                     |
|                                        | GP-OP-02: Disclose the duration and end-of-life security and patch support (beyond product warranty).                                                                                                                                                           | <ul style="list-style-type: none"> <li>• Failures / Malfunctions</li> </ul>                                                                                                     |

| SECURITY MEASURES / GOOD PRACTICES                      |                                                                                                                                                                                                                                                | THREAT GROUPS                                                                                                                                                                                                                 |
|---------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                         | GP-OP-03: Monitor the performance and patch known vulnerabilities for as long as possible during a product's lifecycle.                                                                                                                        | <ul style="list-style-type: none"> <li>• Damage / Loss (IT Assets)</li> <li>• Nefarious Activity / Abuse</li> <li>• Failures / Malfunctions</li> </ul>                                                                        |
| Proven solutions                                        | GP-OP-04: Use proven solutions, i.e. well known communications protocols and cryptographic algorithms, recognized by the scientific community, etc. Certain proprietary solutions, such as custom cryptographic algorithms, should be avoided. | <ul style="list-style-type: none"> <li>• Damage / Loss (IT Assets)</li> <li>• Nefarious Activity / Abuse</li> </ul>                                                                                                           |
| Management of security vulnerabilities and/or incidents | GP-OP-05: Establish procedures for analysing and handling security incidents.                                                                                                                                                                  | <ul style="list-style-type: none"> <li>• Failures / Malfunctions</li> <li>• Damage / Loss (IT Assets)</li> </ul>                                                                                                              |
|                                                         | GP-OP-06: Coordinated disclosure of vulnerabilities.                                                                                                                                                                                           | <ul style="list-style-type: none"> <li>• Failures / Malfunctions</li> <li>• Damage / Loss (IT Assets)</li> <li>• Nefarious Activity / Abuse</li> <li>• Eavesdropping / Interception / Hijacking</li> <li>• Outages</li> </ul> |
|                                                         | GP-OP-07: Participate in information sharing platforms to report vulnerabilities and receive timely and critical information about current cyber threats and vulnerabilities from public and private partners.                                 | <ul style="list-style-type: none"> <li>• Damage / Loss (IT Assets)</li> </ul>                                                                                                                                                 |
|                                                         | GP-OP-08: Create a publicly disclosed mechanism for vulnerability reports, e.g. Bug Bounty programs.                                                                                                                                           | <ul style="list-style-type: none"> <li>• Damage / Loss (IT Assets)</li> </ul>                                                                                                                                                 |
| Human Resource Security Training and Awareness          | GP-OP-09: Ensure the personnel practices promote privacy and security – train employees in good privacy and security practices.                                                                                                                | <ul style="list-style-type: none"> <li>• Nefarious Activity / Abuse</li> </ul>                                                                                                                                                |
|                                                         | GP-OP-10: Document and monitor the privacy and security training activities.                                                                                                                                                                   | <ul style="list-style-type: none"> <li>• Nefarious Activity / Abuse</li> </ul>                                                                                                                                                |
|                                                         | GP-OP-11: Ensure that cybersecurity roles and responsibilities for all workforce are established and introduce personnel assignments in accordance with the specifics of the projects and security engineering needs.                          | <ul style="list-style-type: none"> <li>• Nefarious Activity / Abuse</li> </ul>                                                                                                                                                |
| Third-Party relationships                               | GP-OP-12: Data processed by a third-party must be protected by a data processing agreement.                                                                                                                                                    | <ul style="list-style-type: none"> <li>• Failures / Malfunctions</li> </ul>                                                                                                                                                   |
|                                                         | GP-OP-13: Only share consumers' personal data with third parties with consumers' affirmative consent, unless required and limited for the use of product features or service operation.                                                        | <ul style="list-style-type: none"> <li>• Failures / Malfunctions</li> <li>• Damage / Loss (IT Assets)</li> </ul>                                                                                                              |
|                                                         | GP-OP-14: For IoT hardware manufacturers and IoT software developers it is necessary to adopt cyber supply chain risk management policies and to communicate cyber security requirements to its suppliers and partners.                        | <ul style="list-style-type: none"> <li>• Failures / Malfunctions</li> <li>• Damage / Loss (IT Assets)</li> <li>• Nefarious Activity / Abuse</li> <li>• Eavesdropping / Interception / Hijacking</li> <li>• Outages</li> </ul> |

## Annex C: Security standards and references reviewed

This annex lists all the security standards, good practices guides and resources and their corresponding references that have been analysed to develop all of the security measures/good practices listed in chapter 4 and detailed in Annex A. The following table lists said resources, including the ones provided and/or pointed out by the experts interviewed.

| AUTHOR                                                                                 | TITLE                                                                                                                                                                                                                                                                                                                                                                   | REFERENCE                                                                                                                                                                                                                                                                                                                                                                                        |
|----------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>1. EU Initiatives</b>                                                               |                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                  |
| DG CONNECT commissioned study, authored by IDC Italia S.r.L and TXT e-solutions S.p.A. | Definition of a Research and Innovation Policy Leveraging Cloud Computing and IoT Combination                                                                                                                                                                                                                                                                           | <a href="https://ec.europa.eu/digital-single-market/en/news/definition-research-and-innovation-policy-leveraging-cloud-computing-and-iot-combination">https://ec.europa.eu/digital-single-market/en/news/definition-research-and-innovation-policy-leveraging-cloud-computing-and-iot-combination</a>                                                                                            |
| European Commission                                                                    | Digitising European Industry Reaping the full benefits of a Digital Single Market (COM(2016) 180 final)                                                                                                                                                                                                                                                                 | <a href="https://ec.europa.eu/digital-single-market/en/digitising-european-industry">https://ec.europa.eu/digital-single-market/en/digitising-european-industry</a><br><a href="http://eur-lex.europa.eu/legal-content/EN/TXT/?qid=1447773803386&amp;uri=CELEX:52015DC0192">http://eur-lex.europa.eu/legal-content/EN/TXT/?qid=1447773803386&amp;uri=CELEX:52015DC0192</a>                       |
|                                                                                        | Building A European Data Economy                                                                                                                                                                                                                                                                                                                                        | <a href="http://ec.europa.eu/newsroom/dae/document.cfm?doc_id=41205">http://ec.europa.eu/newsroom/dae/document.cfm?doc_id=41205</a><br><a href="http://ec.europa.eu/newsroom/dae/document.cfm?doc_id=41247">http://ec.europa.eu/newsroom/dae/document.cfm?doc_id=41247</a>                                                                                                                       |
|                                                                                        | ICT Standardisation Priorities for the Digital Single Market                                                                                                                                                                                                                                                                                                            | <a href="https://ec.europa.eu/digital-single-market/en/news/communication-ict-standardisation-priorities-digital-single-market">https://ec.europa.eu/digital-single-market/en/news/communication-ict-standardisation-priorities-digital-single-market</a><br><a href="http://ec.europa.eu/newsroom/dae/document.cfm?doc_id=15265">http://ec.europa.eu/newsroom/dae/document.cfm?doc_id=15265</a> |
|                                                                                        | Advancing the Internet of Things in Europe                                                                                                                                                                                                                                                                                                                              | <a href="http://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:52016SC0110">http://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:52016SC0110</a>                                                                                                                                                                                                                                          |
|                                                                                        | H2020                                                                                                                                                                                                                                                                                                                                                                   | <a href="https://ec.europa.eu/programmes/horizon2020/">https://ec.europa.eu/programmes/horizon2020/</a>                                                                                                                                                                                                                                                                                          |
|                                                                                        | EU cybersecurity initiatives                                                                                                                                                                                                                                                                                                                                            | <a href="http://ec.europa.eu/information_society/newsroom/image/document/2017-3/factsheet_cybersecurity_update_january_2017_41543.pdf">http://ec.europa.eu/information_society/newsroom/image/document/2017-3/factsheet_cybersecurity_update_january_2017_41543.pdf</a>                                                                                                                          |
|                                                                                        | Article 29 Data Protection Working Party                                                                                                                                                                                                                                                                                                                                | Opinion 8/2014 on the on Recent Developments on the Internet of Things<br><a href="http://ec.europa.eu/justice/data-protection/article-29/documentation/opinion-recommendation/files/2014/wp223_en.pdf">http://ec.europa.eu/justice/data-protection/article-29/documentation/opinion-recommendation/files/2014/wp223_en.pdf</a>                                                                  |
| IERC European Research Cluster on the Internet of Things                               | IoT Governance, Privacy and Security Issues - IERC Position Paper<br><a href="http://www.internet-of-things-research.eu/pdf/IERC_Position_Paper_IoT_Governance_Privacy_Security_Final.pdf">http://www.internet-of-things-research.eu/pdf/IERC_Position_Paper_IoT_Governance_Privacy_Security_Final.pdf</a>                                                              |                                                                                                                                                                                                                                                                                                                                                                                                  |
| EC Alliance for Internet of Things Innovation (AIOTI)                                  | AIOTI WG04: Report on Policy Issues<br><a href="https://aioti-space.org/wp-content/uploads/2017/03/AIOTIWG04Report2015-Policy-Issues.pdf">https://aioti-space.org/wp-content/uploads/2017/03/AIOTIWG04Report2015-Policy-Issues.pdf</a>                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                                                                                  |
|                                                                                        | AIOTI WG03: SmartM2M; IoT Standards landscape and future evolutions (October 2016 with the contribution of ETSI)<br><a href="https://aioti-space.org/wp-content/uploads/2017/03/tr_103375v010101p-Standards-landscape-and-future-evolutions.pdf">https://aioti-space.org/wp-content/uploads/2017/03/tr_103375v010101p-Standards-landscape-and-future-evolutions.pdf</a> |                                                                                                                                                                                                                                                                                                                                                                                                  |



| AUTHOR                                                            | TITLE                                                                                         | REFERENCE                                                                                                                                                                                                                                                                   |
|-------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                                   | AIOTI WG03: High Level Architecture (September 2016)                                          | <a href="https://aioti-space.org/wp-content/uploads/2017/03/AIOTI-WG3-IoT-High-Level-Architecture-Release_2_1.pdf">https://aioti-space.org/wp-content/uploads/2017/03/AIOTI-WG3-IoT-High-Level-Architecture-Release_2_1.pdf</a>                                             |
|                                                                   | AIOTI Digitisation of Industry Policy Recommendations                                         | <a href="https://aioti-space.org/wp-content/uploads/2017/03/AIOTI-Digitisation-of-Ind-policy-doc-Nov-2016.pdf">https://aioti-space.org/wp-content/uploads/2017/03/AIOTI-Digitisation-of-Ind-policy-doc-Nov-2016.pdf</a>                                                     |
|                                                                   | AIOTI WG07 Report on Wearables                                                                | <a href="https://aioti-space.org/wp-content/uploads/2017/03/AIOTI-WG07-Report-2015-Wearables.pdf">https://aioti-space.org/wp-content/uploads/2017/03/AIOTI-WG07-Report-2015-Wearables.pdf</a>                                                                               |
|                                                                   | AIOTI WG09 Report on Smart Mobility                                                           | <a href="https://aioti-space.org/wp-content/uploads/2017/03/AIOTI-WG09-Report-2015-Smart-Mobility.pdf">https://aioti-space.org/wp-content/uploads/2017/03/AIOTI-WG09-Report-2015-Smart-Mobility.pdf</a>                                                                     |
| BEREC (Body of European Regulators for Electronic Communications) | BEREC Report on Enabling the Internet of Things - BoR (16) 39                                 | <a href="http://berec.europa.eu/eng/document_register/subject_matter/berec/reports/5755-berec-report-on-enabling-the-internet-of-things">http://berec.europa.eu/eng/document_register/subject_matter/berec/reports/5755-berec-report-on-enabling-the-internet-of-things</a> |
| ENISA                                                             | Cyber Security and Resilience of smart cars                                                   | <a href="https://www.enisa.europa.eu/publications/cyber-security-and-resilience-of-smart-cars">https://www.enisa.europa.eu/publications/cyber-security-and-resilience-of-smart-cars</a>                                                                                     |
|                                                                   | Security and Resilience of Smart Home Environments                                            | <a href="https://www.enisa.europa.eu/publications/security-resilience-good-practices">https://www.enisa.europa.eu/publications/security-resilience-good-practices</a>                                                                                                       |
|                                                                   | Securing Smart Airports                                                                       | <a href="https://www.enisa.europa.eu/publications/securing-smart-airports">https://www.enisa.europa.eu/publications/securing-smart-airports</a>                                                                                                                             |
|                                                                   | Cyber security and resilience for Smart Hospitals                                             | <a href="https://www.enisa.europa.eu/publications/cyber-security-and-resilience-for-smart-hospitals">https://www.enisa.europa.eu/publications/cyber-security-and-resilience-for-smart-hospitals</a>                                                                         |
|                                                                   | IoT and Smart Infrastructure efforts                                                          | <a href="https://www.enisa.europa.eu/iot/">https://www.enisa.europa.eu/iot/</a>                                                                                                                                                                                             |
|                                                                   | Ad-hoc & sensor networking for m2m communications - Threat Landscape and good practices guide | <a href="https://www.enisa.europa.eu/publications/m2m-communications-threat-landscape">https://www.enisa.europa.eu/publications/m2m-communications-threat-landscape</a>                                                                                                     |
|                                                                   | Threat Landscape and Good Practice Guide for Software Defined Networks/5G                     | <a href="https://www.enisa.europa.eu/publications/sdn-threat-landscape">https://www.enisa.europa.eu/publications/sdn-threat-landscape</a>                                                                                                                                   |
|                                                                   | ENISA Programming Document                                                                    | <a href="https://www.enisa.europa.eu/publications/corporate/enisa-programming-document-2017-2019">https://www.enisa.europa.eu/publications/corporate/enisa-programming-document-2017-2019</a>                                                                               |
|                                                                   | Communication network dependencies for ICS/SCADA Systems                                      | <a href="https://www.enisa.europa.eu/publications/ics-scada-dependencies">https://www.enisa.europa.eu/publications/ics-scada-dependencies</a>                                                                                                                               |
|                                                                   | <b>2. US Government Initiatives</b>                                                           |                                                                                                                                                                                                                                                                             |
| National Institute of Standards and Technology (NIST)             | NIST.SP.800-27                                                                                | <a href="http://nvlpubs.nist.gov/nistpubs/Legacy/SP/nistspecialpublication800-27.pdf">http://nvlpubs.nist.gov/nistpubs/Legacy/SP/nistspecialpublication800-27.pdf</a>                                                                                                       |
|                                                                   | NIST.SP.800-30                                                                                | <a href="http://csrc.nist.gov/publications/nistpubs/800-30/sp800-30.pdf">http://csrc.nist.gov/publications/nistpubs/800-30/sp800-30.pdf</a>                                                                                                                                 |
|                                                                   | NIST.SP.800-50                                                                                | <a href="http://nvlpubs.nist.gov/nistpubs/Legacy/SP/nistspecialpublication800-50.pdf">http://nvlpubs.nist.gov/nistpubs/Legacy/SP/nistspecialpublication800-50.pdf</a>                                                                                                       |
|                                                                   | NIST.SP.800-53                                                                                | <a href="http://nvlpubs.nist.gov/nistpubs/Legacy/SP/nistspecialpublication800-53.pdf">http://nvlpubs.nist.gov/nistpubs/Legacy/SP/nistspecialpublication800-53.pdf</a>                                                                                                       |
|                                                                   | NIST.SP.800-92                                                                                | <a href="http://nvlpubs.nist.gov/nistpubs/Legacy/SP/nistspecialpublication800-92.pdf">http://nvlpubs.nist.gov/nistpubs/Legacy/SP/nistspecialpublication800-92.pdf</a>                                                                                                       |

| AUTHOR                                                                                                                                                | TITLE                                                                                                                  | REFERENCE                                                                                                                                                                                                                                                                                                                                         |
|-------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                                                                                                                       | NIST.SP.800-160                                                                                                        | <a href="http://nvlpubs.nist.gov/nistpubs/SpecialPublications/NIST.SP.800-160.pdf">http://nvlpubs.nist.gov/nistpubs/SpecialPublications/NIST.SP.800-160.pdf</a>                                                                                                                                                                                   |
|                                                                                                                                                       | NIST SP 800-183 - Network of 'Things' Framework for Improving Critical Infrastructure Cybersecurity                    | <a href="http://dx.doi.org/10.6028/NIST.SP.800-183">http://dx.doi.org/10.6028/NIST.SP.800-183</a>                                                                                                                                                                                                                                                 |
|                                                                                                                                                       | NISTIR 7628 Revision 1 - Guidelines for Smart Grid Cyber Security                                                      | <a href="https://www.nist.gov/document-3766">https://www.nist.gov/document-3766</a>                                                                                                                                                                                                                                                               |
|                                                                                                                                                       | CPS PWG Cyber-Physical Systems (CPS) Framework                                                                         | <a href="http://nvlpubs.nist.gov/nistpubs/ir/2014/NIST.IR.7628r1.pdf">http://nvlpubs.nist.gov/nistpubs/ir/2014/NIST.IR.7628r1.pdf</a>                                                                                                                                                                                                             |
|                                                                                                                                                       | Securing the Internet of Things                                                                                        | <a href="https://pages.nist.gov/cpspwg/">https://pages.nist.gov/cpspwg/</a>                                                                                                                                                                                                                                                                       |
| U.S. Department of Homeland Security (DHS)                                                                                                            | Strategic Principles For Securing The Internet Of Things (IoT)                                                         | <a href="https://www.dhs.gov/sites/default/files/publications/Securing_the_Internet_of_Things-2016-1115-FINAL_v2-dg11.pdf">https://www.dhs.gov/sites/default/files/publications/Strategic_Principles_for_Securing_the_Internet_of_Things-2016-1115-FINAL_v2-dg11.pdf</a>                                                                          |
| The President's National Security Telecommunications Advisory Committee                                                                               | NSTAC Report to the President on the Internet of Things                                                                | <a href="https://www.dhs.gov/sites/default/files/publications/IoT_Final_Draft_Report_11-2014.pdf">https://www.dhs.gov/sites/default/files/publications/IoT_Final_Draft_Report_11-2014.pdf</a>                                                                                                                                                     |
| U.S. Commission On Enhancing National Cybersecurity                                                                                                   | Report On Securing And Growing The Digital Economy                                                                     | <a href="https://www.nist.gov/document/cybersecurity-commission-report-final-postpdf">https://www.nist.gov/document/cybersecurity-commission-report-final-postpdf</a>                                                                                                                                                                             |
| U.S. Department Of Commerce, National Telecommunications And Information Administration, Internet Policy Task Force & Digital Economy Leadership Team | Fostering The Advancement Of The Internet Of Things                                                                    | <a href="https://www.ntia.doc.gov/files/ntia/publications/iot_green_paper_01122017.pdf">https://www.ntia.doc.gov/files/ntia/publications/iot_green_paper_01122017.pdf</a>                                                                                                                                                                         |
| U.S. Federal Communications Commission, Public Safety & Homeland Security Bureau                                                                      | FCC White Paper, Cybersecurity Risk Reduction                                                                          | <a href="https://apps.fcc.gov/edocs_public/attachmatch/DOC-343096A1.pdf">https://apps.fcc.gov/edocs_public/attachmatch/DOC-343096A1.pdf</a>                                                                                                                                                                                                       |
|                                                                                                                                                       | NOI Fifth Generation Wireless Network and Device Security                                                              | <a href="http://transition.fcc.gov/Daily_Releases/Daily_Business/2016/db1216/DA-16-1282A1.pdf">http://transition.fcc.gov/Daily_Releases/Daily_Business/2016/db1216/DA-16-1282A1.pdf</a>                                                                                                                                                           |
| U.S. Department of Health and Human Services Food and Drug Administration (FDA) Center for Devices and Radiological Health                            | Postmarket Management of Cybersecurity in Medical Devices Guidance for Industry and Food and Drug Administration Staff | <a href="http://www.fda.gov/downloads/MedicalDevices/DeviceRegulationandGuidance/GuidanceDocuments/ucm482022.pdf">http://www.fda.gov/downloads/MedicalDevices/DeviceRegulationandGuidance/GuidanceDocuments/ucm482022.pdf</a>                                                                                                                     |
| U.S. Federal Communications Commission, Public Safety & Homeland Security Bureau                                                                      | Internet of Things, Privacy and Security in a Connected World, FTC Staff report                                        | <a href="https://www.ftc.gov/system/files/documents/reports/federal-trade-commission-staff-report-november-2013-workshop-entitled-internet-things-privacy/150127iotrpt.pdf">https://www.ftc.gov/system/files/documents/reports/federal-trade-commission-staff-report-november-2013-workshop-entitled-internet-things-privacy/150127iotrpt.pdf</a> |

| AUTHOR                                                                                                              | TITLE                                                                                                                                                           | REFERENCE                                                                                                                                                                                                                                                         |
|---------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                                                                                     | Careful Connections: Building Security in the Internet of Things                                                                                                | <a href="https://www.ftc.gov/system/files/documents/plain-language/pdf0199-carefulconnections-buildingsecurityinternetofthings.pdf">https://www.ftc.gov/system/files/documents/plain-language/pdf0199-carefulconnections-buildingsecurityinternetofthings.pdf</a> |
| United States Government Accountability Office                                                                      | Internet Of Things Enhanced Assessments and Guidance Are Needed to Address Security Risks in DOD                                                                | <a href="https://www.gao.gov/assets/690/686203.pdf">https://www.gao.gov/assets/690/686203.pdf</a>                                                                                                                                                                 |
| National Telecommunications and Information Administration (NTIA)                                                   | IoT Security Upgradability and Patching - Existing Standards, Tools and Initiatives Working Group (WG1) Catalog of Existing IoT Security Standards Version 0.01 | <a href="https://www.ntia.doc.gov/files/ntia/publications/iotsecuritystandardscatalog.pdf">https://www.ntia.doc.gov/files/ntia/publications/iotsecuritystandardscatalog.pdf</a>                                                                                   |
| <b>3. EU-funded projects</b>                                                                                        |                                                                                                                                                                 |                                                                                                                                                                                                                                                                   |
| FI-WARE (Future Internet Core Platform)                                                                             | FI-WARE (Future Internet Core Platform)                                                                                                                         | <a href="http://cordis.europa.eu/project/rcn/99929_en.html">http://cordis.europa.eu/project/rcn/99929_en.html</a><br><a href="https://www.fiware.org/">https://www.fiware.org/</a>                                                                                |
| IOT-A (Internet of Things Architecture)                                                                             | IOT-A (Internet of Things Architecture)                                                                                                                         | <a href="http://cordis.europa.eu/project/rcn/95713_en.html">http://cordis.europa.eu/project/rcn/95713_en.html</a><br><a href="http://www.meet-iot.eu/iot-a-deliverables.html">http://www.meet-iot.eu/iot-a-deliverables.html</a>                                  |
| Agile-IoT (Adaptive Gateways for diverse multiple Environments)                                                     | Agile-IoT (Adaptive Gateways for diverse multiple Environments)                                                                                                 | <a href="http://cordis.europa.eu/project/rcn/199853_en.html">http://cordis.europa.eu/project/rcn/199853_en.html</a><br><a href="http://agile-iot.eu/">http://agile-iot.eu/</a>                                                                                    |
| Eye-O-T (Cyber security system with a high IoT network visibility and fast vulnerability detection for Smart Homes) | Eye-O-T (Cyber security system with a high IoT network visibility and fast vulnerability detection for Smart Homes)                                             | <a href="http://cordis.europa.eu/project/rcn/205793_en.html">http://cordis.europa.eu/project/rcn/205793_en.html</a>                                                                                                                                               |
| SCR (Disruptive Cybersecurity SaaS for SMEs and freelance developers)                                               | SCR (Disruptive Cybersecurity SaaS for SMEs and freelance developers)                                                                                           | <a href="http://cordis.europa.eu/project/rcn/205788_en.html">http://cordis.europa.eu/project/rcn/205788_en.html</a>                                                                                                                                               |
| TAMPRES (TAMper Resistant Sensor node)                                                                              | TAMPRES (TAMper Resistant Sensor node)                                                                                                                          | <a href="http://cordis.europa.eu/project/rcn/95511_en.html">http://cordis.europa.eu/project/rcn/95511_en.html</a><br><a href="http://www.tampres.eu/">http://www.tampres.eu/</a>                                                                                  |
| BUTLER (uBiquitous, secUre inTernet-of-things with Location and contExt-awaReness)                                  | BUTLER (uBiquitous, secUre inTernet-of-things with Location and contExt-awaReness)                                                                              | <a href="http://cordis.europa.eu/project/rcn/101349_en.html">http://cordis.europa.eu/project/rcn/101349_en.html</a>                                                                                                                                               |
| ALMANAC (Reliable Smart Secure Internet Of Things For Smart Cities)                                                 | ALMANAC (Reliable Smart Secure Internet Of Things For Smart Cities)                                                                                             | <a href="http://cordis.europa.eu/project/rcn/109709_en.html">http://cordis.europa.eu/project/rcn/109709_en.html</a><br><a href="http://www.almanac-project.eu/news.php">http://www.almanac-project.eu/news.php</a>                                                |
| RERUM (REliable, Resilient and secUre IoT for sMart city applications)                                              | RERUM (REliable, Resilient and secUre IoT for sMart city applications)                                                                                          | <a href="http://cordis.europa.eu/project/rcn/109710_en.html">http://cordis.europa.eu/project/rcn/109710_en.html</a><br><a href="https://ict-rerum.eu/">https://ict-rerum.eu/</a>                                                                                  |
| INSTET (Integral Security Trust Element for the Internet of Things)                                                 | INSTET (Integral Security Trust Element for the Internet of Things)                                                                                             | <a href="http://cordis.europa.eu/project/rcn/207692_en.html">http://cordis.europa.eu/project/rcn/207692_en.html</a>                                                                                                                                               |

| AUTHOR                                                                                                                                                                      | TITLE                                                                                                                                                      | REFERENCE                                                                                                                                                                                                                                                                                                                       |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| BASTION (Leveraging Binary Analysis to Secure the Internet of Things)                                                                                                       | BASTION (Leveraging Binary Analysis to Secure the Internet of Things)                                                                                      | <a href="http://cordis.europa.eu/project/rcn/193687_en.html">http://cordis.europa.eu/project/rcn/193687_en.html</a>                                                                                                                                                                                                             |
| ANASTACIA                                                                                                                                                                   | ANASTACIA (Advanced Networked Agents for Security and Trust Assessment in CPS/IOT Architectures)                                                           | <a href="http://cordis.europa.eu/project/rcn/207199_en.html">http://cordis.europa.eu/project/rcn/207199_en.html</a><br><a href="http://www.anastacia-h2020.eu">http://www.anastacia-h2020.eu</a>                                                                                                                                |
| ARMOUR (Large-Scale Experiments of IoT Security Trust)                                                                                                                      | ARMOUR (Large-Scale Experiments of IoT Security Trust)                                                                                                     | <a href="http://cordis.europa.eu/project/rcn/199076_en.html">http://cordis.europa.eu/project/rcn/199076_en.html</a><br><a href="http://www.armour-project.eu/">http://www.armour-project.eu/</a>                                                                                                                                |
| AdvIOT (Advanced Methods for Analyzing and Improving the Reliability and Security of Novel Environmental-friendly Wireless Devices for Internet of Things)                  | AdvIOT (Advanced Methods for Analyzing and Improving the Reliability and Security of Novel Environmental-friendly Wireless Devices for Internet of Things) | <a href="http://cordis.europa.eu/project/rcn/109385_en.html">http://cordis.europa.eu/project/rcn/109385_en.html</a><br><a href="http://www.adviot.eu/">http://www.adviot.eu/</a>                                                                                                                                                |
| <b>4. International Organizations/Alliances</b>                                                                                                                             |                                                                                                                                                            |                                                                                                                                                                                                                                                                                                                                 |
| Open Web Application Security Project (OWASP)                                                                                                                               | OWASP Internet of Things Project                                                                                                                           | <a href="https://www.owasp.org/index.php/OWASP_Internet_of_Things_Project">https://www.owasp.org/index.php/OWASP_Internet_of_Things_Project</a>                                                                                                                                                                                 |
|                                                                                                                                                                             | OWASP (Draft) IoT Security Guidance                                                                                                                        | <a href="https://www.owasp.org/index.php/IoT_Security_Guidance">https://www.owasp.org/index.php/IoT_Security_Guidance</a>                                                                                                                                                                                                       |
|                                                                                                                                                                             | IoT Top Ten 2014 Top Ten                                                                                                                                   | <a href="https://www.owasp.org/images/7/71/Internet_of_Things_Top_Ten_2014-OWASP.pdf">https://www.owasp.org/images/7/71/Internet_of_Things_Top_Ten_2014-OWASP.pdf</a>                                                                                                                                                           |
| Open Geospatial Consortium (OGC) Standard Working Group (SWG) on SensorThings                                                                                               |                                                                                                                                                            | <a href="https://github.com/opengeospatial/sensorthings">https://github.com/opengeospatial/sensorthings</a>                                                                                                                                                                                                                     |
|                                                                                                                                                                             | OGC SensorThings API (former SWE for IoT)                                                                                                                  | <a href="http://www.ogcnetwork.net/IoT">http://www.ogcnetwork.net/IoT</a><br><a href="http://docs.opengeospatial.org/is/15-078r6/15-078r6.html">http://docs.opengeospatial.org/is/15-078r6/15-078r6.html</a><br><a href="https://portal.opengeospatial.org/files/15-078r6">https://portal.opengeospatial.org/files/15-078r6</a> |
|                                                                                                                                                                             |                                                                                                                                                            |                                                                                                                                                                                                                                                                                                                                 |
| International Telecommunication Union (ITU)                                                                                                                                 |                                                                                                                                                            | <a href="http://www.itu.int/ITU-T/recommendations/rec.aspx?rec=y.2060">http://www.itu.int/ITU-T/recommendations/rec.aspx?rec=y.2060</a>                                                                                                                                                                                         |
|                                                                                                                                                                             | ITU-T Y.4000/Y.2060 Overview of the Internet of Things                                                                                                     | <a href="http://www.itu.int/en/ITU-T/gsi/iot/Pages/default.aspx">http://www.itu.int/en/ITU-T/gsi/iot/Pages/default.aspx</a><br><a href="http://www.itu.int/en/ITU-T/studygroups/2013-2016/20/Pages/default.aspx">http://www.itu.int/en/ITU-T/studygroups/2013-2016/20/Pages/default.aspx</a>                                    |
|                                                                                                                                                                             | Update of IoT and SC&C Standards Roadmap                                                                                                                   | <a href="http://www.itu.int/en/ITU-T/jca/iot/Documents/deliverables/Free-download-IoT-roadmap.doc">http://www.itu.int/en/ITU-T/jca/iot/Documents/deliverables/Free-download-IoT-roadmap.doc</a>                                                                                                                                 |
| Global Standards Initiative on Internet of Things (IoT-GSI) – concluded 07/2015 and superseded by Study Group 20 on IoT & its applications incl. smart cities & communities | Unleashing the potential of the Internet of Things                                                                                                         | <a href="https://www.itu.int/en/publications/Documents/tsb/2016-InternetOfThings/index.html">https://www.itu.int/en/publications/Documents/tsb/2016-InternetOfThings/index.html</a>                                                                                                                                             |
|                                                                                                                                                                             | Joint Coordination Activity on Internet of Things and Smart Cities and Communities (JCA-IoT and SC&C)                                                      | ITU-T SG20<br><a href="http://www.itu.int/en/ITU-T/studygroups/2013-2016/20/Pages/default.aspx">http://www.itu.int/en/ITU-T/studygroups/2013-2016/20/Pages/default.aspx</a>                                                                                                                                                     |
|                                                                                                                                                                             | Call it the Internet of Connected Things: The IoT Security Conundrum                                                                                       | <a href="http://www.safecode.org/call-it-the-internet-of-connected-things-the-iot-security-conundrum/">http://www.safecode.org/call-it-the-internet-of-connected-things-the-iot-security-conundrum/</a>                                                                                                                         |

| AUTHOR                                                           | TITLE                                                                                                       | REFERENCE                                                                                                                                                                                                                                                       |
|------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Software Assurance Forum for Excellence in Code (SAFECode) - NPO |                                                                                                             | <a href="http://www.safecode.org/wp-content/uploads/2014/09/SAFECode_Dev_Practices0211.pdf">http://www.safecode.org/wp-content/uploads/2014/09/SAFECode_Dev_Practices0211.pdf</a>                                                                               |
| Cloud Security Alliance (CSA)                                    | Future-proofing the Connected World: 13 Steps to Developing Secure IoT Products                             | <a href="https://cloudsecurityalliance.org/download/future-proofing-the-connected-world/">https://cloudsecurityalliance.org/download/future-proofing-the-connected-world/</a>                                                                                   |
|                                                                  | Identity and Access Management for the Internet of Things                                                   | <a href="https://cloudsecurityalliance.org/download/identity-and-access-management-for-the-iot/">https://cloudsecurityalliance.org/download/identity-and-access-management-for-the-iot/</a>                                                                     |
|                                                                  | New Security Guidance for Early Adopters of the IoT                                                         | <a href="https://cloudsecurityalliance.org/download/new-security-guidance-for-early-adopters-of-the-iot/">https://cloudsecurityalliance.org/download/new-security-guidance-for-early-adopters-of-the-iot/</a>                                                   |
| IoT Security Foundation (IoTSF)                                  | IoT Security Compliance Framework                                                                           | <a href="https://iotsecurityfoundation.org/wp-content/uploads/2016/12/IoT-Security-Compliance-Framework.pdf">https://iotsecurityfoundation.org/wp-content/uploads/2016/12/IoT-Security-Compliance-Framework.pdf</a>                                             |
|                                                                  | Connected Consumer Best Practice Guidelines                                                                 | <a href="https://iotsecurityfoundation.org/wp-content/uploads/2016/12/Connected-Consumer-Products.pdf">https://iotsecurityfoundation.org/wp-content/uploads/2016/12/Connected-Consumer-Products.pdf</a>                                                         |
|                                                                  | Vulnerability Disclosure Best Practice Guidelines                                                           | <a href="https://iotsecurityfoundation.org/wp-content/uploads/2017/01/Vulnerability-Disclosure.pdf">https://iotsecurityfoundation.org/wp-content/uploads/2017/01/Vulnerability-Disclosure.pdf</a>                                                               |
|                                                                  | Establishing Principles for IoT Security                                                                    | <a href="https://iotsecurityfoundation.org/wp-content/uploads/2015/09/IoTSF-Establishing-Principles-for-IoT-Security-Download.pdf">https://iotsecurityfoundation.org/wp-content/uploads/2015/09/IoTSF-Establishing-Principles-for-IoT-Security-Download.pdf</a> |
| European Telecommunications Standards Institute (ETSI)           | Supporting the IoT                                                                                          | <a href="http://www.etsi.org/technologies-clusters/technologies/internet-of-things">http://www.etsi.org/technologies-clusters/technologies/internet-of-things</a>                                                                                               |
|                                                                  | ETSI TR 103 375 SmartM2M; IoT Standards landscape and future evolutions                                     | <a href="http://www.etsi.org/deliver/etsi_tr/103300_103399/103375/01.01.01_60/tr_103375v010101p.pdf">http://www.etsi.org/deliver/etsi_tr/103300_103399/103375/01.01.01_60/tr_103375v010101p.pdf</a>                                                             |
|                                                                  | ETSI TR 103 376 SmartM2M; IoT LSP use cases and standards gaps                                              | <a href="http://www.etsi.org/deliver/etsi_tr/103300_103399/103376/01.01.01_60/tr_103376v010101p.pdf">http://www.etsi.org/deliver/etsi_tr/103300_103399/103376/01.01.01_60/tr_103376v010101p.pdf</a>                                                             |
|                                                                  | Specialist Task Force 505: IoT Standards landscaping and IoT European Large Scale Pilots (LSP) gap analysis |                                                                                                                                                                                                                                                                 |
|                                                                  | ETSI TR 103 118 Machine-to-Machine communications (M2M) Smart Energy Infrastructures security               | <a href="http://www.etsi.org/deliver/etsi_tr/103100_103199/103118/01.01.01_60/tr_103118v010101p.pdf">http://www.etsi.org/deliver/etsi_tr/103100_103199/103118/01.01.01_60/tr_103118v010101p.pdf</a>                                                             |
|                                                                  | ETSI TR 103 167 Machine-to-Machine Communications (M2M)                                                     | <a href="http://www.etsi.org/deliver/etsi_tr/103100_103199/103167/01.01.01_60/tr_103167v010101p.pdf">http://www.etsi.org/deliver/etsi_tr/103100_103199/103167/01.01.01_60/tr_103167v010101p.pdf</a>                                                             |
| GSM Association (GSMA)                                           | ETSI TS 103 267 SmartM2M                                                                                    | <a href="http://www.etsi.org/deliver/etsi_ts/103200_103299/103267/01.01.01_60/ts_103267v010101p.pdf">http://www.etsi.org/deliver/etsi_ts/103200_103299/103267/01.01.01_60/ts_103267v010101p.pdf</a>                                                             |
|                                                                  | IoT Security Guidelines - Overview Document                                                                 | <a href="http://www.gsma.com/connectedliving/wp-content/uploads/2016/11/CLP.11-v1.1.pdf">http://www.gsma.com/connectedliving/wp-content/uploads/2016/11/CLP.11-v1.1.pdf</a>                                                                                     |
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|                                                          | IoT Security Guidelines for Endpoint Ecosystems                                 | <a href="http://www.gsma.com/connectedliving/wp-content/uploads/2016/11/CLP.13-v1.1.pdf">http://www.gsma.com/connectedliving/wp-content/uploads/2016/11/CLP.13-v1.1.pdf</a>                                                                                                                                                                                                                                                                                    |
|                                                          | IoT Security Guidelines for Network Operators                                   | <a href="http://www.gsma.com/connectedliving/wp-content/uploads/2016/11/CLP.14-v1.1.pdf">http://www.gsma.com/connectedliving/wp-content/uploads/2016/11/CLP.14-v1.1.pdf</a>                                                                                                                                                                                                                                                                                    |
|                                                          | IoT Security Self-Assessment Process                                            | <a href="https://www.gsma.com/iot/wp-content/uploads/2016/09/cl_iot_security_self_assessment_checklist__processes_05_17-1.zip">https://www.gsma.com/iot/wp-content/uploads/2016/09/cl_iot_security_self_assessment_checklist__processes_05_17-1.zip</a>                                                                                                                                                                                                        |
|                                                          | GSMA Embedded SIM Remote Provisioning Architecture                              | <a href="http://www.gsma.com/connectedliving/wp-content/uploads/2014/01/1.-GSMA-Embedded-SIM-Remote-Provisioning-Architecture-Version-1.1.pdf">http://www.gsma.com/connectedliving/wp-content/uploads/2014/01/1.-GSMA-Embedded-SIM-Remote-Provisioning-Architecture-Version-1.1.pdf</a>                                                                                                                                                                        |
|                                                          | GSMA Remote Provisioning Architecture for Embedded UICC Technical Specification | <a href="http://www.gsma.com/newsroom/wp-content/uploads/SGP.02_v3.1.pdf">http://www.gsma.com/newsroom/wp-content/uploads/SGP.02_v3.1.pdf</a>                                                                                                                                                                                                                                                                                                                  |
|                                                          | GSMA SAS Standard for Subscription Manager Roles                                | <a href="http://www.gsma.com/aboutus/wp-content/uploads/2015/01/FS08-SAS_SM-Standard-v2_0.pdf">http://www.gsma.com/aboutus/wp-content/uploads/2015/01/FS08-SAS_SM-Standard-v2_0.pdf</a>                                                                                                                                                                                                                                                                        |
|                                                          | GSMA SAS Methodology for Subscription Manager Roles                             | <a href="http://www.gsma.com/connectedliving/wp-content/uploads/2014/10/SGP-09-GSMA-SAS-Methodology-for-Subscription-Manager-Roles.pdf">http://www.gsma.com/connectedliving/wp-content/uploads/2014/10/SGP-09-GSMA-SAS-Methodology-for-Subscription-Manager-Roles.pdf</a>                                                                                                                                                                                      |
|                                                          | GSMA Remote Provisioning Architecture for Embedded UICC Test Specification      | <a href="http://www.gsma.com/newsroom/wp-content/uploads/SGP11_Remote_Provisioning_Architecture_for_Embedded_UICC_Test_Specification_v2_0.pdf">http://www.gsma.com/newsroom/wp-content/uploads/SGP11_Remote_Provisioning_Architecture_for_Embedded_UICC_Test_Specification_v2_0.pdf</a>                                                                                                                                                                        |
|                                                          | GSMA IoT Security Guidelines                                                    | <a href="http://www.gsma.com/iot/gsma-iot-security-guidelines-complete-document-set/">http://www.gsma.com/iot/gsma-iot-security-guidelines-complete-document-set/</a><br><a href="https://www.gsma.com/iot/future-iot-networks/iot-security-guidelines/">https://www.gsma.com/iot/future-iot-networks/iot-security-guidelines/</a>                                                                                                                             |
| Institute of Electrical and Electronics Engineers (IEEE) | IEEE Internet of Things                                                         | <a href="http://iot.ieee.org/">http://iot.ieee.org/</a>                                                                                                                                                                                                                                                                                                                                                                                                        |
|                                                          | IEEE Standards Association - IoT Ecosystem Study                                | <a href="http://standards.ieee.org/innovate/iot/study.html">http://standards.ieee.org/innovate/iot/study.html</a>                                                                                                                                                                                                                                                                                                                                              |
|                                                          | Internet Of Things Related Standards                                            | <a href="http://standards.ieee.org/innovate/iot/stds.html">http://standards.ieee.org/innovate/iot/stds.html</a>                                                                                                                                                                                                                                                                                                                                                |
|                                                          | How to Build a Safer Internet of Things                                         | <a href="http://spectrum.ieee.org/telecom/security/how-to-build-a-safer-internet-of-things">http://spectrum.ieee.org/telecom/security/how-to-build-a-safer-internet-of-things</a>                                                                                                                                                                                                                                                                              |
|                                                          | Standard for an Architectural Framework for the Internet of Things (IoT)        | <a href="https://standards.ieee.org/develop/project/2413.html">https://standards.ieee.org/develop/project/2413.html</a>                                                                                                                                                                                                                                                                                                                                        |
| Online Trust Alliance (OTA)                              | OTA IoT Trust Framework and Trust Framework Resource Guide                      | <a href="http://otalliance.actonsoftware.com/acton/attachment/6361/f-008d/1/-/-/-/IoT%20Trust%20Framework.pdf">http://otalliance.actonsoftware.com/acton/attachment/6361/f-008d/1/-/-/-/IoT Trust Framework.pdf</a><br><a href="http://otalliance.actonsoftware.com/acton/attachment/6361/f-008e/1/-/-/-/IoT%20Framework%20Resource%20Guide.pdf">http://otalliance.actonsoftware.com/acton/attachment/6361/f-008e/1/-/-/-/IoT Framework Resource Guide.pdf</a> |
|                                                          | IoT Security & Privacy Trust Framework v2.5                                     | <a href="https://otalliance.org/system/files/files/initiative/documents/iot_trust_framework6-22.pdf">https://otalliance.org/system/files/files/initiative/documents/iot_trust_framework6-22.pdf</a>                                                                                                                                                                                                                                                            |
|                                                          | oneM2M Release 2 specifications                                                 | <a href="http://www.onem2m.org/technical/published-documents">http://www.onem2m.org/technical/published-documents</a>                                                                                                                                                                                                                                                                                                                                          |

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| oneM2M - Standards for M2M and the Internet of Things               | Technical Specification                                                                      | <a href="http://www.onem2m.org/images/files/deliverables/Release2/TR-0008-Security-V2_0_0.pdf">http://www.onem2m.org/images/files/deliverables/Release2/TR-0008-Security-V2_0_0.pdf</a>                                                                                                                 |
|                                                                     | Technical Report - TR-0008                                                                   | <a href="http://www.onem2m.org/images/files/deliverables/Release2/TR-0012-End-to-End-Security_and_Group_Authentication_V2_0_0.pdf">http://www.onem2m.org/images/files/deliverables/Release2/TR-0012-End-to-End-Security_and_Group_Authentication_V2_0_0.pdf</a>                                         |
|                                                                     | Technical Report - TR-0012                                                                   | <a href="http://www.onem2m.org/images/files/deliverables/Release2/TS-0003_Security_Solutions-v2_4_1.pdf">http://www.onem2m.org/images/files/deliverables/Release2/TS-0003_Security_Solutions-v2_4_1.pdf</a>                                                                                             |
|                                                                     | Technical Report - TR-0016                                                                   | <a href="http://www.onem2m.org/images/files/deliverables/Release2/TR-0016-Authorization_Architecture_and_Access_Control_Policy-V2_0_0.pdf">http://www.onem2m.org/images/files/deliverables/Release2/TR-0016-Authorization_Architecture_and_Access_Control_Policy-V2_0_0.pdf</a>                         |
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| Atlantic Council (Brent Scowcroft Center On International Security) | Smart Homes and the Internet of Things (issue brief)                                         | <a href="http://www.atlanticcouncil.org/publications/issue-briefs/smart-homes-and-the-internet-of-things">http://www.atlanticcouncil.org/publications/issue-briefs/smart-homes-and-the-internet-of-things</a>                                                                                           |
| BITAG (Broadband Internet Technical Advisory Group)                 | Internet of Things (IoT) Security and Privacy Recommendations Technical Working Group Report | <a href="https://www.bitag.org/report-internet-of-things-security-privacy-recommendations.php">https://www.bitag.org/report-internet-of-things-security-privacy-recommendations.php</a>                                                                                                                 |
| EuroSMART (the voice of the Smart Security Industry)                | Internet Of Trust Security And Privacy In The Connected World                                | <a href="http://www.eurosmart.com/news-publications/99-policy-papers/245-eurosmart-internet-of-trust-security-and-privacy-in-the-connected-world.html">http://www.eurosmart.com/news-publications/99-policy-papers/245-eurosmart-internet-of-trust-security-and-privacy-in-the-connected-world.html</a> |
| ICIT (Institute for Critical Infrastructure Technology)             | Rise of the Machines: The Dyn Attack Was Just a Practice Run                                 | <a href="http://icitech.org/icit-publication-the-rise-of-the-machines-the-dyn-attack-was-just-a-practice-run/">http://icitech.org/icit-publication-the-rise-of-the-machines-the-dyn-attack-was-just-a-practice-run/</a>                                                                                 |
| IIC (Industrial Internet Consortium)                                | Industrial Internet of Things Volume G4: Security Framework (IIC:PUB:G4:V1.0:PB:20160926)    | <a href="http://www.iiconsortium.org/IISF.htm">http://www.iiconsortium.org/IISF.htm</a>                                                                                                                                                                                                                 |
| IoT-A (IoT Alliance)                                                | Internet of Things Security Guideline<br>IoT Reference Architecture                          | <a href="http://www.iot.org.au/s/IoTAA-Security-Guideline-V10-8242.pdf">http://www.iot.org.au/s/IoTAA-Security-Guideline-V10-8242.pdf</a>                                                                                                                                                               |
| Internet Research Task force (IRTF)                                 | IETF RFC 7452 Architectural Considerations in Smart Object Networking                        | <a href="https://tools.ietf.org/html/rfc7452">https://tools.ietf.org/html/rfc7452</a>                                                                                                                                                                                                                   |
|                                                                     | Best Current Practices for Securing Internet of Things (IoT) Devices                         | <a href="https://tools.ietf.org/pdf/draft-moore-iot-security-bcp-00.pdf">https://tools.ietf.org/pdf/draft-moore-iot-security-bcp-00.pdf</a>                                                                                                                                                             |
| Internet Research Task force (IRTF)                                 | State-of-the-Art and Challenges for the Internet of Things Security                          | <a href="https://tools.ietf.org/pdf/draft-irtf-t2trg-iot-secons-04.pdf">https://tools.ietf.org/pdf/draft-irtf-t2trg-iot-secons-04.pdf</a>                                                                                                                                                               |
|                                                                     | Secure IoT Bootstrapping: A Survey                                                           | <a href="https://tools.ietf.org/pdf/draft-sarikaya-t2trg-sbootstrapping-03.pdf">https://tools.ietf.org/pdf/draft-sarikaya-t2trg-sbootstrapping-03.pdf</a>                                                                                                                                               |
| Thing to Thing Research Group (T2TRG)                               | Survey on Thing Secure Bootstrapping                                                         | <a href="https://tools.ietf.org/pdf/draft-liu-t2trg-bootstrapping-survey-00.pdf">https://tools.ietf.org/pdf/draft-liu-t2trg-bootstrapping-survey-00.pdf</a>                                                                                                                                             |
|                                                                     | IoT architecture based on Virtual thing environment for security                             | <a href="https://tools.ietf.org/pdf/draft-yang-t2trg-virtualthing-00.pdf">https://tools.ietf.org/pdf/draft-yang-t2trg-virtualthing-00.pdf</a>                                                                                                                                                           |
|                                                                     | The Open Trust Protocol (OTrP)                                                               | <a href="https://www.ietf.org/id/draft-pei-opentrustprotocol-04.txt">https://www.ietf.org/id/draft-pei-opentrustprotocol-04.txt</a>                                                                                                                                                                     |

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|                                                                              | The Internet Engineering Task Force (IETF®)56                                                                                                                | <a href="https://www.ietf.org/proceedings/56/">https://www.ietf.org/proceedings/56/</a>                                                                                                                                                                 |
|                                                                              | RFC 7925                                                                                                                                                     | <a href="https://tools.ietf.org/html/rfc7925">https://tools.ietf.org/html/rfc7925</a>                                                                                                                                                                   |
|                                                                              | Datagram Transport Layer Security Version 1.2                                                                                                                | <a href="https://tools.ietf.org/html/rfc6347">https://tools.ietf.org/html/rfc6347</a>                                                                                                                                                                   |
|                                                                              | The Constrained Application Protocol (CoAP)                                                                                                                  | <a href="https://tools.ietf.org/html/rfc7252">https://tools.ietf.org/html/rfc7252</a>                                                                                                                                                                   |
| IAB (Internet Architecture Board)                                            | IAB Workshop on IoT Software Updates                                                                                                                         | <a href="https://www.iab.org/activities/workshops/iotsu/">https://www.iab.org/activities/workshops/iotsu/</a>                                                                                                                                           |
| World Wide Web Consortium (W3C)                                              | Web of Things (WoT) Architecture                                                                                                                             | <a href="http://w3c.github.io/wot/architecture/wot-architecture.html">http://w3c.github.io/wot/architecture/wot-architecture.html</a>                                                                                                                   |
|                                                                              | WoT Current Practices                                                                                                                                        | <a href="http://w3c.github.io/wot/current-practices/wot-practices.html">http://w3c.github.io/wot/current-practices/wot-practices.html</a>                                                                                                               |
| Trusted Computing Group (TCG)                                                | Guidance for Securing IoT Using TCG Technology Reference Document                                                                                            | <a href="https://trustedcomputinggroup.org/guidance-securing-iot-using-tcg-technology-reference-document/">https://trustedcomputinggroup.org/guidance-securing-iot-using-tcg-technology-reference-document/</a>                                         |
| OpenFog Consortium                                                           | OpenFog Reference Architecture for Fog Computing                                                                                                             | <a href="https://www.openfogconsortium.org/wp-content/uploads/OpenFog-Reference-Architecture-Executive-Summary.pdf">https://www.openfogconsortium.org/wp-content/uploads/OpenFog-Reference-Architecture-Executive-Summary.pdf</a>                       |
|                                                                              | The 8 Pillars of the OpenFog Reference Architecture                                                                                                          | <a href="https://www.openfogconsortium.org/wp-content/uploads/OpenFog-Pillars-10-page-summary.pdf">https://www.openfogconsortium.org/wp-content/uploads/OpenFog-Pillars-10-page-summary.pdf</a>                                                         |
| OASIS (Organization for the Advancement of Structured Information Standards) | Technical Committees                                                                                                                                         | <a href="https://www.oasis-open.org/committees/tc_home.php?wg_abbrev=mqtt">https://www.oasis-open.org/committees/tc_home.php?wg_abbrev=mqtt</a>                                                                                                         |
|                                                                              |                                                                                                                                                              | <a href="https://www.oasis-open.org/committees/tc_home.php?wg_abbrev=amqp">https://www.oasis-open.org/committees/tc_home.php?wg_abbrev=amqp</a>                                                                                                         |
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| Open Mobile Alliance for a Connected World (OMA)                             | OMA Device Management Security                                                                                                                               | <a href="http://www.openmobilealliance.org/release/DM/V1_3-20160524-A/OMA-TS-DM_Security-V1_3-20160524-A.pdf">http://www.openmobilealliance.org/release/DM/V1_3-20160524-A/OMA-TS-DM_Security-V1_3-20160524-A.pdf</a>                                   |
|                                                                              | OMA LightweightM2M V1.0                                                                                                                                      | <a href="http://www.openmobilealliance.org/release/LightweightM2M/V1_0-20170208-A/">http://www.openmobilealliance.org/release/LightweightM2M/V1_0-20170208-A/</a>                                                                                       |
| BSI (Bundesamt für Sicherheit in der Informationstechnik)                    | Community Draft SYS 4.4 on General IoT Device (Entwurf SYS.4.4: Allgemeines IoT-Gerät)                                                                       | <a href="https://www.bsi.bund.de/SharedDocs/Downloads/DE/BSI/Grundschutz/IT-Grundschutz-Modernisierung/BS_IoT.html?nn=7712584">https://www.bsi.bund.de/SharedDocs/Downloads/DE/BSI/Grundschutz/IT-Grundschutz-Modernisierung/BS_IoT.html?nn=7712584</a> |
| BMWi (Bundesministerium für Wirtschaft und Energie)                          | Community Draft on Implementation Notes for the module SYS 4.4 on General IoT Device (Entwurf Umsetzungshinweise zum Baustein SYS.4.4 Allgemeines IoT-Gerät) | <a href="https://www.bsi.bund.de/SharedDocs/Downloads/DE/BSI/Grundschutz/IT-Grundschutz-Modernisierung/UH_IoT.html?nn=7712584">https://www.bsi.bund.de/SharedDocs/Downloads/DE/BSI/Grundschutz/IT-Grundschutz-Modernisierung/UH_IoT.html?nn=7712584</a> |



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|                                                                          | Protection Profile for the Gateway of a Smart Metering System (Smart Meter Gateway PP), Certification-ID: BSI-CC-PP-0073         | <a href="https://www.commoncriteriaportal.org/files/ppfiles/pp0073b_pdf.pdf">https://www.commoncriteriaportal.org/files/ppfiles/pp0073b_pdf.pdf</a>                                                                                                                                                      |
| IP for Smart Objects (IPSO) Alliance                                     | IPSO Security, Privacy & Identity (SPI) Working Group Charter                                                                    | <a href="http://www.ipso-alliance.org/wp-content/uploads/2015/12/IPSO_SPI-Charter.pdf">http://www.ipso-alliance.org/wp-content/uploads/2015/12/IPSO_SPI-Charter.pdf</a>                                                                                                                                  |
|                                                                          | Enabling IoT Devices' Hardware and Software Interoperability (IPSO Alliance Technical Overview)                                  | <a href="http://www.ipso-alliance.org/wp-content/uploads/2016/11/2016-11-08_IPSO_Overview.pdf">http://www.ipso-alliance.org/wp-content/uploads/2016/11/2016-11-08_IPSO_Overview.pdf</a>                                                                                                                  |
| Open Connectivity Foundation (formerly OIC-Open Interconnect Consortium) | OIC Security Specification v1.1.1                                                                                                | <a href="https://openconnectivity.org/specs/OIC_Security_Specification_v1.1.1.pdf">https://openconnectivity.org/specs/OIC_Security_Specification_v1.1.1.pdf</a>                                                                                                                                          |
| International Electrotechnical Commission (IEC)                          | IEC White Paper on "IoT 2020: Smart and secure IoT platform"                                                                     | <a href="http://www.iec.ch/whitepaper/pdf/iecWP-IoT2020-LR.pdf">http://www.iec.ch/whitepaper/pdf/iecWP-IoT2020-LR.pdf</a>                                                                                                                                                                                |
|                                                                          | IEC/TR 62443-2-3, "Security for industrial automation and control systems - Part 2-3: Patch management in the IACS environment." | <a href="https://webstore.iec.ch/publication/22811">https://webstore.iec.ch/publication/22811</a>                                                                                                                                                                                                        |
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| International Organization for Standardization (ISO)                     | ISO 27001                                                                                                                        | <a href="https://www.iso.org/standard/54534.html">https://www.iso.org/standard/54534.html</a>                                                                                                                                                                                                            |
|                                                                          | ISO 27002                                                                                                                        | <a href="https://www.iso.org/standard/54533.html">https://www.iso.org/standard/54533.html</a>                                                                                                                                                                                                            |
|                                                                          | ISO 27031                                                                                                                        | <a href="https://www.iso.org/standard/44374.html">https://www.iso.org/standard/44374.html</a>                                                                                                                                                                                                            |
|                                                                          | ISO/IEC JTC 1 SC 27 and SC41                                                                                                     | <a href="https://www.iso.org/committee/45306.html">https://www.iso.org/committee/45306.html</a><br><a href="https://www.iso.org/committee/6483279.html">https://www.iso.org/committee/6483279.html</a>                                                                                                   |
|                                                                          | ISO/IEC CD 30141                                                                                                                 | <a href="https://www.iso.org/standard/65695.html">https://www.iso.org/standard/65695.html</a>                                                                                                                                                                                                            |
|                                                                          | ISO/IEC 15408 series                                                                                                             | <a href="https://www.iso.org/standard/50341.html">https://www.iso.org/standard/50341.html</a>                                                                                                                                                                                                            |
| ISACA                                                                    | Internet of Things Reference Architecture (IoT RA)                                                                               | <a href="http://isotc.iso.org/livelink/livelink/open/jtc1wg10">http://isotc.iso.org/livelink/livelink/open/jtc1wg10</a><br><a href="https://www.w3.org/WoT/IG/wiki/images/9/9a/10N0536_CD_text_of_ISO_IEC_30141.pdf">https://www.w3.org/WoT/IG/wiki/images/9/9a/10N0536_CD_text_of_ISO_IEC_30141.pdf</a> |
|                                                                          | Performing a Security Risk Assessment                                                                                            | <a href="https://www.isaca.org/journal/archives/2010/volume-1/pages/performing-a-security-risk-assessment1.aspx">https://www.isaca.org/journal/archives/2010/volume-1/pages/performing-a-security-risk-assessment1.aspx</a>                                                                              |
|                                                                          | IoT Journal Vol.3                                                                                                                | <a href="https://www.isaca.org/Journal/archives/2017/Volume-3/Pages/default.aspx">https://www.isaca.org/Journal/archives/2017/Volume-3/Pages/default.aspx</a>                                                                                                                                            |
| Symantec                                                                 | An Internet of Things Reference Architecture                                                                                     | <a href="https://www.symantec.com/content/dam/symantec/docs/white-papers/iot-security-reference-architecture-en.pdf">https://www.symantec.com/content/dam/symantec/docs/white-papers/iot-security-reference-architecture-en.pdf</a>                                                                      |

| AUTHOR                                                      | TITLE                                                                               | REFERENCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
|-------------------------------------------------------------|-------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                             | Internet Security Threat Report (ISTR) Volume 22                                    | <a href="https://www.symantec.com/security-center/threat-report">https://www.symantec.com/security-center/threat-report</a><br><a href="https://resource.elq.symantec.com/LP=3980?cid=70138000001BjppAAC&amp;mc=202671&amp;ot=wp&amp;tt=sw&amp;inid=symc_threat-report_regular_to_leadgen_form_LP-3980_ISTR22-report-main">https://resource.elq.symantec.com/LP=3980?cid=70138000001BjppAAC&amp;mc=202671&amp;ot=wp&amp;tt=sw&amp;inid=symc_threat-report_regular_to_leadgen_form_LP-3980_ISTR22-report-main</a> |
| CableLabs                                                   | Data-Over-Cable Service Interface Specifications DOCSIS® 3.1 Security Specification | <a href="https://apps.cablelabs.com/specification/CM-SP-SECv3.1">https://apps.cablelabs.com/specification/CM-SP-SECv3.1</a>                                                                                                                                                                                                                                                                                                                                                                                      |
| RISE SICS                                                   | How to secure the Internet of Things?                                               | <a href="https://www.sics.se/sites/default/files/pub/lund-hannestschofenig_final.pdf">https://www.sics.se/sites/default/files/pub/lund-hannestschofenig_final.pdf</a>                                                                                                                                                                                                                                                                                                                                            |
| HM Government                                               | National cyber security strategy 2016-2021                                          | <a href="https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/567242/national_cyber_security_strategy_2016.pdf">https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/567242/national_cyber_security_strategy_2016.pdf</a>                                                                                                                                                                                                                                              |
| AT&T Cybersecurity Insights                                 | Exploring IoT Security Volume 2                                                     | <a href="https://www.business.att.com/cybersecurity/docs/exploringiotsecurity.pdf">https://www.business.att.com/cybersecurity/docs/exploringiotsecurity.pdf</a>                                                                                                                                                                                                                                                                                                                                                  |
| I am the cavalry                                            | Five Star Automotive Cyber Safety Framework                                         | <a href="https://www.iamthecavalry.org/wp-content/uploads/2014/08/Five-Star-Automotive-Cyber-Safety-February-2015.pdf">https://www.iamthecavalry.org/wp-content/uploads/2014/08/Five-Star-Automotive-Cyber-Safety-February-2015.pdf</a>                                                                                                                                                                                                                                                                          |
| Microsoft - Cybersecurity Policy For The Internet Of Things | Cybersecurity Policy For The Internet Of Things                                     | <a href="https://mscorpmedia.azureedge.net/mscorpmedia/2017/05/IoT_WhitePaper_5_15_17.pdf">https://mscorpmedia.azureedge.net/mscorpmedia/2017/05/IoT_WhitePaper_5_15_17.pdf</a>                                                                                                                                                                                                                                                                                                                                  |
| Infineon                                                    | Hardware Security for Smart Grid End Point Devices                                  | <a href="https://www.nrel.gov/esif/assets/pdfs/hardware_security_smart_grid.pdf">https://www.nrel.gov/esif/assets/pdfs/hardware_security_smart_grid.pdf</a>                                                                                                                                                                                                                                                                                                                                                      |
| International Society of Automation (ISA)                   | Industrial Automation and Control System Security                                   | <a href="http://isa99.isa.org/ISA99%20Wiki/Home.aspx">http://isa99.isa.org/ISA99%20Wiki/Home.aspx</a>                                                                                                                                                                                                                                                                                                                                                                                                            |
|                                                             | IEC 62443: Industrial Network And System Security                                   | <a href="https://www.isa.org/pdfs/autowest/phinneydone/">https://www.isa.org/pdfs/autowest/phinneydone/</a>                                                                                                                                                                                                                                                                                                                                                                                                      |
| Object Management Group                                     | DDS-Security                                                                        | <a href="http://www.omg.org/spec/DDS-SECURITY/1.0/">http://www.omg.org/spec/DDS-SECURITY/1.0/</a>                                                                                                                                                                                                                                                                                                                                                                                                                |
| Thread Group                                                | Thread 1.1 Specification                                                            | <a href="http://threadgroup.org/ThreadSpec">http://threadgroup.org/ThreadSpec</a>                                                                                                                                                                                                                                                                                                                                                                                                                                |
| Cloud Standards Customer Council (CSCC)                     | Cloud Customer Architecture for IoT                                                 | <a href="http://www.cloud-council.org/deliverables/cloud-customer-architecture-for-iot.htm">http://www.cloud-council.org/deliverables/cloud-customer-architecture-for-iot.htm</a>                                                                                                                                                                                                                                                                                                                                |
| Industrie 4.0                                               | The Internet Of Things – What Is It?                                                | <a href="https://industrie4.0.gtai.de/INDUSTRIE40/Navigation/EN/Topics/The-internet-of-things/internet-of-things-what-is-it.html">https://industrie4.0.gtai.de/INDUSTRIE40/Navigation/EN/Topics/The-internet-of-things/internet-of-things-what-is-it.html</a>                                                                                                                                                                                                                                                    |
|                                                             | Embedded Systems And Networks                                                       | <a href="https://industrie4.0.gtai.de/INDUSTRIE40/Navigation/EN/Topics/The-internet-of-things/embedded-systems-and-networks.html">https://industrie4.0.gtai.de/INDUSTRIE40/Navigation/EN/Topics/The-internet-of-things/embedded-systems-and-networks.html</a>                                                                                                                                                                                                                                                    |
|                                                             | Digital Infrastructure                                                              | <a href="https://industrie4.0.gtai.de/INDUSTRIE40/Navigation/EN/Topics/Smart-service-world/digital-infrastructure.html">https://industrie4.0.gtai.de/INDUSTRIE40/Navigation/EN/Topics/Smart-service-world/digital-infrastructure.html</a>                                                                                                                                                                                                                                                                        |
|                                                             | Disruptive Business Models                                                          | <a href="https://industrie4.0.gtai.de/INDUSTRIE40/Navigation/EN/Topics/Smart-service-world/disruptive-business-models.html">https://industrie4.0.gtai.de/INDUSTRIE40/Navigation/EN/Topics/Smart-service-world/disruptive-business-models.html</a>                                                                                                                                                                                                                                                                |
|                                                             | Industrie 4.0 – What Is It?                                                         | <a href="https://industrie4.0.gtai.de/INDUSTRIE40/Navigation/EN/Topics/Industrie-40/what-is-it.html">https://industrie4.0.gtai.de/INDUSTRIE40/Navigation/EN/Topics/Industrie-40/what-is-it.html</a>                                                                                                                                                                                                                                                                                                              |
| North American Electric Reliability Corp.                   | State of Reliability 2017                                                           | <a href="http://www.nerc.com/pa/rapa/pa/performance%20analysis%20dl/sor_2017_master_20170613.pdf">http://www.nerc.com/pa/rapa/pa/performance%20analysis%20dl/sor_2017_master_20170613.pdf</a>                                                                                                                                                                                                                                                                                                                    |

| AUTHOR                                    | TITLE                                                                                                                                               | REFERENCE                                                                                                                                                                                                                 |
|-------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Broadband Forum                           | User Services Platform (TR-369)                                                                                                                     | <a href="https://broadbandforum.github.io/usp/">https://broadbandforum.github.io/usp/</a>                                                                                                                                 |
| OAuth                                     | OAuth 2.0                                                                                                                                           | <a href="https://oauth.net/">https://oauth.net/</a>                                                                                                                                                                       |
| OPC Foundation                            | Unified Architecture                                                                                                                                | <a href="https://opcfoundation.org/about/opc-technologies/opc-ua/">https://opcfoundation.org/about/opc-technologies/opc-ua/</a>                                                                                           |
| Bruce Schneier                            | Schneier on Security                                                                                                                                | <a href="https://www.schneier.com/blog/archives/2017/02/security_and_pr.html">https://www.schneier.com/blog/archives/2017/02/security_and_pr.html</a>                                                                     |
| Smart Grid Interoperability Panel (SGIP)  | Case studies and use cases                                                                                                                          | <a href="http://www.sgip.org/case-studies-and-use-cases/">http://www.sgip.org/case-studies-and-use-cases/</a>                                                                                                             |
| Underwriters Laboratories (UL)            | The Internet of Things (IoT)                                                                                                                        | <a href="http://industries.ul.com/blog/the-internet-of-things-iot">http://industries.ul.com/blog/the-internet-of-things-iot</a>                                                                                           |
|                                           | UL Cybersecurity Assurance Program                                                                                                                  | <a href="http://industries.ul.com/cybersecurity">http://industries.ul.com/cybersecurity</a>                                                                                                                               |
| 3rd Generation Partnership Project (3GPP) | LTE to 5G: Cellular and Broadband Innovation - Internet of Things poised for massive adoption with new Cellular IoT capabilities in 3GPP Release 13 | <a href="http://www.3gpp.org/technologies/presentations-white-papers">http://www.3gpp.org/technologies/presentations-white-papers</a>                                                                                     |
| The Digital Standard                      | The Digital Standard                                                                                                                                | <a href="http://thedigitalstandard.org/">http://thedigitalstandard.org/</a>                                                                                                                                               |
| Internet of Things Consortium             | Internet of Things Consortium                                                                                                                       | <a href="http://iofthings.org/">http://iofthings.org/</a>                                                                                                                                                                 |
| Other                                     | Philips pushes lightbulb firmware update that locks out third-party bulbs                                                                           | <a href="http://boingboing.net/2015/12/14/philips-pushes-lightbulb-firmw.html">http://boingboing.net/2015/12/14/philips-pushes-lightbulb-firmw.html</a>                                                                   |
|                                           | Industrial Internet Consortium Develops an IoT Security Framework                                                                                   | <a href="https://securityintelligence.com/news/industrial-internet-consortium-develops-iot-security-framework/">https://securityintelligence.com/news/industrial-internet-consortium-develops-iot-security-framework/</a> |
|                                           | IoT Security Resources                                                                                                                              | <a href="http://blog.mobilephonesecurity.org/2016/11/iot-security-resources.html">http://blog.mobilephonesecurity.org/2016/11/iot-security-resources.html</a>                                                             |

## Annex D: Description of indicative IoT security incidents

| SECURITY INCIDENT                                | DATE                            | DESCRIPTION                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
|--------------------------------------------------|---------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Puerto Rican Smart Meters hacked                 | 2009                            | At some point in 2009, the Puerto Rican Electric Power Authority (PREPA) suffered a series of power theft incidents related to its smart meter deployment. The attack required physical access to the smart meters, and it is believed that former employees of the meter manufacturer were altering the smart meters to reduce power bills <sup>81</sup> .                                                                                                                                                                                                                                                                                                                                                                                     |
| Foscam IP baby-cam hijacked                      | August 10, 2013                 | On April 11, 2013, a vulnerability in Foscam wireless cameras was disclosed by security researchers in a presentation titled "To watch or to be watched: Turning your surveillance camera against you". Later, on August 10, an attacker gained control of one of those cameras in Houston, Texas, which was being used as a baby-cam. The attacker was able to see, hear and speak through the camera <sup>82</sup> .                                                                                                                                                                                                                                                                                                                          |
| Target data breach                               | November 15 - December 15, 2013 | The intrusion into Target's systems was traced back to network credentials stolen from a third-party IoT HVAC vendor. It is believed that Target allowed that HVAC vendor remote access to its network in order to report fluctuations in store temperature which might have affected how long a customer stayed within a given store. Nevertheless, it remains a mystery why the point of sale system was not segmented from the rest of the Target network <sup>83</sup> . The intrusion took place on November 15, 2013, and one month later, the data breach had already resulted in the theft of 40 million credit and debit card accounts <sup>84</sup> .                                                                                 |
| BMW's Connected Drive vulnerable (demonstration) | January 2015                    | A security vulnerability in BMW's Connected Drive system allowed researchers to unlock the vehicles affected without the car keys. The attack took advantage of a feature that allows drivers who have been locked out of their vehicles to request the remote unlocking of their car from a BMW assistance line. The researchers were able to impersonate BMW servers and send, over the public cellular network, remote unlocking instructions to vehicles <sup>85</sup> .<br><br>The software patch for the 2.2 million cars equipped with Connected Drive adds HTTPS encryption to the connection from BMW to the car and ensures that the car only accepts connections from a server with the correct security certificate <sup>86</sup> . |
| Jeep car remotely hijacked (demonstration)       | July 21, 2015                   | Charlie Miller and Chris Valasek developed a zero-day exploit that targets Jeep Cherokees, giving an attacker, who may be miles away, complete control -via the Internet- of thousands of vulnerable vehicles. The attack is performed by sending commands through the Jeep's entertainment system to its dashboard functions, steering, brakes, and transmission <sup>87</sup> .                                                                                                                                                                                                                                                                                                                                                               |

<sup>81</sup> See <https://www.metering.com/puerto-rico-smart-meters-believed-to-have-been-hacked-and-such-hacks-likely-to-spread/>

<sup>82</sup> See <https://www.forbes.com/sites/kashmirhill/2013/08/13/how-a-creep-hacked-a-baby-monitor-to-say-lewd-things-to-a-2-year-old/>

<sup>83</sup> See <https://www.mocana.com/blog/2014/02/05/iot-hack-connected-target-breach>

<sup>84</sup> See <http://krebsonsecurity.com/2014/02/target-hackers-broke-in-via-hvac-company/>

<sup>85</sup> AJ Trainor, Amalia Safer, Lily Houghton, «BMW ConnectedDrive Vulnerability». See <https://www.cs.bu.edu/~goldbe/teaching/HW55815/presos/bmw.pdf>

<sup>86</sup> See <https://www.mocana.com/blog/2014/02/05/iot-hack-connected-target-breach>

<sup>87</sup> See <https://www.wired.com/2015/07/hackers-remotely-kill-jeep-highway/>

| SECURITY INCIDENT                                       | DATE               | DESCRIPTION                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
|---------------------------------------------------------|--------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| TrackingPoint's smart sniper rifle hack (demonstration) | July 29, 2015      | Security researchers Runa Sandvik and Michael Auger have developed a set of techniques that could allow an attacker to exploit vulnerabilities in the software of a US\$13,000 TrackingPoint self-aiming rifle via its Wi-Fi connection.<br><br>The attacker could then compromise the scope's targeting system, preventing the gun from firing or even causing it to miss the intended target, hitting another one <sup>88</sup> .                                                                                                                                                                                                 |
| VTech Toymaker data breach                              | November 8, 2015   | A cyber-attack on digital toymaker VTech Holdings exposed the data of 6.4 million children and 4.9 million adults. The personal information stolen was not encrypted, and it included names, email addresses, passwords, secret questions and answers for password retrieval, IP addresses, postal addresses, download histories, chat logs, and children's names, photos, genders and birth dates <sup>89</sup> .                                                                                                                                                                                                                  |
| Mirai - DDoS on OVH hosting provider                    | September 19, 2016 | Mirai gathered a botnet made up of more than one million hacked IoT devices, mostly DVRs and CCTV cameras, which were infected through their Telnet port.<br><br>The French hosting company OVH is believed to be the first to have suffered a DDoS attack coming from the Mirai botnet, which was reported to have peaked at 1 Tbps, one of the largest recorded in history in terms of volume <sup>90</sup> .                                                                                                                                                                                                                     |
| Mirai - DDoS on "Krebs on Security" website             | September 20, 2016 | Just a day after the attack against OVH, the Mirai botnet conducts a DDoS attack on "Krebs on Security" website that surpassed 620 Gbps of traffic, making it also one of the largest recorded in history in terms of volume <sup>90</sup> .                                                                                                                                                                                                                                                                                                                                                                                        |
| Hajime                                                  | October 15, 2016   | Hajime is a "vigilante" spreading IoT worm that, like Mirai, takes advantage of devices with default usernames and passwords to gain control over them, via their Telnet ports. Its purpose is believed to be fighting the Mirai botnet for control over IoT products – once a device is infected, Hajime blocks access to ports 23 (Telnet), 7547, 5555, and 5358, which are common entry points for the rival Mirai worm and other threats <sup>91</sup> .<br><br>At the moment, the Hajime worm is not doing anything malign – it just displays the following message: "Just a white hat, securing some systems" <sup>92</sup> . |
| Mirai - DDoS on Dyn DNS provider                        | October 21, 2016   | Some of Mirai's targets were cloud-related services, such as DNS provider Dyn, which suffered a DDoS attack that affected several high-profile websites, including Amazon, Netflix, PayPal and Spotify. Unconfirmed reports say the peak of the attack reached around 1.2 Tbps <sup>93</sup> .                                                                                                                                                                                                                                                                                                                                      |
| DDoS on building blocks' central heating system         | November 3, 2016   | In Finland, a DDoS Attack took down the heating systems of at least two housing blocks in the city of Lappeenranta, leaving their residents without heating in sub-zero temperatures for more than a week <sup>94</sup> .                                                                                                                                                                                                                                                                                                                                                                                                           |

<sup>88</sup> See <https://www.wired.com/2015/07/hackers-can-disable-sniper-rifle-or-change-target/>

<sup>89</sup> See <http://www.cnbc.com/2015/12/02/vtech-hack-data-of-64m-kids-exposed.html>

<sup>90</sup> See <https://www.symantec.com/content/dam/symantec/docs/reports/istr-22-2017-en.pdf>

<sup>91</sup> See <https://blog.radware.com/security/2017/04/hajime-futureproof-botnet/>

<sup>92</sup> See <https://www.symantec.com/connect/blogs/hajime-worm-battles-mirai-control-internet-things>

<sup>93</sup> See <https://dyn.com/blog/dyn-analysis-summary-of-friday-october-21-attack/>

<sup>94</sup> See <http://thehackernews.com/2016/11/heating-system-hacked.html>



| SECURITY INCIDENT                                         | DATE                                | DESCRIPTION                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
|-----------------------------------------------------------|-------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Mirai - DDoS on Deutsche Telekom network                  | November 27, 2016                   | Mirai botnet targets Deutsche Telekom routers, affecting more than 900,000 customers <sup>95</sup> .                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| Cloudpets' DB held for ransom                             | December 25, 2016 - January 8, 2017 | Cloudpets is a company that sells Internet-connected teddy bears, allowing kids to communicate with their far-away parents. Cloudpets customers' data were left for two weeks in a publicly available database without password or firewall protection. More than 820,000 customer credentials were exposed, as well as two million message recordings. In addition, the database was also held for ransom <sup>96</sup> .                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| Romantik Seehotel Jägerwirt                               | January 25, 2017                    | The Romantik Seehotel Jägerwirt, a 4-star hotel in the Austrian Alps, had its digital key system breached and held for ransom. The attackers managed to take down the entire key system – the guests could no longer get into their hotel rooms and new key cards could not be programmed.<br><br>The hotel has admitted they had to pay a ransom worth thousands of Bitcoin to the cybercriminals, who restored the key system and the computers as soon as they received the payment. The attackers left a backdoor in the system to exploit it in the near future, but when they tried again, the hotel had already bolstered its security <sup>97</sup> .                                                                                                                                                                                                                                                                                                                                                                                                 |
| Cloudpets and "Meine Freundin Cayla" - insecure Bluetooth | February 17 - 27, 2017              | Apart from Cloudpets' customer database being completely insecure, it turns out Cloudpets' teddy bears were themselves insecure too.<br><br>CloudPets' toys did not use any standard Bluetooth security features, such as pairing encryption with their owner's smartphone app, so anyone within range (10 meters with a normal smartphone) could just connect to it and send and receive commands and data – e.g. uploading a message to the toy, or silently triggering the toy's recording functionality and later downloading the audio the toy has recorded. In other words, the teddy bears could be turned into remote surveillance devices, used to harass toddlers like some insecure baby monitors have in the past, such as the Foscam IP baby-cam case <sup>98</sup> .<br><br>Just one week before, the German government banned the Internet-connected "Meine Freundin Cayla" doll for the same reasons that concern Cloudpets' toys – it had vulnerabilities that could be exploited by an attacker to remotely spy on children <sup>99</sup> . |
| BrickerBot                                                | March 20, 2017                      | BrickerBot is a bot that permanently incapacitates –Permanent DoS (PDoS)– poorly secured IoT devices, leaving them in a "bricked" state before they can be conscripted into Internet-crippling denial-of-service armies. The latest version BrickerBot.3 appeared April 20, one month after BrickerBot.1 first surfaced <sup>100,101</sup> .                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |

<sup>95</sup> See <https://www.engadget.com/2016/11/29/mirai-botnet-targets-deutsche-telekom-routers-in-global-cyberatt/>

<sup>96</sup> See [https://motherboard.vice.com/en\\_us/article/internet-of-things-teddy-bear-leaked-2-million-parent-and-kids-message-recordings](https://motherboard.vice.com/en_us/article/internet-of-things-teddy-bear-leaked-2-million-parent-and-kids-message-recordings)

<sup>97</sup> See <https://www.thelocal.at/20170128/hotel-ransomed-by-hackers-as-guests-locked-in-rooms>

<sup>98</sup> See [https://motherboard.vice.com/en\\_us/article/how-this-internet-of-things-teddy-bear-can-be-remotely-turned-into-a-spy-device](https://motherboard.vice.com/en_us/article/how-this-internet-of-things-teddy-bear-can-be-remotely-turned-into-a-spy-device)

<sup>99</sup> See [https://www.bundesnetzagentur.de/SharedDocs/Pressemitteilungen/DE/2017/14012017\\_cayla.html](https://www.bundesnetzagentur.de/SharedDocs/Pressemitteilungen/DE/2017/14012017_cayla.html)

<sup>100</sup> See <https://arstechnica.com/security/2017/04/brickerbot-the-permanent-denial-of-service-botnet-is-back-with-a-vengeance/>

<sup>101</sup> See <https://ics-cert.us-cert.gov/alerts/ICS-ALERT-17-102-01A>





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